



***CTB***

**ANNUAL REPORT- STUDY  
AND EXPERTISE FUND 2014  
STUDY & CONSULTANCY FUND  
VIE00013**

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# 1 Intervention at a glance

## 1.1 Intervention form

Intervention name	Belgium-Vietnam Study and Consultancy Fund
Intervention Code	VIE00013
Location	Hanoi, Vietnam
Budget	1,871,840.33
Partner Institution	Ministry of Planning and Investment
Date of Specific Agreement	8 August 2000
End date Specific Agreement	5 <sup>th</sup> extension until 21 <sup>st</sup> June 2015
Objective	The Belgium – Vietnam Study and Consultancy Fund aims at financing, in full, in part, consultancies in order to realize studies, missions, seminars, workshops and other services in the framework of the development cooperation between Belgium and Vietnam

## 1.2 Budget execution

Total Budget	Expenditure year N	Balance	Total Disbursement rate
1,871,840.33	1,141,719.23	730,121.10	60,99%

National execution official <sup>1</sup>	BTC execution official <sup>2</sup>
<b>Le Viet Anh</b> <b>Deputy Director General (FERD)</b> <b>Fund Director</b>	 <b>Alain Devaux</b> <b>Resident Representative</b>

<sup>1</sup> Name and Signature

<sup>2</sup> Name and Signature

## 2 Context

### 2.1 General context

An exchange of Letters between the two governments of Vietnam and Belgium were made in January 2013 to extend the validity of the SCF till 21<sup>st</sup> June 2015 without the immediate need for replenishment of the remaining 2 million Euros to the Fund budget.

- SCF eligibility criteria are broadened as follows:
- Budget should not exceed 200,000 euro per study or consultancy;
- Study and appraisal should, in reference, be geographically and thematically linked to the priority sectors of the ICP 2011-2015;
- Study and appraisal could include cross-cutting issues as gender and environment/climate change;
- Study and appraisal of actions to further the economic and social development of Vietnam, not necessarily linked to the priority sectors;
- Study & appraisal of actions to further the economic and social development of Vietnam, not necessarily linked to the priority sector;
- Study & appraisal of actions in support of coordination & harmonization of donor support;
- IO capacity analysis of Vietnamese partner institution; and
- Support to identification (also to speed up the project cycle) as far as they enable to clarify submitted proposals by the partner(s).

In the context of a budget limit increase for the Fund and the eligibility criteria are defined in such an open manner, a consultancy was undertaken in 2012 to improve the efficiency and effectiveness of the Fund operation. The expected outcome of this consultancy was the Fund Operational Manual, which provides essential information on how to request for funding or consultancies from the SCF and guidelines on how the SCF operates. The information provided will also facilitate the process of request for and submission of consultancies and the operation and management of the SCF. In 2014 and particularly at the Project Steering Committee (PSC) meeting in February 2015, the SC including the Attaché for Development Cooperation of the Belgium Embassy brought forward the messages from the Vietnamese organisations who had used the SCF Operation Manual that the FOM was a slightly deviated from the Specific Agreement and that definition of the key stakeholders' roles were confusing which did not support a correct

comprehension of the Vietnamese organizations when executing the approved studies. The SCF Steering Committee therefore requested revision of the FOM in 2015.

The Fund Secretariate, which was established upon the approval of the Fund SC and based in the MPI premises, continued to support the Fund Director in his day-to-day management of the SCF. Roles of the Fund Secretariate are (i) facilitate the communication between MPI, BTC and DGD in relation to SCF; (2) assist the Fund Director in carrying out administrative and logistic tasks related to the SCF; and (3) ensure information and communication with potential beneficiaries about the existence of the SCF.

## **2.2 Management context: execution modalities**

Score: Appropriate

The application for a consultancy is made by a Vietnamese government agency at central or decentralized level to the Vietnamese Fund Director and the attache'. Requests can also be introduced by MPI or by the Embassy. If necessary, the attache' or the Fund Director provide their advice and assistance in the preparation of the request for a study or consultancy, or request the support of BTC.

The Fund Director and the attache' jointly approve the funding of a consultancy or study. Once approved, BTC is requested to start the execution wherein the execution is jointly managed by BTC and the requesting institution with approvals of MPI.

The studies are completely within the framework of both the Vietnamese poverty reduction and development strategies and the Belgian development cooperation policy.

### **Execution Modalities**

Tenders respect the Vietnamese tendering regulations. The principles of competition, transparency and accountability are respected. Each study or consultancy is internationally or nationally tendered after assessment of the needed expertise for the approved study. Selection of the successful offer is based on a combination of financial and technical evaluation.

The selection procedures results in a contract between the successful bidder and the beneficiary institution, co-signed by BTC following formal approval by MPI. For studies initiated by MPI or DGD, contracts are signed by BTC after formal approval by MPI. Contracts are based on the approved TOR of the study. All payments are approved by the Fund Co-Director: BTC and the Ministry of Planning & Investment.

For tender above 67,000 euro, an external assessment of the tender procedure is systematically made before awarding the contract.

For each study related to a new programme of the bilateral cooperation an adhoc follow up committee is created composed of representatives of the MPI, DGD, BTC and beneficiary. For studies related to facilitation of implementation of projects, like detailed designs or feasibility studies, follow-up is done by BTC, MPI and the beneficiary.

Reporting on the progress is done on bi-annual basis during the Joint Working Team meetings.

### **2.3 Harmo-context**

In general terms the Belgium – Vietnam Study and Consultancy Fund has been used in a manner compliant with the requirement of the Government of Belgium and Vietnam.

## 3 Analysis of progress made

### 3.1 Studies

#### 3.1.1 Progress of studies

Progress of studies <sup>3</sup>	A	B	C	D	Comments (only if the value is C or D)
1. Review & recommendations on solutions for leachate treatment problem at the solid waste sanitary landfill in Tuy Hoa city, Phu Yen province				x	The approving process took longer than estimated.  Originally approved ToR and tender plan did not foresee international consultant's input. Thus first tender failed to receive good technical proposals.
2. Two study tours of Public Investment Management to Europe by MPI and National Assembly		x			
3. Review and assessment of the business environment and competitiveness of Vietnamese enterprises in service sector and propose implementing solutions until 2020 with a view to 2030	x				
4. Support the development of the Law on Local Government			x		Ministry of Home Affairs-who executed the study in co-management with BTC does not well understand the procurement procedures which both sides have to respect.  Approval of contract and invoice by MPI is a time taking process.
5. Belgium-Vietnam ICP Governance Sector Review	x				
6. Review and assess impacts of Belgian interventions in the water sector within the context of climate change			x		The approved ToR lack of clarity and is perceived complicated by the interested consultants. First tender failed to receive good proposal.
7. Review and Assess impacts of Belgian Scholarship in Vietnam			x		Approved ToR is not coherent and to some extent difficult to implement.  Embassy decided to revise ToR to the feedback of the interested consultants following first failure of tender.
8. Assessment of the Implementation of the Decree 38/2013/ND-CP on Management and Utilization of ODA and Concessional Loans by Foreign Donors and Its guiding Circulars.	x				

- A: Ahead of schedule  
 B: On schedule  
 C: Delayed, corrective measures are required.  
 D: Seriously delayed (more than 6 months). Substantial corrective measures are required.



### 3.1.2 Analysis of studies completed

<For every Study that has been completed during the reporting period: fill in a box like the one underneath. You can just copy paste the box for every study. Be succinct>

<b>Title of study:</b>	<b>Study Tour of Public Investment Management to Europe (UK, Ireland and Belgium)</b>
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	In the framework of the preparation of the new public investment law in Vietnam, MPI envisaged to undertake a research/study tour to learn valuable experiences from some developed countries which have good and relevant experiences for Vietnam in arranging the management and allocation of public investment expenditures. MPI assessed different good case studies in PIM and finally opted for UK, Ireland and Belgium. The proposal for having the SCF to finance the PIM study tours to Europe was approved by the Embassy of Belgium and the SCF Director. The study tour included senior officials of the government and National Assembly who involved in the preparation of the Public Investment Law.
<i>Have the studies been used as intended?</i>	The studies have been used as intended.
<i>To what did the study contribute?</i>	The study contributed to the development of the Public Investment Law which focuses on management and utilization of public investment expenditures, state management related to public investment, rights, obligations and responsibilities of the relevant agencies/units/organizations/individuals in public investment.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	The draft Public Investment Law was approved by the National Assembly in 2014.

## 3.2 Expertise

### 3.2.1 Progress of expertise

Progress of expertise <sup>4</sup>	A	B	C	D	Comments (only if the value is C or D)
1 Review & Recommendations on Solutions for Leachate Treatment Problem at the Solid Waste Sanitary Landfill in Tuy Hoa City, Phu Yen Province			x		Deadline for submission of final report was 3 <sup>rd</sup> March 2015. The lead international consultant (Almadius Engineering Belgique) was reportedly sick and Almadius requested extension of submission deadline until early April 2015.
2 Report on Review and assess the business environment and competitiveness of Vietnamese enterprises in service sector and propose implementing solutions until 2020 with a view to 2030		x			
3 Support the development of the Law on Local Government			x		National Consultancy for Activity 1 (Survey) completed. Vietnamese supervising officer (MOHA) requested revision of proposed activities under result 2 and result 3.
4 ICP governance sector review		x			
5 A study to review and assess impacts of Belgian Scholarship in Vietnam			x		Tender received one proposal. Upon "go-ahead" of Attache and Fund Director, technical negotiation was made with the interested consultant. Attache accepted to include comments of the interested consultant to the TOR. Approval of his updated technical proposal is being sought with MPI.
6. Review and assess impacts of Belgian interventions in the Water sector within the context of climate change			x		First call for tenders failed to receive good proposal. Attache and Fund Director requested to

<sup>4</sup>

A:	Expertise completed in year N
B	Expertise ongoing
C	Expertise in preparatory phase: preparation going as planned (writing ToR, procurement procedure, etc.)
D	Expertise planned but delayed

					launch 2 <sup>nd</sup> call in March 2015.
7. Assessment of the Implementation of the Decree 38/2013/ND-CP on Management and Utilization of ODA and Concessional Loans by Foreign Donors and Its guiding Circulars.	x				

### 3.2.2 Analysis of expertise

<For every expertise, be it planned, on-going or completed in year N: fill in a box like the one underneath. You can just copy paste the box for every expertise. Be succinct >

<b>Title of expertise – name of expert:</b>	Review & Recommendations on Solutions for Leachate Treatment Problem at the Solid Waste Sanitary Landfill in Tuy Hoa City, Phu Yen Province – AMALDIUS ENGINEERING BELGIQUE
<i>Describe, in a few sentences, what the expertise is/was about</i>	Identify the problems in relation to landfill leachate treatment and propose solutions to overcome these problems.
<i>To what extent is the expertise delivering results?</i>	Consultancy is underway. Report is awaited.
<i>To what has the expertise contributed?</i>	Consultancy is underway. Report is awaited.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	International Team Leader was reportedly sick and failed to meet the submission deadline. No official communication was made from Almadius to the Vietnamese Supervising Officer or to BTC. Official request for extension of submission deadline is awaited.

<b>Title of expertise – name of expert:</b>	Review and assess the business environment and competitiveness of Vietnamese enterprises in service sector and propose implementing solutions until 2020 with a view to 2030 – Mekong Economics
<i>Describe, in a few sentences, what the expertise is/was about</i>	Propose solutions to realize one of the goals of <i>the Overall Strategy for development of Vietnam service sector by 2020 with a view to 2030</i> : "Developing efficient, quality and international competitiveness service sector; developing service industries that have great potential, competitiveness and high value-added value."
<i>To what extent is the expertise delivering results?</i>	The Ministerial Advisory Groups established to hold meetings with ministries in charge of the four service areas.  The Literature Review completed. Report submitted and accepted by MPI.
<i>To what has the expertise contributed?</i>	Action Plan to implement the Strategy for development of the Vietnam service sector until 2020
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	The study is progressing well.

<b>Title of expertise – name of expert:</b>	Support the development of the Law on Local Government – A group of national consultants.
<i>Describe, in a few sentences, what the expertise is/was about</i>	Support the Department of Local Government, Ministry of Home Affairs in finalizing the review report on 10 years implementation of the Law on Organization of People's Councils and People's Committees 2003 and development of the draft Law on Local Government for Government
<i>To what extent is the expertise delivering results?</i>	The survey under Result 1 completed.
<i>To what has the expertise contributed?</i>	The study is underway.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	MOHA requested revision of the Result 2 and Result 3 of the proposal. Official request to MPI and Embassy is awaited.

<b>Title of expertise – name of expert:</b>	Belgium-Vietnam ICP Governance Sector Review – Clay Wescott and Dang Giang
<i>Describe, in a few sentences, what the expertise is/was about</i>	The overall purpose of this study is to draw the lessons from the previous governance interventions to make recommendations for the Belgium support in the field of governance for the next cooperation programme. The evaluation will build on BE experiences and comparative advantage in the field of governance which focused on local service delivery. This will be based on a sector analysis and on the results/impacts of Belgian previous and on-going interventions in governance sector while taking into account the evolving overall context in Vietnam as well as the experiences of other donors.
<i>To what extent is the expertise delivering results?</i>	The final report is being fine-tuned to the political acceptance of MPI
<i>To what has the expertise contributed?</i>	Assessment of the implementation progress of the ICP as well as to identify the way forward for the Belgian Development Cooperation in Vietnam in the next four years
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	A part of the report contains an argument which might be politically sensitive to share with MPI. The consultants were requested by the Embassy to revise the report.

<b>Title of expertise – name of expert:</b>	Review and assess impacts of Belgian interventions in the water sector within the context of climate change
<i>Describe, in a few sentences, what the expertise is/was about</i>	The overall purposes of this study are threefold: <ol style="list-style-type: none"> <li>1. To draw the lessons from the previous and ongoing water interventions of the Belgian cooperation within the context of climate change</li> <li>2. To review the present interventions of Belgium in dealing with climate change adaptation in the water sector, while taking the lessons of the past and on-going interventions including those available from the major donors involved in the field (GIZ, ADB, WB/WSP, the Dutch Government, UNDP, the Rockefeller Foundation...);</li> <li>3. Based on the above, to suggest recommendations for the current activities and possible future indicative program ICP</li> </ol>
<i>To what extent is the expertise delivering results?</i>	Contract not yet signed
<i>To what has the expertise contributed?</i>	Contract not yet signed
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	First call for tender did not receive good quality proposal.

### 3.3 Budget execution

Add – in annex – the “Budget versus current (y – m)” Report, which includes the data up to 31/12/2012, and refer to the annex here. Comment briefly on this financial report if relevant.

### 3.4 Quality criteria

On the basis of the elements above, attribute a simple A, B, C or D score<sup>5</sup> to the following criteria

Relevance: The degree to which studies and expertise are in line with local and national priorities

Efficiency: Degree to which studies and expertise have been executed on time and on budget.

Effectiveness: Degree to which studies and expertise actually contribute to their intended objectives

Criteria	Score
Relevance	B
Efficiency	B
Effectiveness	B

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5

- A: Very good performance
- B: Good performance
- C: Performing with problems, measures should be taken
- D: Not performing/ having major difficulties: measures are necessary

If a criterion cannot be assessed (e.g. because the intervention has only just started), attribute the criteria with an ‘X’ score. Explain why the criterion has not been assessed.

### 3.5 Risk management

Provide the evolution of risks<sup>6</sup> and how they have been managed. Identified risks consist of risks emanating from the TFF and significant risks that have been identified during the implementation of the intervention. Risks can also be identified during the Annual reporting.

- Describe the risk
  - Score the probability that the risk might occur: High, Medium, Low
  - Score the impact if the risk would occur: High, Medium, Low
- If a risk is attributed with a High or very high score, detail the measures that have been taken/will be taken and indicate the person/actor responsible.

Risk Identification			Risk analysis			Risk Treatment			Follow-up of risks	
Description of Risk	Period of identification	Risk category	Probability	Potential Impact	Total <sup>7</sup>	Action(s)	Resp.	Deadline	Progress	Status
There is no risk										

<sup>6</sup> Limit yourself to Development Risks, Reputational Risks

High	B	C	D
Medium	A	B	C
Low	A	A	B
	Low	Medium	High
Probability			

## 4 Steering and Learning

### 4.1 Recommendations

*On the basis of the data and analysis above, formulate recommendations (actions to be taken /decisions to be taken) These can be both strategic as operational.*

Recommendations	Source	Actor	Deadline
<i>Exchange of Letters to be undertaken before 21<sup>st</sup> June 2015 to allow extension of SCF SA validity</i>		<i>Embassy of Belgium &amp; MPI</i>	<i>Q2 2015</i>

### 4.2 Lessons Learned

*Capture important Lessons Learned from the intervention's experience. Lessons Learned are new insights that must remain in the institutional memory of BTC and partners.*

Lessons learned	Target audience
Clarity of the Fund Operation manual helps better understanding of the execution of the expertise by the Vietnamese organisations, thus speed up the delivery of results.	PSC



## 5 Annexes

### 5.1 “Budget versus current (y – m)” Report

See the *Annex*

### 5.2 Decisions taken by the JLCB and follow-up

Provide an overview of the important strategic decisions taken by the JLCB and the follow-up of those decisions since the beginning of the intervention.

Decision to take		Action			Follow-up		Stat		
Decision to take	Period of identification	Timing	Source	Actor	Action(s)	Resp.		Deadline	Progress
Request Exchange of Letter to extend the validity of SCF SA beyond 21s June 2015	February 2015					Attache & MPI	21 June 2015	Process started in March 2015	Ongc



# Budget vs Actuals (Year to Month, Last 5 Years) of VIE/00/013

Project Title : **Fonds d'Etudes**

Budget Version : **M5**

Currency : **EUR**

YtM :

Year to month : 31/01/2015

**Report includes all closed transactions until the end date of the chosen closing**

	Status	Fin Mode	Amount	Start to		Expenses			Total	Balance	% Exec
				2011	2012	2013	2014	2014			
<b>A ALLOCATED FUNDS</b>			1.476.175,58	548.745,09	135.162,12	5.921,54	439.290,69	84.396,35	1.213.515,79	262.659,79	82%
<b>01 Irrigation system in Ninh Thuan province</b>			0,00	45.645,56	0,00	0,00	0,00	0,00	45.645,56	-45.645,56	?
01 Allocated Funds		COGES	0,00	45.645,56	0,00	0,00	0,00	0,00	45.645,56	-45.645,56	?
<b>02 Sanitation in Binh Thuan province</b>			29.177,27	29.449,05	0,00	0,00	0,00	0,00	29.449,05	-271,78	101%
01 Allocated Funds		COGES	29.177,27	29.449,05	0,00	0,00	0,00	0,00	29.449,05	-271,78	101%
<b>03 Water system in Binh Dinh province</b>			0,00	64.362,37	0,00	0,00	0,00	0,00	64.362,37	-64.362,37	?
01 Allocated Funds		COGES	0,00	64.362,37	0,00	0,00	0,00	0,00	64.362,37	-64.362,37	?
<b>04 Solid Waste - Khahn Hoa province</b>			0,00	6.761,75	0,00	0,00	0,00	0,00	6.761,75	-6.761,75	?
01 Allocated Funds		COGES	0,00	6.761,75	0,00	0,00	0,00	0,00	6.761,75	-6.761,75	?
<b>05 Sanitation in Phu Yen province</b>			0,00	52.357,31	0,00	0,00	0,00	0,00	52.357,31	-52.357,31	?
01 Allocated Funds		COGES	0,00	52.357,31	0,00	0,00	0,00	0,00	52.357,31	-52.357,31	?
<b>06 Irrigation system in Binh Dinh province</b>			0,00	52.942,18	0,00	0,00	0,00	0,00	52.942,18	-52.942,18	?
01 Allocated Funds		COGES	0,00	52.942,18	0,00	0,00	0,00	0,00	52.942,18	-52.942,18	?
<b>07 Risk assessment of pollution in Phu My</b>			25.594,89	32.101,65	0,00	0,00	0,00	0,00	32.101,65	-6.506,76	125%
01 Allocated Funds		COGES	25.594,89	32.101,65	0,00	0,00	0,00	0,00	32.101,65	-6.506,76	125%
<b>08 Technical supervision for risk assessment</b>			0,00	2.028,82	0,00	0,00	0,00	0,00	2.028,82	-2.028,82	?
01 Allocated Funds		COGES	0,00	2.028,82	0,00	0,00	0,00	0,00	2.028,82	-2.028,82	?
<b>09 Strengthening of institutional capacity of the</b>			0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	?
		REGIE	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	?
<b>COGEST</b>			3.871.840,33	548.061,07	135.162,12	9.444,27	449.051,77	85.274,81	1.226.994,04	2.644.846,29	32%
<b>TOTAL</b>			3.871.840,33	548.061,07	135.162,12	9.444,27	449.051,77	85.274,81	1.226.994,04	2.644.846,29	32%









# Budget vs Actuals (Year to Month, Last 5 Years) of VIE/00/013

Project Title : **Fonds d'Etudes**

Budget Version : **M15**

Currency : **EUR**

YtM :

Year to month : 31/01/2015

**Report includes all closed transactions until the end date of the chosen closing**

	Status	Fin Mode	Amount	Start to		Expenses			Total	Balance	% Exec
				2011	2012	2013	2014	2015			
01 Allocated funds		COGES	58.800,00	17.687,63	41.230,07	0,00	0,00	0,00	58.917,70	-117,70	100%
<b>19 ENCOR - consultant on survey, detailed</b>											
01 Allocated funds		COGES	78.690,00	69.308,74	0,00	0,00	0,00	0,00	69.308,74	9.381,26	88%
<b>20 CPS - MPI: evaluation of URBIS tender Hanoi</b>											
01 Allocated funds		COGES	1.500,00	1.491,42	0,00	0,00	0,00	0,00	1.491,42	8,58	99%
<b>21 Consultancy on Survey, detailed design &amp;</b>											
01 Allocated funds		COGES	105.920,00	53.253,72	53.253,72	0,00	5.791,92	0,00	59.045,64	46.874,36	56%
<b>22 Feasibility study on cacao chain</b>											
01 Allocated funds		COGES	14.000,00	14.005,28	0,00	0,00	0,00	0,00	14.005,28	-5,28	100%
<b>23 Request for studies for solid waste</b>											
01 Allocated funds		COGES	61.650,00	43.231,87	18.539,17	0,00	0,00	0,00	61.771,04	-121,04	100%
<b>24 Workshop: Mainstreaming gender into the</b>											
01 Gender W/S		COGES	1.876,59	4.657,63	337,63	0,00	0,00	0,00	4.995,26	-3.118,67	266%
<b>25 Pre-identification development of business</b>											
01 Pre-identification development of business		COGES	15.000,00	15.879,99	15.879,99	0,00	32.446,10	0,00	48.326,09	-33.326,09	322%
<b>26 POM for Study Fund (MCG)</b>											
01 POM for Study Fund (MCG)		COGES	12.000,00	5.921,54	5.921,54	5.921,54	0,00	0,00	11.843,08	156,92	99%
<b>27 Consult. to insvetigate leachate treatment</b>											
			58.000,00		0,00	0,00	1.253,08	0,00	1.253,08	56.746,92	2%
		<b>REGIE</b>									
		<b>COGEST</b>	3.871.840,33	548.061,07	135.162,12	9.444,27	449.051,77	85.274,81	1.226.994,04	2.644.846,29	32%
		<b>TOTAL</b>	3.871.840,33	548.061,07	135.162,12	9.444,27	449.051,77	85.274,81	1.226.994,04	2.644.846,29	32%





# Budget vs Actuals (Year to Month, Last 5 Years) of VIE/00/013

Project Title : **Fonds d'Etudes**

Budget Version : **M15**

Currency : **EUR**

YtM : **Report includes all closed transactions until the end date of the chosen closing**

Year to month : 31/01/2015

	Status	Fin Mode	Amount	Start to 2011	2012	2013	2014	Expenses	Total	Balance	% Exec
01 Consult. to investigate leachate treatment		COGES	58.000,00	0,00	0,00	0,00	1.253,08	0,00	1.253,08	56.746,92	2%
<b>28 02 study tours in europe 2014</b>			198.000,00	0,00	0,00	0,00	205.657,59	7.053,34	212.710,93	-14.710,93	107%
01 study tour to UK April 2014		COGES	63.000,00	0,00	0,00	0,00	60.261,91	0,00	60.261,91	2.738,09	96%
02 Study tour to Belgian, Ireland & Scotland		COGES	135.000,00	0,00	0,00	0,00	145.395,68	7.053,34	152.449,02	-17.449,02	113%
<b>29 Service Sector Department - MPI</b>			199.938,00	0,00	0,00	0,00	122.764,10	0,00	122.764,10	77.173,90	61%
01 Assessment of the Business Environment &		COGES	199.938,00	0,00	0,00	0,00	122.764,10	0,00	122.764,10	77.173,90	61%
<b>30 Ministry of Home Affairs</b>			200.000,00	0,00	0,00	0,00	302,80	0,00	302,80	199.697,20	0%
01 Support the Development of the Law on		COGES	200.000,00	0,00	0,00	0,00	302,80	0,00	302,80	199.697,20	0%
<b>31 Governance Sector Review</b>			50.000,00	0,00	0,00	0,00	22.524,90	0,00	22.524,90	27.475,10	45%
01 Review and assess impacts of Belgian		COGES	50.000,00	0,00	0,00	0,00	22.524,90	0,00	22.524,90	27.475,10	45%
<b>32 Assess the Belgian Scholarships Review in</b>			60.000,00	0,00	0,00	0,00	221,45	0,00	221,45	59.778,55	0%
01 Assess the Belgian Scholarship Review in		COGES	60.000,00	0,00	0,00	0,00	221,45	0,00	221,45	59.778,55	0%
<b>33 Assessment Implementation of Decree 38</b>			193.315,00	0,00	0,00	0,00	48.328,75	77.343,01	125.671,76	67.643,24	65%
01 Assessment of Implementation of Decree 38		COGES	193.315,00	0,00	0,00	0,00	48.328,75	77.343,01	125.671,76	67.643,24	65%
<b>Z UNALLOCATED FUNDS</b>			2.395.664,75	-684,02	0,00	3.522,73	9.761,08	878,46	13.478,25	2.382.186,50	1%
<b>01 Unallocated Funds</b>			2.364.664,70	-688,96	0,00	0,00	302,78	-16,33	-402,51	2.365.067,21	0%
01 Balance for new studies		COGES	2.364.664,70	-688,96	0,00	0,00	302,78	-16,33	-402,51	2.365.067,21	0%
<b>02 operation cost</b>			10.000,05	4,94	0,00	0,00	314,95	0,00	319,89	9.680,16	3%
<b>REGIE</b>											
<b>COGEST</b>			3.871.840,33	548.061,07	135.162,12	9.444,27	449.051,77	85.274,81	1.226.994,04	2.644.846,29	32%
<b>TOTAL</b>			3.871.840,33	548.061,07	135.162,12	9.444,27	449.051,77	85.274,81	1.226.994,04	2.644.846,29	32%



# Budget vs Actuals (Year to Month, Last 5 Years) of VIE/00/013

Project Title : **Fonds d'Etudes**

Budget Version : **M5**

Currency : **EUR**

YIM :

Year to month : 31/01/2015

**Report includes all closed transactions until the end date of the chosen closing**

	Status	Fin Mode	Amount	Start to 2011	2012	2013	2014	Expenses		Total	Balance	% Exec
								2011	2012			
01 mail		COGES	0,05	4,94	0,00	0,00	66,05	0,00	0,00	70,99	-70,94	141980
02 Consumables		COGES	10.000,00		0,00	0,00	248,90	0,00	0,00	248,90	9.751,10	2%
<b>03 Secretary to SCF</b>			21.000,00		0,00	3.522,73	9.143,35	<b>894,79</b>	<b>894,79</b>	13.560,87	<b>7.439,13</b>	<b>65%</b>
01 Secretary to SCF		COGES	21.000,00		0,00	3.522,73	9.143,35	<b>894,79</b>	<b>894,79</b>	13.560,87	7.439,13	65%

**REGIE**

<b>COGEST</b>	3.871.840,33	548.061,07	135.162,12	9.444,27	449.051,77	<b>85.274,81</b>	1.226.994,04	2.644.846,29	32%
<b>TOTAL</b>	3.871.840,33	548.061,07	135.162,12	9.444,27	449.051,77	<b>85.274,81</b>	1.226.994,04	2.644.846,29	32%





## 4 Steering and Learning

### 4.1 Action Plan

*On the basis of the data and analysis above, formulate actions to be taken (/decisions to be taken) These can be both strategic as operational.*

Action plan	Source	Actor	Deadline
<i>Description of the action/decision to be taken</i>	<i>The sub-chapter to which the action/decision refers (e.g. 3.2.3)</i>	<i>The person responsible for taking the decision/taking action</i>	<i>e.g. Q1, Q2, Q3 or Q4 of year N+1</i>
A decision by the SC of the Fund to allow URENCO Phu Yen to hire an external consultant to evaluate tenders and select consultant team for this consultancy.		Embassy, MPI, BTC	Q1 2014
Approval of invoices by BTC and MPI is needed for payment of consultancy to prepare tender dossiers for the study of Tuy Hoa landfill leachate treatment in Phu Yen province		BTC, MPI	15 <sup>th</sup> February 2014

### 4.2 Lessons Learned

*Capture important Lessons Learned from the intervention's experience. Lessons Learned are new insights that must remain in the Institutional memory of BTC and partners.*

Lessons learned	Target audience
Weak capacity of tender preparation and implementation by the beneficiaries lead to delays in disbursement of the Fund.	

# 5 Annexes

## 5.1 "Budget versus current (y - m)" Report

### Financial Planning of VIE000/013

Project Title : Fonds d'Etudes

Fin Plan Version: 2013Q4  
 Budget Version: L03  
 Owner: DGD  
 Currency: EUR

Amounts in 1000 EUR

Status	Fin Made Budget	TTY :	Balance	2013					Total	2012 to end	Est. end Proj. Bal.	Est. % exc.
				Q1	Q2	Q3	Q4	Q5				
<b>A. ALLOCATED FUNDS</b>												
01 Irrigation system in Ninh Thuan	0.00	45.55	-45.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-45.55	7%
01 Allocated Funds	0.00	45.55	-45.55	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-45.55	7%
02 Sanitation in Binh Thuan	23.18	23.45	-0.27	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-0.27	101%
01 Allocated Funds	23.18	23.45	-0.27	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-0.27	101%
03 Water system in Binh Dinh	0.00	64.36	-64.36	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-64.36	7%
01 Allocated Funds	0.00	64.36	-64.36	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-64.36	7%
04 Solid Waste - Khanh Hoa	0.00	5.76	-5.76	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-5.76	7%
01 Allocated Funds	0.00	5.76	-5.76	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-5.76	7%
05 Sanitation in Phu Yen province	0.00	52.35	-52.35	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-52.35	7%
01 Allocated Funds	0.00	52.35	-52.35	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-52.35	7%
06 Irrigation system in Binh Dinh	0.00	52.94	-52.94	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-52.94	7%
01 Allocated Funds	0.00	52.94	-52.94	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-52.94	7%
07 Risk assessment of pollution in	25.59	33.12	-7.51	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-7.51	125%
01 Allocated Funds	25.59	33.12	-7.51	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-7.51	125%
08 Technical supervision for risk	0.00	2.65	-2.65	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2.65	7%
01 Allocated Funds	0.00	2.65	-2.65	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2.65	7%
09 Strengthening of institutional	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7%
01 Allocated Funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7%
10 Verification of the Feasibility of	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7%
01 Allocated Funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7%
11 Verification of the Feasibility	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7%
01 Allocated Funds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	7%
REGIE	1,871.95	893.23	1,068.52	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,068.52	42%
COGEST	1,871.95	893.23	1,068.52	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,068.52	42%
TOTAL	1,871.95	893.23	1,068.52	0.00	0.00	0.00	0.00	0.00	0.00	0.00	1,068.52	42%

Financial Planning of VIE000013

Project title : Fonds d'Etudes  
 Fin Plan Version: 2013Q4  
 Budget Version: L03  
 Donor: DGD  
 Currency: EUR

Amounts in 1000 EUR

Status	Fin Mode/Budget	TY1	Balance	2013				Total	2013 Est end for 8100	Pro- Est	Est end % 8100
				Q1	Q2	Q3	Q4				
01 Associated Funds	COGEST	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
12 Preparation of Targeted Budget		22.29	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
01 Associated Funds	COGEST	22.29	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
19 Acquirer capacity investigation		32.73	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
01 Associated Funds	COGEST	32.73	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
14 Final Seminar Report of		5.09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
01 Associated Funds	COGEST	5.09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
15 Identification - supporting the		16.73	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
01 Associated funds	COGEST	16.73	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
16 Documentary on 30 years of		16.18	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
01 Associated funds	COGEST	16.18	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
17 Consulting services for the		19.71	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
01 Associated funds	COGEST	19.71	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
18 Urbis Hamel 2010 - Building a		59.82	-0.12	0.00	0.00	0.00	0.00	0.00	0.00	-0.12%	
01 Associated funds	COGEST	59.82	-0.12	0.00	0.00	0.00	0.00	0.00	0.00	-0.12%	
19 ENCOR - consultant on survey.		79.59	9.38	0.00	0.00	0.00	0.00	0.00	0.00	9.38%	
01 Associated funds	COGEST	79.59	9.38	0.00	0.00	0.00	0.00	0.00	0.00	9.38%	
20 CPE - MPE evaluation of URBS		1.50	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
01 Associated funds	COGEST	1.50	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00%	
21 Consultancy on survey, detailed		125.82	52.87	0.00	0.00	0.00	0.00	0.00	0.00	52.87%	
01 Associated funds	COGEST	125.82	52.87	0.00	0.00	0.00	0.00	0.00	0.00	52.87%	
22 Feasibility study on cacao chain		14.00	-0.01	0.00	0.00	0.00	0.00	0.00	0.00	-0.01%	
01 Associated funds	COGEST	14.00	-0.01	0.00	0.00	0.00	0.00	0.00	0.00	-0.01%	
REGIE		1.871.95	1.488.62	0.00	0.00	0.00	0.00	0.00	0.00	1.488.62%	
COGEST		1.871.95	1.488.62	0.00	0.00	0.00	0.00	0.00	0.00	1.488.62%	
TOTAL		1.871.95	1.488.62	0.00	0.00	0.00	0.00	0.00	0.00	1.488.62%	

Financial Planning of VIE00013

Project Title : Fonds d'Etudes  
 Fin Plan Version: 2013Q4  
 Budget Version: L03  
 Owner: DGD  
 Currency: EUR

Amounts in 1000 EUR

Status	Fin Mode Budget	Tiv-1	Balances				Total	2014 Budget	Est. Proj. Bal.	Est. % EXEC
			Q1	Q2	Q3	Q4				
01 Allocated funds	COGEST	14,21	-2,01	0,00	0,00	0,00	0,00	0,00	-0,01	100%
28 Request for studies for soils		61,77	-6,12	0,00	0,00	0,00	0,00	0,00	-6,12	100%
01 Allocated funds	COGEST	61,77	-6,12	0,00	0,00	0,00	0,00	0,00	-6,12	100%
24 Workshop: Maintreaining		1,88	-1,12	0,00	0,00	0,00	0,00	0,00	-1,12	266%
01 Gender WS	COGEST	1,88	-1,12	0,00	0,00	0,00	0,00	0,00	-1,12	266%
25 Pre-identification development		15,23	-2,33	0,00	0,00	0,00	0,00	0,00	-2,33	100%
01 Pre-identification development of	COGEST	15,23	-2,33	0,00	0,00	0,00	0,00	0,00	-2,33	100%
26 POM for Study Fund (WCS)		12,00	6,66	0,00	0,00	0,00	0,00	0,00	6,66	49%
01 POM for Study Fund (WCS)	COGEST	12,00	6,66	0,00	0,00	0,00	0,00	0,00	6,66	49%
27 Consult. to investigate leachate		58,32	58,32	0,00	0,00	0,00	0,00	58,32	58,32	94%
01 Consult. to investigate leachate	COGEST	58,32	58,32	0,00	0,00	0,00	0,00	58,32	58,32	94%
<b>ZUNALOCATED FUNDS</b>										
01 Unallocated Funds		1,275,12	1,275,12	0,00	0,00	0,00	0,00	1,275,12	1,275,12	0%
01 Balance for new studies	COGEST	1,275,92	1,275,91	0,00	0,00	0,00	0,00	1,276,81	1,276,81	0%
02 operation cost		6,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0%
01 mail	COGEST	6,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0%
03 Secretary to SCF		21,33	21,33	0,00	0,00	0,00	0,00	21,33	21,33	71%
01 Secretary to SCF	COGEST	21,33	21,33	0,00	0,00	0,00	0,00	21,33	21,33	71%

REGIF		683,33	1,584,52	0,00	0,00	0,00	0,00	3,00	3,00	1,133,63	40%
COGEST		683,33	1,584,52	0,00	0,00	0,00	0,00	3,00	3,00	1,133,63	40%
TOTAL		683,33	1,584,52	0,00	0,00	0,00	0,00	3,00	3,00	1,133,63	40%

