



BTC

MPI

RESULTS REPORT 2014
GREEN GROWTH STRATEGY FACILITY

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1 Intervention at a glance

1.1 Intervention form

Intervention title	Green Growth Strategy Facility (GGSF)
Intervention code	VIE 11 041 11
Location	Hanoi Provinces: Ha Tinh, Binh Thuan and Ninh Thuan
Total budget	5,500,000 Euro, which includes: + Non-refundable aid of Belgian Government: 5,000,000 Euro; + Contribution of the Partner country: 500,000 Euro.
Partner Institution	Ministry of Planning and Investment (MPI), Department of Science, Education, Natural Resources and Environment (DSENRE)
Start date Specific Agreement	14th August 2013
Date intervention start /Opening Steering Committee	14th August 2013/ 14 th October, 2013
Planned end date of execution period	14th August 2019
End date Specific Agreement	14th August 2019
Target groups	+ MPI, and in particular DSENRE; + Members of the GGS Steering Board; + Related Government agencies and selected provinces + NGOs, including Vietnamese business associations and sector associations; + Management of business associations, chambers of commerce, industrial parks, industrial clusters, and crafts villages, for instance those concerned by actions funded through the project and/or Facility.
Impact ¹	The Vietnamese Green Growth Strategy is implemented
Outcome	A Facility to support the implementation of the Vietnamese Green Growth Strategy enables Green initiatives
Outputs	1. A support facility is created and developed
	2. Green growth capacity & MPI leadership increased
	3. Piloting activities in three provinces are supported
	4. The Facility supports, through a call for proposals, green growth interventions throughout the country
	5. Good practices disseminated and replicated
Year covered by the report	2014

¹ Impact refers to global objective, Outcome refers to specific objective, output refers to expected result

1.2 Budget execution

Currency: EUR

	Budget	Expenditure		Balance	Disbursement rate at the end of 2014
		2013	2014		
Total	5,000,000	1,996.05	217,304.63	4,780,699.32	0.04%
A support facility is created and developed	430,000	0	449.47	429,550.53	
Green growth capacity & MPI leadership increased	200,000	0	210.79	199,789.21	
Piloting activities in three provinces are supported	920,000	0	0	920,000	
The Facility supports, through a call for proposals, green growth interventions throughout the country	1,440,000	0	0	1,440,000	
Good practices disseminated and replicated	175,000	0	149.30	174,850.70	
Other expenditure (Budgetary reserve, general means)	1,835,000	1,996.05	216,495.07	1,616,508.88	

1.3 Self-assessment performance

1.3.1 Relevance

	Performance
Relevance	A

The relevance of the project is still very high and to some extent even increased since the start of the project. First, recent analyses of the forecast greenhouse gases emissions and energy consumption of Vietnam again demonstrated that the green growth strategy is crucial to ensure a sustainable prosperity in the country. Second, from the need of a new and efficient funding tool to support the green growth strategy has been reconfirmed by the partner. In particular, the VNGGSF has been mentioned by the PMU as a candidate entity to enable access to the Green Climate Fund.

The intervention logic is still very relevant as well. However, it appears very difficult to consider the elaboration of the FOM and the call for proposals as two separate tasks as their respective elaborations are very much linked to each other. This does not imply any change in the intervention logic but rather a careful work planning to keep aligning these outputs developments.

1.3.2 Effectiveness

	Performance
Effectiveness	B

At the end of the reporting period, the apparent disbursement rate and achieved work may appear a little bit delayed compared to the initial planning. This was however justified clear reasons all approved and supported by the Steering Committee in December 2014.

However, let's note that late December 2014 and early January 2015, several consultancies have been effectively started their work which should accelerate the progress of the project and thereby still be in line with the planning.

In terms of administrative procedures, the effectiveness of the resources did not encounter any problems so far.

1.3.3 Efficiency

	Performance
Efficiency	A

The VNGGSF staff has been continuously consulting many green growth stakeholders to ensure and anticipate any changes in the project context and to adapt the project accordingly. This is particularly true for the preliminary reflexions about the FOM wherein other multilateral institutions have been closely involved.

Note that in the future, in case the ambitions of the partner regarding the Facility are still increasing (e.g. to be accredited by the GCF, also including funding adaptation activities etc.), the collaboration with other donors and agencies will still increase as well.

1.3.4 Potential sustainability

	Performance
Potential sustainability	A

The level of ownership and involvement in the project by the partner is very high as the PMU is highly counting on the upcoming Facility to enable the implementation of VNGGAP.



However, the domain of development of the Facility – i.e. climate finance, low-carbon economy, etc – is highly complex and multi-dimensional. This is why the project activity aiming at strengthening the MPI capacity has been carefully initiated together with the other activities.

The financial sustainability of the Facility is still a key question as it depends on several factors such as the availability of funding sources (donors, international climate finance et) and the business model (grants, loans, etc.) which is not yet defined. During the

reporting period, the project paid a specific attention to not confine the facility in any specific framework and to keep several solutions possible. From that perspective, key choices have to be made by the project in the first semester of 2015.

1.4 Conclusions

- Despite a relatively slow start (and relatively low disbursement rate), the project managed to set up the necessary team and tools to tackle some of its core tasks in the next months, namely conducting the key studies to establish the Facility.
- The relevance and political expectations about the Facility have been confirmed and even reinforced during the reporting period.
- Risks that were initially identified are still relevant even though their likelihood is not increased.

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2 Results Monitoring²

2.1 Evolution of the context

2.1.1 General context

- A few key context changes during the very first weeks of our project have been reported in our project Baseline Workplan.
- It includes the confirmation of the coordinating role of the MPI for the implementation of the VN Green Growth Action Plan (VNGGAP), which thereby reinforces the political importance of our project for our partner.
- 16 Provinces are currently elaborating their local Green Growth Action Plans, including the 3 provinces of our pilot projects (Ha Tinh, Ninh Thuan, Binh Thuan). Potential difficulties encountered by the provinces to develop their local Green Growth Action Plans may offer opportunities for specific support in the next year of the project.
- End of 2014, a GIZ study on the Vietnam readiness for accessing the upcoming Green Climate Fund (GCF) identified the VGGSF as a potential candidate for the “National Implementing Entity” to access the GCF. If this is confirmed by the Vietnam Government, this would mean an increased importance of the Facility. Though an obvious positive sign of project relevance, this could also lead to difficulties due to the limited resources of our project.

2.1.2 Institutional context

- The MPI is in charge of the coordination of the VNGGAP, which reinforced his relevance as partner to develop a national facility supporting green growth.
- Appeared potential weakness may be a disalignment between national policy level and provincial or sectorial green growth implementation reality. The collaboration of provincial authorities will thus be essential for the success of the pilot activities to be conducted in the future.

2.1.3 Management context: execution modalities

- The co-management modality may potentially lead to different interpretations between BTC and the partner, which may form an inefficiency risk e.g. by putting technical assistants in ambiguous situations.
- Even though this has significantly affected other BTC projects, our project wasn't impacted by this difficulty during the reporting period.

² Impact refers to global objective, Outcome refers to specific objective, output refers to expected result

2.1.4 Harmo context

- With the growing interest of donors about the VGGNS, it appeared that many donors are involved in numerous parallel and potentially overlapping activities.
- Due to the increased partner's expectations about the Facility, other agencies demonstrated a high (sometimes pressing) interest in contributing to the Facility elaboration.

2.2 Performance Outcome



2.2.1 Progress of indicators

The project baseline is currently under elaboration. It is thus still not possible to quantify the progress in terms of indicators as they are not fully defined yet. However, when compared to the workplan and TFF, the project progressed as planned despite a relatively low disbursement rate for the first year of the project (i.e. the reporting period).

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre-quency of data collec-tion	Start - end measu-rements	Respon-sible data collec-tion	Respon-sible consele-ation	Other
OUTCOME: A Facility to support the implementation of the Vietnamese Green Growth Strategy enables Green initiatives															
Number and nature of actions organized through the Facility	0	TBD	0	TBD	TBD	TBD	TBD	TBD	No of actions contributing to green growth	Facility reports.	Quarterly	2015-2019	PMU	TBD	
Amount of green investments directly promoted and finance-facilitated by the end of the project exceeds 10 million EUR.	0	10	0	1	1.5	2	2.5	3	EUR Mil.	Facility reports.	Quarterly	2015-2019	PMU	PMU (to be confirmed)	
Initiated replication of green initiatives facilitated and	0	6 (projects)	0	0	0	1	2	3	No. (number of replicated	Facility reports.	Quarterly	2017-2019	TBD	TBD	

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respon- sible data collec- tion	Respon- sible consele- dation	Other
demonstrated by the end of the project									projects through the facility, replications outside the facility, amount invested in replicated projects, number of donors participating in demonstrati on workshops)						
Achievement of most of the environmental impact indicators (more in Annex 10 in TFF)	To be analysed for the target sectors	to be defined (TBD)	TBD	TBD	TBD	TBD	TBD	TBD	tCO2e/USD (global), tCO2e/unit of production (sectorial), MWh/USD, MWh fossil fuel/USD, MWh renewable produced, MW renewable operational capacity, compound	Reporting on actions selected for implementation; Reports on feasibility studies and financial matchmaking; Facility M&E reports.	TBD	TBD	TBD	TBD	

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respon- sible data collec- tion	Respon- sible consele- dation	Other
									environmtal indicators)						
Achievement of most of the anticipated direct environmental impact indicators (more in Annex 10 in TFF)	TBC	TBC	TBC	TBC	TBC	TBC	TBC	TBC	TBC	Reporting on actions selected for implementation; Reports on feasibility studies and financial matchmaking; Facility M&E reports.					
Achievement of most of anticipated total environmental impact indicator (more in Annex 10 in TFF)	TBC	TBC	TBC	TBC	TBC	TBC	TBC	TBC	TBC	Reporting on actions selected for implementation; Reports on feasibility studies and financial matchmaking; Facility M&E reports.	TBC	TBC	TBC	TBC	
CO2 emission reductions (target to be identified during baseline)	TBC	TBC	TBC	TBC	TBC	TBC	TBC	TBC	TBC	Reporting on actions selected for implementation;	TBC	TBC	TBC	TBC	

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respon- sible data collec- tion	Respon- sible console- dation	Other
(reduced tCO2/USD, tCO2e/unit of production for sectors, compared to 2010)										Reports on feasibility studies and financial matchmaking; Facility M&E reports.					

2.2.2 Analysis of progress made

- In order to achieve the outcome, the development of the facility operation manual (FOM) is still essential. The project managed to recruit qualified consultant to start the necessary studies supporting that task.
- Other consultants have been recruited and will contribute to the other necessary output of the project.
- In that view, the project progressed as planned.
- The Facility is still considered as a key element to enable the VNGGS implementation, in particular to channel funding towards relevant green initiatives and unlock existing barriers.
- Key target output include the FOM and other facility components such as the call for proposals mechanism. They are still considered as essential to reach the target outcome and receive a lot of attention from the partner.
- Other project outputs such as the MPI training are not considered as priorities so far, as the needed competencies to acquire will only be identified once the Facility is established and the MPI staff role clearly defined.
- No issues raised during the reporting period.
- The raising partner's expectation about the Facility is a key (positively) influencing factor of the project during the reporting period.

2.2.3 Potential Impact

- In case the VGGSF is ever accredited as NIE for the GCF, it will then become (one of) the central tools of the implementation of the national strategy. This would mean a very high impact, potentially overpassing the one identified in the formulation.
- This however raises new risks (credibility of BTC, collaboration/competition with other development agencies etc).

2.3 Performance Output 1



2.3.1 Progress of indicators

As described here above, at the time of writing this report, the baseline is under elaboration. Consequently, indicators still have to be designed and approved, as well as their target values. Information provided here below should be considered as indicative only. However, compared to the initial workplan, the project is progressing as expected.

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respo n -sible data collec- tion	Respon- sible consele- dation	Other
OUTPUT 1: A support Facility is created and developed															
Creation and publication of the operational rules of the Facility (FOM).	0	1	0	1					FOM	FOM and its updates; Facility files	Quarterly	2015	PMU	TBD	
Launch of 3 calls for proposals with Belgian funding (Calls for proposal for pilot projects in provinces)	0	3	0	3					No.	Facility files; Publication in newspapers; Publication on websites; Project reporting; Funding agreements, Confirmations from other donors.	Quarterly	2015	PMU	TBD	

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measurement	Source of verification	Frequency of data collection	Start - end measurements	Responsible data collection	Responsible consolidation	Other
Ability to attract funding for the facility from other donors and level of these other funds. (Launched calls for proposals with other donor funding)	0	6	0	0	0	1	2	3	No.	Facility files; Publication in newspapers; Publication on websites; Project reporting; Funding agreements, Confirmations from other donors.	Quarterly	2017-2019	PMU	TBD	
Full spending of the budget for the Belgian supported calls for proposals	0	100	0	10	15	20	25	30	%	Facility files; Project reporting; Funding agreements.	Quarterly	2015-2019	PMU	TBD	
Monitoring and evaluation of the Facility's operation launched	0	1	0	0	1				M&E Strategy	Facility files; Facility M&E reports;	Quarterly	2016-2019	PMU	TBD	
Recommendations for improvement of the Facility's operation followed up on. (% of followed recommendations each period)	0	100	100	100	100	100	100	100	%	Facility files; Project reporting	Quarterly	2014-2019	PMU	TBD	
Time required to complete a call for	0	3	0	5	4.5	4	3.5	3	Mon-ths	Facility files; Project	Yearly	2015-2019	PMU	TBD	

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respo -sible data collec- tion	Respon- sible consele- dation	Other
proposal cycle (launch to contracting) – target to be defined during set-up										reporting					

2.3.2 Progress of main activities

Progress of <u>main</u> activities ³	Progress:			
	A	B	C	D
1 Studies to develop the Facility.			x	
2 Development of the different tools for the call for proposals			x	
3 Set-up of the monitoring and evaluation strategy		x		
4 Development of the Project Operation Manual (POM) and Facility Operational Manual (FOM)			x	
5 Development of advertisement and workshops		x		

2.3.3 Analysis of progress made

Studies to develop the Facility and its core instruments are have just started at the time of writing this report.

Some relative delay is observed for the development of the POM and Baseline elaboration. This is however currently considered as priorities for the 2nd year.

Workshops and dissemination activities will be envisioned only when tangible and communicable results are delivered by the on-going activities.

A.1.1 Studies to develop the Facility:

A baseline study has been planned to be conducted with the aim at presenting the state of the art in relation with green growth in Vietnam, defining the priority sectors for action (according to environmental, economic and social criteria. and the willingness of the sector to participate to the call for proposals) and developing relevant indicators in the concerned sectors. Terms of references, procurement process and other related paperwork have been well prepared and ready for call for consultancies.

The baseline study has originally planned to take place in the 3rd quarter of 2014, however due to the late approval of the procurement plan and thus the call for consultancy would only be implemented in the 4th quarter of 2014. This has been delayed in comparison with the work-plan. The call for consultancy has been launched and the selection process is now underway. It is expected the contract would be awarded in early December 2014.

A.1.2 Development of the different tools for the call for proposals:

A consultant team has been expected to be mobilized by the Project to develop a complete set of call for proposal mechanisms in 3rd quarter of 2014 and all related paperwork including development of terms of reference have been done, however this has been delayed due to late approval by the procurement plan. The call for consultancy has been launched and the selection process is now underway. It is expected the contract would be awarded in early December 2014.

A.1.3. Set-up of the monitoring and evaluation strategy

The M&E Officer has been mobilized in the 2nd quarter of 2014 to support the implementation of the

³ A: The activities are ahead of schedule
 B: The activities are on schedule
 C: The activities are delayed, corrective measures are required.
 D: The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

M&E work, especially development of an efficient M&E system required by the Project and BTC M&E policy. The draft of monitoring and evaluation strategy has been developed by the M&E Officer which will be further refined based on the Facility Operation Manual.

The selection process of consultant is now underway and it is expected that the FOM can be finalized in the first quarter of 2015.

A.1.4. Development of the Project Operation Manual (POM) and Facility Operational Manual (FOM).

The consultant team has been expected to be missioned for carrying out this assignment in the first half of 2014 and the terms of reference has been developed with an intensive consultation process with PMU and BTC as this is considered a core framework and the GGSF project.

The Project intervention team has been working hard on reviewing existing relevant operational manuals and meeting with quite many different development partners and donors who have the similar facilities and/or funds to explore more information and experience.

The call for consultancy has been done and the selection process is now underway. It is expected that the consultant team will be mobilized in early December 2014.

A.1.5. Development of advertisement and workshops:

These activities have not been carried out as the tools and procedures are not available. These are remained important and will be brought forward to 2015 workplan and taken place in the first quarter of 2015.

2.4 Performance Output 2

2.4.1 Progress of indicators

As described here above, at the time of writing this report, the baseline is under elaboration. Information provided here below should be considered as indicative only.

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respon- sible data collec- tion	Respon- sible consele- dation	Other
OUTPUT 2: Green growth capacities & MPI leadership increased															
The majority of MPI participants in training activities are satisfied or very satisfied about the trainings/ workshops	0	60	0	60	60	60	60	60	%						
At least 60% of participants in training activities are satisfied or very satisfied about the trainings.	0	60	0	60	60	60	60	60	%	Surveys as part of project M&E; Training reports.	At time	2015-2019	PMU	TBD	
Stakeholders capacities related to identifying and implementing green action plans and/or activities enhanced (May be removed if covered by more															

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respon- sible data collec- tion	Respon- sible consele- dation	Other
specific indicators below)															
At least 25% of participants have used their specific knowledge to support green investment (as part of the GGSP or outside the Facility)	0	25	0	25	25	25	25	25	%	Project reports; Surveys as part of project M&E.	Yearly	2015-2019	PMU	TBD	
At least 50% of participants in training activities can utilize the knowledge and skills gained within their organizations within 2 years from the training activities.	0	50	0	0	0	50	50	50	%	Project reports; Surveys as part of project M&E.	Yearly	2017-2019	PMU	TBD	
At least 60% of the organizations sending participants to training activities are satisfied or very satisfied about the training results.	0	60	0	60	60	60	60	60	%	Project reports; Surveys as part of project M&E.	At time	2015-2019	PMU	TBD	
Studies and consultancies have been completed on time and meet quality criteria as	0	100	100	100	100	100	100	100	% of conducted stuedies	Project reports; Surveys as part of project	Quarterly	2014-2019	PMU	TBD	

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respon- sible data collec- tion	Respon- sible consele- dation	Other
confirmed by PMU and external reviewers										M&E.					
Better coordination between actors involved in the Green Growth sector. (May be removed as more specific indicators below)	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD	(% of interviewed donors)						
MPI self- assessment that MPI's leadership and coordination capacities have improved (% of agreement in surveys)	80	0	0	80	80	80	80	80	%	Project reports; Surveys as part of project M&E.	Yearly	2015-2019	PMU	TBD	
Assessment of external observers (donors, etc) that MPI's leadership and coordination capacities have improved (% of agreement in surveys)	80	0	0	80	80	80	80	80	%	Project reports; Surveys as part of project M&E.	Yearly	2015-2019	PMU	TBD	

2.4.2 Progress of main activities

Progress of <u>main</u> activities ⁴	Progress:			
	A	B	C	D
1 Training needs assessment for MPI and capacity building		x		
2 Coordination activities with other actors	x			
3 Capacity building activities for the other actors		x		

2.4.3 Analysis of progress made

A.2.1. Training needs assessment for MPI and capacity building

The call for consultancy has been done and it is expected that the Project will be able to mobilized qualified consultant to conduct a training needs assessment and capacity building in early December.

A.2.2. Coordination activities with other actors

The launching of the National Green Growth Strategy will require huge coordination efforts. The Project intervention team has worked hard in the last time to meet and exchange views with different development partners, donors and other related stakeholders through meetings, workshops, roundtables etc. The effort will continue in the upcoming time.

A.2.3. Capacity building activities for the other actors

Capacity building activities as planned have not yet implemented since they are connected to other activities. It is proposed that these activities will be brought forward to 2015 work-plan.

⁴ A: The activities are ahead of schedule
 B: The activities are on schedule
 C: The activities are delayed, corrective measures are required.
 D: The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

2.5 Performance Output 3

2.5.1 Progress of indicators

As described here above, at the time of writing this report, the baseline is under elaboration. Information provided here below should be considered as indicative only.

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respon- sible data collec- tion	Respon- sible consele- dation	Other
OUTPUT 3: Piloting activities in three provinces are supported															
Green actions plan are developed in the 3 provinces with appropriate tools, budget and chronogram (number of prov with a AP)	0	3	0 (3)	3					(number of prov with a AP)	Action selection reports; Contracts; Facility M&E reports.	Quarterly	2015-2019	PMU	TBD	
Concrete interventions of the plan are executed in at least one of the 3 provinces (Money...energy, CO2 etc)	0	3	0	3					No	Action selection reports; Contracts; Facility M&E reports.	Quarterly	2015-2019	PMU	TBD	

2.5.2 Progress of main activities

Progress of <u>main</u> activities ⁵	Progress:			
	A	B	C	D
1 Pilot Province green strategy action plan			x	
2 Short Term Actions (STA):		x		

2.5.3 Analysis of progress made

A.3.1 Pilot Province green strategy action plan:

These activities are originally planned to take place from 3rd quarter of 2014 and last for 9 months in the work-plan; however there are no budget line in the year 1. It is proposed that these will be brought forward to 2015 work-plan.

A.3.2 Short Term Actions (STA):

The project has a small actions budget line (seed fund) that can be used for a variety of quick actions (relatively small amounts, short-term activities) on green growth, increasing the capacity of the counterparts to act quickly when needed or supporting pilot projects that may be used to show case greening actions at the province level. Use of the funds will be decided by the project within restrictions set during the pilot phase, and with post-hoc reporting by BTC.

There have been a number of meetings between Project intervention team and PMU to discuss on ways for delivery these activities, however, as the project has been in the setting up phase and thus it was agreed that these could be implemented in the 2015 work-plan. The scope of the support will be discussed and defined during the development of the detailed annual work-plan 2015.

⁵ A: The activities are ahead of schedule
 B: The activities are on schedule
 C: The activities are delayed, corrective measures are required.
 D: The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

2.6 Performance Output 4

2.6.1 Progress of indicators

As described here above, at the time of writing this report, the baseline is under elaboration. Information provided here below should be considered as indicative only.

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre-quency of data collec-tion	Start - end measu-rements	Respon-sible data collec-tion	Respon-sible consele- dation	Other
OUTPUT 4: The Facility supports, through a call for proposals, green growth investments throughout the country															
Three calls launched and an action or several actions selected for each call	0	3	0	3					No.	Action selection reports; Contracts; Newspaper publication; Website publication	Quarterly	2015	PMU	TBD	
More than 90% of the actions selected by the facility (after pilot project) focus on the removal of barriers and constraints and creating an enabling environment for green growth and green investments.	0	90	0	0	90	90	90	90	%	Action reports; Facility M&E reports; Full proposals of the selected actions.	Quarterly	2016-2019	PMU	TBD	
The approach	0	0	100	100	100	100	100	100	%	Action	Yearly	2015-	PMU	TBD	

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respon- sible data collec- tion	Respon- sible consele- dation	Other
towards green action plan formulation and implementation is deemed useful (% of agreement in surveys)										reports; Facility M&E reports; Surveys as part of facility M&E exercises		2019			
The approach towards green action plan formulation and implementation is replicated in at least 3 other sectors / provinces / industrial parks (etc).	0	3	0	0	0	1	1	1	No. of action plans replicated in other regions	Action reports; Facility M&E reports; Surveys as part of facility M&E exercises	Yearly	2017-2019	TBD	TBD	
By the end of the project, the call budgets will have been fully disbursed.	0	100	0	10	15	20	25	30	%	Project reports	Quarterly	2015-2019	PMU	TBD	
By the end of the project, the Vietnamese Government and other donors will have contributed to the Facility.	0	10	0	1	1.5	2	2.5	3	USD Mil.	Action selection reports; Action reports; Facility M&E reports; Project reporting	Quarterly	2015-2019	PMU	TBD	

2.6.2 Progress of main activities

Progress of <u>main</u> activities ⁶	Progress:			
	A	B	C	D
1 Launch of 3 calls for proposals		x		
2 Screening against eligibility criteria and selection criteria		x		
3 Contracting & Implementation		x		
4 Vn contribution		x		
5 Support and advice to activities on the field		x		

2.6.3 Analysis of progress made

These activities including A.4.1, A.4.2, A.4.3, and A.4.4 as designed will be carried out second year of the Project (2015).

⁶ A: The activities are ahead of schedule
B: The activities are on schedule
C: The activities are delayed, corrective measures are required.
D: The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

2.7 Performance Output 5

2.7.1 Progress of indicators

As described here above, at the time of writing this report, the baseline is under elaboration. Information provided here below should be considered as indicative only.

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre-quency of data collec-tion	Start - end measu-rements	Respon-sible data collec-tion	Respon-sible consele-dation	Other
OUTPUT 5: Good practices disseminated and replicated.															
Other documents produced and activities organized to disseminate good practices and share lessons learned.	TBD	TBC - (3 works hops, 150 partici pants, organi sation s ...), promo tion materi al	TBD	TBD	TBD	TBD	TBD	TBD	TBD	Project reports; Facility M&E reports.	TBD	TBD	TBD	TBD	
Documents produced and activities organized to communicate on good practices meet quality standards and are appreciated by users	0	80	0	0	0	80	80	80	80	Project reports; Facility M&E reports. Surveys	Yearly	2017-2019	PMU	TBD	

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre- quency of data collec- tion	Start - end measu- rements	Respon- sible data collec- tion	Respon- sible consele- dation	Other
(% of agreement in surveys)															
Website developed and used for dissemination of project's and Facility's documents. (nb of dissemination articles/videos on website)	0	6 (2 per pilot projec t)	0	3	3	TBC	TBC	TBC	TBC						
The website is visited by stakeholders and is a valued source on information on Green Economy, GGF and the GGSF (% of agreement in surveys, nb of visits per months)	0	80	0	80	80	80	80	80	%	Web publication; Action reports; Facility M&E reports. Surveys	Quarterly	2015-2019	PMU	TBD	
The website is used as a tool for the capitalization of the lessons learned by all actors in the implementation of the Green	0	80	0	80	80	80	80	80	%	Web publication; Action reports; Facility M&E reports. Surveys	TBD	TBD	TBD	TBD	

Results / Indicators	Base-line value	Final target value	Target values year 1	Target values year 2	Target values year 3	Target values year 4	Target values year 5	Target values year 6	Unit of measure - ment	Source of verification	Fre-quency of data collec-tion	Start - end measu-rements	Respon-sible data collec-tion	Respon-sible consele-dation	Other
Economy Strategy (Survey, website updated with lessons learnt after each funded project)															
Some Good Practices demonstrated through the project/Facility have been replicated by other institutions of organisations from the public or private sector.	0	3	0	0	0	1	1	1	No. of initiatives replicated by other institutions	Surveys; Assessment reports on the action reports by external reviewers.	Yearly	2017-2019	TBD	TBD	

2.7.2 Progress of main activities

Progress of <u>main</u> activities ⁷	Progress:			
	A	B	C	D
1 Elaboration and updating of a communication strategy for the Facility		x		
2 Awareness campaign towards provinces and actors of selected sector(s)			x	
3 Capitalization		x		

2.7.3 Analysis of progress made

A.5.1 Elaboration and updating of a communication strategy for the Facility:

The call for consultancy has been done and it is expected that the short term consultant will be mobilized early December 2014 to kick off the development work of the communication strategy. A number of communication activities will be carried out; however these have not been done due to late recruitment of the consultant for development communication strategy. These will be brought forward to 2015.

A.5.2 Awareness campaign towards provinces and actors of selected sector(s):

It has planned that a number of awareness raising workshops conducted in late 2014, however these have not been happened due to lack of communication contents and/or materials. These will be planned for next year.

To ensure the information on the GGSF known by different stakeholders, the communication officer of BTC together with GGSF Office staff to design a flyer for the GGSF which has been distributed at the meetings of the Joint Meeting Team between the Government of Vietnam and Belgium in November 2014. Furthermore the Web version will be placed on BTC's website soon (under the GGSF project information).

A.5.3. Capitalization:

As designed, this activity will carried out at the end of the project, after the first activities have produced results. And thus this activity is planned to take place in year three of the Project (2016).

⁷ A: The activities are ahead of schedule
 B: The activities are on schedule
 C: The activities are delayed, corrective measures are required.
 D: The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

2.8 Transversal Themes

2.8.1 Gender

So far, the project took gender stakes into account during the recruitment of its own staff and consultants by making sure candidate has been evaluated on a fair and transparent way.

A specific section on gender stakes was also included in the target table-of-content of the Facility operation manual, so as to make sure this is taken into account in both its management framework and green project selection mechanism.

2.8.2 Environment

Environment is at the heart of the VNGGSF. Consequently the Facility is by mission aiming at preserving natural resources and mitigating the environmental impact of the Vietnam economy.

A specific section on environmental impact was also included in the target table-of-content of the FOM.

2.8.3 Other

Beyond gender and environment, the table-of-content of the FOM currently under elaboration also includes sections about “socio-environmental safeguards” that the Facility must consider in the future for both its management procedures and project selection criteria.

The list of safeguards will most probably be inspired by the current international requirements of the Green Climate Fund.

2.9 Risk management

As described here above, at the time of writing this report, the baseline is under elaboration. Information provided here below should be considered as indicative only.

Identification of risk or issue			Analysis of risk or issue			Deal with risk or issue			Follow-up of risk or issue	
Risk description	Period of identification	Category	Likelihood	Potential impact	Total	Action(s)	Resp.	Deadline	Progress	Status
Lack of timeliness risk	TFF preparation (2013)	OPS	Low	Medium	Low Risk	Timely recruitment of lead ITA and supporting ITA and local TA	PMU	30/06/2014	Local ITA still to be identified	In progress
						Frame work for core elements for POM in draft in the TFF	PMU	30/06/2014	POM under elaboration	
Bypassing rules risk	TFF preparation (2013)	OPS	Low	Low	Low Risk	Active monitoring by MPI, ITA and BTC	MPI, BTC, PMU	(project life)	unaddressed yet	In progress
						Clear definition of the call for proposal and other financial mechanisms	PMU	(project life)	unaddressed yet	
Lack of project management capacities risk	TFF preparation (2013)	OPS	Low	Low	Low Risk	Ample project management experience and strong buy in of MPI leadership	PMU	(project life)	Recruited project coordinator has extensive experience	In progress
						Have ITA identify possible knowledge, experience and exposure gaps and suggest capacity building activities	PMU	(project life)	ITA appears to have required competencies	
Lack of call participation risk	TFF preparation	OPS	Low	Low	Low Risk	Dedicated communication officer	BTC	to be defined	unaddressed yet	In progress

	(2013)					Use of a focused communication plan identifying the target group and means to communicate with this group	PMU	to be defined	unaddressed yet	
Third party contractor risk	TFF preparation (2013)	OPS	Low	Low	Low Risk	Defining selective eligibility criteria to reduce risk of picking inappropriate organizations as third party contractors	PMU	to be defined	unaddressed yet	In progress
						Regular contact with facility staff in action development process gives insight in capacity of contractors	PMU	to be defined	unaddressed yet	
						Application of an stringent M&E framework	PMU	to be defined	unaddressed yet	
Risk of incompatibility between Vietnamese and Belgian regulations	TFF preparation (2013)	JUR	Low	Medium	Low Risk	Explore and use room provided in ODA law to define co-management modality in agreement with MPI	BTC, PMU	to be defined	unaddressed yet	In progress
Risk of un-transparent Short Term Action/seed fund activities disbursements	TFF preparation (2013)	OPS	Low	Medium	Low Risk	Define clear procedure for use of the budget in the POM	BTC, PMU	30/06/2014	Planned in the POM elaboration	In progress
Lack of facility transparency risk	TFF preparation (2013)	DEV	Low	High	Medium Risk	All regulations, guidelines, criteria are published on website web site which allow downloading of key documents	PMU	31/12/2014	To be considered during the FOM elaboration	In progress

						All key information and news on the facility and the calls is published	PMU	31/12/2014	To be considered during the FOM elaboration	
Lack of inter-departmental collaboration risk	TFF preparation (2013)	REP	Low	Low	Low Risk	Use integrative mandate of MPI to disseminate experience and method developed through the project to other ministries and other sectors	PMU	(project life)	unaddressed yet	In progress
Lack of interest in green actions	TFF preparation (2013)	DEV	Low	Low	Low Risk	Focused communication and promotion about and on the Facility to target group	PMU	to be defined	unaddressed yet	In progress
Lack of (projects) relevancy risk	TFF preparation (2013)	DEV	Low	Medium	Low Risk	Use call mechanism allowing respondent to shape intervention relevant to deal with barriers they face	PMU	31/12/2014	To be considered during the FOM elaboration	In progress
						High demonstration value of successful barrier removal that leads to green actions	PMU	31/12/2014	To be considered during the FOM elaboration	
Macro issues beyond the project control in relation to limited capacity and miss allocation of resources	TFF preparation (2013)	DEV	Low	Low	Low Risk	Hard to control issue but best dealt with by using a realistic approach of ability of people and organizations.	PMU	(project life)	unaddressed yet	In progress
						Putting the needed controls in place to prevent corruption	PMU	(project life)	unaddressed yet	

Lack of sustainability of Facility funded activities risk	TFF preparation (2013)	DEV	Low	High	Medium Risk	Demonstration of win-win of green initiatives and barrier removal make follow up/replication investments easier	PMU	31/12/2014	To be considered during the FOM elaboration	In progress
Lack of sustainability of experiences risk	TFF preparation (2013)	DEV	Low	Low	Low Risk	Experience and methodologies gained and developed on facilitating investments feed into the core mandate of MPI related to investment planning and improvement	PMU	30/06/2015	To be addressed in the pilot project phase	In progress
Lack of sustainability of the Facility • Due to low interest by donors • Due to an unfavourable environment that the GGSF and Facility would not be able to sufficiently change.	TFF preparation (2013) Updated during Baseline Elaboration	DEV	Low	High	Medium Risk	Proof performance of facility as a well-managed mechanism towards donor and users	PMU	30/06/2015	To be considered during the FOM elaboration	In progress
						Focused support to facility to remove barriers will improve possible impact of facility overtime in terms of facilitating investment in green growth	PMU	30/06/2015	To be considered during the FOM elaboration	
						Ensure coordination of the donors and communication between them and coherence between their interventions	PMU	30/05/2014	New risks that should be addressed asap	

Risk of an ineffective GGSF and Facility governance framework	TFF preparation (2013)	DEV	Medium	Medium	Medium Risk	Mutual agreement of governing frame work and good communication and mutual understanding of controlling requirement of Belgium and Vietnam	PMU	30/06/2015	To be considered during the FOM elaboration	In progress
						Emphasis on process control and efficient administrative procedures	PMU	30/06/2015	To be considered during the FOM elaboration	
Risk of inconsistent use of agreed governing processes	TFF preparation (2013)	OPS	Medium	Medium	Medium Risk	Use of controller mandated to monitor used selection and administrative processes	BTC & PMU	30/06/2015	To be considered during the POM and FOM elaboration	In progress
						Controller tasked to advise on effective use of POM and FOM to ensure timely project delivery and good oversight by BTC at the same time	BTC & PMU	30/06/2015	To be considered during the POM and FOM elaboration	
						Controller asked to identify short coming in facility governing framework and advise on improvements	BTC & PMU	30/06/2015	To be considered during the POM and FOM elaboration	
Risk of inconsistent use of contracting and administrative standard on all project levels	TFF preparation (2013)	JUR	Low	Medium	Low Risk	Ensure clear guidelines for subcontracting as part of the Facility rules	PMU	to be defined	unaddressed yet	In progress

3 Steering and Learning

3.1 Strategic re-orientations

The Co-chair of SC emphasized at the 2nd SC Meeting on 12 December, 2014 that the development of FOM and call for proposal mechanism would be top priorities in 2015 for the Facility. The Project has been asked to pay special attention to these activities.

3.2 Recommendations

Recommendations	Actor	Deadline
To ensure the Project activities are effectively implemented in the year 2015, it is proposed that more meetings, consultations and interactions would be conducted between the PMU Members, BTC program officers, staff and PMU Office Intervention Team to timely discuss problems faced during the project implementation. The experiences show that timely guidance and instructions would help accelerate the progress of the project.	PMU Members, BTC program officers, staff and PMU Office Intervention Team	2015

3.3 Lessons Learned

Lessons learned	Target audience
<p>It is noted that lessons learnt during the implementation of the project is close collaboration between the intervention/project team, PMU and BTC; more interactions and timely information sharing is also extremely essential.</p> <p>If there have been problems faced during the implementation phase, meetings would be conducted between the PMU Members, BTC program officers, staff and PMU Office Intervention Team to timely address the problems, and more importantly the follow-up actions with clear responsibilities, division of labour etc should be set up.</p> <p>Clear precedures, steps with clear guidance should help and reduce time consuming; updating on new policies, regulations would be shared timely.</p>	<p>Interventions, Representation, BTC HQ department, partner department...</p>

4 Annexes

4.1 Quality criteria

1. RELEVANCE: The degree to which the intervention is in line with local and national policies and priorities as well as with the expectations of the beneficiaries					
<i>In order to calculate the total score for this quality criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D</i>					
Assessment RELEVANCE: total score		A	B	C	D
		X			
1.1 What is the present level of relevance of the intervention?					
x	A	Clearly still embedded in national policies and Belgian strategy, responds to aid effectiveness commitments, highly relevant to needs of target group.			
	B	Still fits well in national policies and Belgian strategy (without always being explicit), reasonably compatible with aid effectiveness commitments, relevant to target group's needs.			
	C	Some issues regarding consistency with national policies and Belgian strategy, aid effectiveness or relevance.			
	D	Contradictions with national policies and Belgian strategy, aid efficiency commitments; relevance to needs is questionable. Major adaptations needed.			
1.2 As presently designed, is the intervention logic still holding true?					
	A	Clear and well-structured intervention logic; feasible and consistent vertical logic of objectives; adequate indicators; Risks and Assumptions clearly identified and managed; exit strategy in place (if applicable).			
x	B	Adequate intervention logic although it might need some improvements regarding hierarchy of objectives, indicators, Risk and Assumptions.			
	C	Problems with intervention logic may affect performance of intervention and capacity to monitor and evaluate progress; improvements necessary.			
	D	Intervention logic is faulty and requires major revision for the intervention to have a chance of success.			

2. EFFICIENCY OF IMPLEMENTATION TO DATE: Degree to which the resources of the intervention (funds, expertise, time, etc.) have been converted into results in an economical way					
<i>In order to calculate the total score for this quality criterion, proceed as follows: 'At least two 'A', no 'C' or 'D' = A; Two times 'B', no 'C' or 'D' = B; at least one 'C', no 'D' = C; at least one 'D' = D</i>					
Assessment EFFICIENCY : total score		A	B	C	D
			X		
2.1 How well are inputs (financial, HR, goods & equipment) managed?					
	A	All inputs are available on time and within budget.			
x	B	Most inputs are available in reasonable time and do not require substantial budget adjustments. However there is room for improvement.			
	C	Availability and usage of inputs face problems, which need to be addressed; otherwise results may be at risk.			

	D	Availability and management of inputs have serious deficiencies, which threaten the achievement of results. Substantial change is needed.
2.2 How well is the implementation of activities managed?		
	A	Activities implemented on schedule
x	B	Most activities are on schedule. Delays exist, but do not harm the delivery of outputs
	C	Activities are delayed. Corrections are necessary to deliver without too much delay.
	D	Serious delay. Outputs will not be delivered unless major changes in planning.
2.3 How well are outputs achieved?		
	A	All outputs have been and most likely will be delivered as scheduled with good quality contributing to outcomes as planned.
x	B	Output delivery is and will most likely be according to plan, but there is room for improvement in terms of quality, coverage and timing.
	C	Some output are/will be not delivered on time or with good quality. Adjustments are necessary.
	D	Quality and delivery of outputs has and most likely will have serious deficiencies. Major adjustments are needed to ensure that at least the key outputs are delivered on time.

3. EFFECTIVENESS TO DATE: Degree to which the outcome (Specific Objective) is achieved as planned at the end of year N				
<i>In order to calculate the total score for this quality criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D</i>				
Assessment EFFECTIVENESS : total score	A	B	C	D
	X			
3.1 As presently implemented what is the likelihood of the outcome to be achieved?				
	A	Full achievement of the outcome is likely in terms of quality and coverage. Negative effects (if any) have been mitigated.		
x	B	Outcome will be achieved with minor limitations; negative effects (if any) have not caused much harm.		
	C	Outcome will be achieved only partially among others because of negative effects to which management was not able to fully adapt. Corrective measures have to be taken to improve ability to achieve outcome.		
	D	The intervention will not achieve its outcome unless major, fundamental measures are taken.		
3.2 Are activities and outputs adapted (when needed), in order to achieve the outcome?				
x	A	The intervention is successful in adapting its strategies / activities and outputs to changing external conditions in order to achieve the outcome. Risks and assumptions are managed in a proactive manner.		
	B	The intervention is relatively successful in adapting its strategies to changing external conditions in order to achieve its outcome. Risks management is rather passive.		
	C	The intervention has not entirely succeeded in adapting its strategies to changing external conditions in a timely or adequate manner. Risk management has been rather static. An important change in strategies is necessary in order to ensure the intervention can achieve its outcome.		
	D	The intervention has failed to respond to changing external conditions, risks were insufficiently managed. Major changes are needed to attain the outcome.		

4. POTENTIAL SUSTAINABILITY: The degree of likelihood to maintain and reproduce the benefits of an intervention in the long run (beyond the implementation period of the intervention).				
<i>In order to calculate the total score for this quality criterion, proceed as follows: At least 3 'A's, no 'C' or 'D' = A ; Maximum two 'C's, no 'D' = B; At least three 'C's, no 'D' = C ; At least one 'D' = D</i>				
Assessment POTENTIAL SUSTAINABILITY : total score	A	B	C	D
	x			
4.1 Financial/economic viability?				
	A	Financial/economic sustainability is potentially very good: costs for services and maintenance are covered or affordable; external factors will not change that.		
x	B	Financial/economic sustainability is likely to be good, but problems might arise namely from changing external economic factors.		
	C	Problems need to be addressed regarding financial sustainability either in terms of institutional or target groups costs or changing economic context.		
	D	Financial/economic sustainability is very questionable unless major changes are made.		
4.2 What is the level of ownership of the intervention by target groups and will it continue after the end of external support?				
x	A	The steering committee and other relevant local structures are strongly involved in all stages of implementation and are committed to continue producing and using results.		
	B	Implementation is based in a good part on the steering committee and other relevant local structures, which are also somewhat involved in decision-making. Likelihood of sustainability is good, but there is room for improvement.		
	C	The intervention uses mainly ad-hoc arrangements and the steering committee and other relevant local structures to ensure sustainability. Continued results are not guaranteed. Corrective measures are needed.		
	D	The intervention depends completely on ad-hoc structures with no prospect of sustainability. Fundamental changes are needed to enable sustainability.		
4.3 What is the level of policy support provided and the degree of interaction between intervention and policy level?				
x	A	Policy and institutions have been highly supportive of intervention and will continue to be so.		
	B	Policy and policy enforcing institutions have been generally supportive, or at least have not hindered the intervention, and are likely to continue to be so.		
	C	Intervention sustainability is limited due to lack of policy support. Corrective measures are needed.		
	D	Policies have been and likely will be in contradiction with the intervention. Fundamental changes needed to make intervention sustainable.		
4.4 How well is the intervention contributing to institutional and management capacity?				
x	A	Intervention is embedded in institutional structures and has contributed to improve the institutional and management capacity (even if this is not an explicit goal).		
	B	Intervention management is well embedded in institutional structures and has somewhat contributed to capacity building. Additional expertise might be required. Improvements in order to guarantee sustainability are possible.		
	C	Intervention relies too much on ad-hoc structures instead of institutions; capacity building has not been sufficient to fully ensure sustainability. Corrective measures are needed.		
	D	Intervention is relying on ad hoc and capacity transfer to existing institutions, which could guarantee sustainability, is unlikely unless fundamental changes are undertaken.		

4.2 Decisions taken by the steering committee and follow-up

Decision				Action				Follow-up	
N°	Decision	Identification period	Source	Actor	Action(s)	Resp.	Deadline	Progress	Status
1	Decision No. 01/QĐ-KHGDTNMT	Jul-14	Operational documents	SC	Approval of the Action Plan and Budget 2014	PMU		Done	CLOSED
				SC	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
2	Decision No. 01/QĐ-BQLDA	Sep-14	Operational documents	PMU	Establishment of the Tender Evaluation Panel for evaluation of the package " Local Tender Specialist" Members: Ms. Nguyen Thai Phuong; Mr. Truong Anh Son; Ms. Vu Thi Hoang Thanh; Mr. Phung Van Quan	PMU		Done	CLOSED
				PMU	The Tender Evaluation Panel has duties and responsibilities: - Evaluating Tender documents - Reporting to PMU - Implementing the Decision in line with the Article 76 of the Procurement Law	The Panel		Done	
3	Decision No. 02-1/QĐ-KHGDTNMT	Sep-14	Operational documents	DSENRE	Approval of the TORs and Consultant Lists for the Package No 9 "Local Tender Specialist"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

4	Decision No. 02-2/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of selection results of the Package No 9 "Local Tender Specialist"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Ngo Ngoc Quy. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
5	Decision No. 03/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for 08 packages of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
6	Decision No. 02/QĐ-BQLDA	Oct-14	Operational documents	SC	Establishment of the Tender Evaluation Panel for evaluation of consultants. Members: Ms. Nguyen Thai Phuong; Mr. Truong Anh Son; Ms. Vu Thi Hoang Thanh; Mr. Phung Van Quan	PMU		Done	CLOSED
				SC	The Tender Evaluation Panel has duties and responsibilities: - Evaluating Tender documents - Reporting to PMU - Implementing the Decision in line with the Article 76 of the Procurement Law	The Panel		Done	
7	Decision No. 04/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No 5 "International Consultant for FOM"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

8	Decision No. 05/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No 6 "Local Consultant for FOM"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
9	Decision No. 06/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No 3 "International Consultant for Development of the different tools for the call for proposals"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
10	Decision No. 07/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No 4 "Local Consultant for development of the different tools for the call for proposals"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
11	Decision No. 08/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No 1 "International Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

12	Decision No. 09/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No 2 "Local Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
13	Decision No. 10/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No 7 "Local Consultant for training needs assessment and capacity building for MPI"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
14	Decision No. 11/QĐ-KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the revised TORs and advertisement information on close time for Packages No 01, 02, 03, 04, 05 and 06 of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
15	Decision No. 12/QĐ-KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the revised TORs and advertisement information on close time for Packages No 07 and 08 of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

16	Decision No. 13/QĐ-KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the Consultant Short Lists for Packages No 01, 02, 04, 05, 06, 07 and 08 of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
17	Decision No. 14/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No 1 "International Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Mark Fogarty. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
18	Decision No. 15/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No 2 "Local Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Le Thanh Tung. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
19	Decision No. 16/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No 4 "Local Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mrs. Hoang Thi Thuy Nguyet. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
20	Decision No. 17/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No 7 "Local Consultant for training needs assessment and capacity building for MPI"	PMU		Done	CLOSED

				DSENRE	Successful Bidder: Mr. Do Huan. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
21	Decision No. 18/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No 8 "Local Consultant for communication strategy for the Facility"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mrs. Bui Thi Thanh Thuy. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
22	Decision No. 19/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No 5 "International Consultant for FOM"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Carola Menzel. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
23	Decision No. 20/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No 6 "Local Consultant for FOM"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Vu Cuong. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

4.3 Updated Logical framework

No change in the Logical Framework

4.4 MoRe Results at a glance

Logical framework's results or indicators modified in last 12 months?	A set of indicators is in the progress of development with the Baseline Report.
Baseline Report registered on PIT?	
Planning MTR (registration of report)	mm/yyyy (estimate)
Planning ETR (registration of report)	mm/yyyy (estimate)
Backstopping missions since 01/01/2014	None

4.5 “Budget versus current (y – m)” Report

Budget vs Actuals (Year to Month) of VIE1104111									
Project Title :		Green Growth Strategy Facility (GGSF)							
Budget Version:		C01		Year to month : 31/12/2014					
Currency :		EUR		Report includes all closed transactions until the end date of the chosen closing					
YtM :									
	Status	Fin Mode	Amount	Start to 2013	Expenses 2014	Total	Balance	% Exec	
A SPECIFIC OBJECTIVE : A FACILITY TO SUPPORT THE			3.165.000,00	0,00	809,56	809,56	3.164.190,44	0%	
01 R1: A support Facility is created and developed			430.000,00	0,00	449,47	449,47	429.550,53	0%	
01			230.000,00	0,00	149,82	149,82	229.850,18	0%	
02		COGES	70.000,00	0,00	149,82	149,82	69.850,18	0%	
03		COGES	50.000,00	0,00	0,00	0,00	50.000,00	0%	
04		COGES	50.000,00	0,00	149,83	149,83	49.850,17	0%	
05		COGES	30.000,00	0,00	0,00	0,00	30.000,00	0%	
02 R 2: Green growth capacities & MPI leadership			200.000,00	0,00	210,79	210,79	199.789,21	0%	
01		COGES	110.000,00	0,00	149,30	149,30	109.850,70	0%	
02		COGES	10.000,00	0,00	0,00	0,00	10.000,00	0%	
03		COGES	80.000,00	0,00	61,49	61,49	79.938,51	0%	
03 R 3: Piloting activities in 3 provinces are supported			920.000,00	0,00	0,00	0,00	920.000,00	0%	
01		COGES	320.000,00	0,00	0,00	0,00	320.000,00	0%	
02		COGES	600.000,00	0,00	0,00	0,00	600.000,00	0%	
04 R4: 4. The Facility supports, through a call for proposals,			1.440.000,00	0,00	0,00	0,00	1.440.000,00	0%	
01		COGES	18.000,00	0,00	0,00	0,00	18.000,00	0%	
02		COGES	24.000,00	0,00	0,00	0,00	24.000,00	0%	
03		COGES	1.350.000,00	0,00	0,00	0,00	1.350.000,00	0%	
04		COGES	0,00	0,00	0,00	0,00	0,00	7%	
05		COGES	48.000,00	0,00	0,00	0,00	48.000,00	0%	
05 R 5: Good practices disseminated and replicated			175.000,00	0,00	149,30	149,30	174.850,70	0%	
		REGIE	1.483.000,00	1.996,05	179.389,52	181.385,57	1.301.614,43	12%	
		COGEST	3.517.000,00	0,00	37.915,11	37.915,11	3.479.084,89	1%	
		TOTAL	5.000.000,00	1.996,05	217.304,63	219.300,68	4.780.699,32	4%	



Budget vs Actuals (Year to Month) of VIE1104111 Printed on woensdag 21 januari 2015

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Budget vs Actuals (Year to Month) of VIE1104111

Project Title : **Green Growth Strategy Facility (GGSF)**
 Budget Version: **C01**
 Currency : **EUR** Year to month : **31/12/2014**
 YIM : **Report includes all closed transactions until the end date of the chosen closing**

	Status	Fin Mode	Amount	Start to 2013	Expenses 2014	Total	Balance	% Exec
01 Elaboration and updating of a communication strategy for		COGES	35.000,00	0,00	149,30	149,30	34.850,70	0%
02 Awareness campaign towards provinces, actors of		COGES	110.000,00	0,00	0,00	0,00	110.000,00	0%
03 Capitalization		COGES	30.000,00	0,00	0,00	0,00	30.000,00	0%
X BUDGETARY RESERVE (MAX 5% * TOTAL ACTIVITIES)			67.800,00	0,00	0,00	0,00	67.800,00	0%
01 Budgetary reserve			67.800,00	0,00	0,00	0,00	67.800,00	0%
01 Budgetary reserve Co-management		COGES	34.000,00	0,00	0,00	0,00	34.000,00	0%
02 Budgetary reserve Own-management		REGIE	33.800,00	0,00	0,00	0,00	33.800,00	0%
Z GENERAL MEANS			1.767.200,00	1.996,05	216.495,07	218.491,12	1.548.708,88	12%
01 Staff expenses			1.257.000,00	1.996,05	188.713,58	190.709,63	1.066.290,37	15%
01 International Environmental & Development Economist		REGIE	540.000,00	1.996,05	110.931,80	112.927,85	427.072,15	21%
02 International expert financial match making		REGIE	75.000,00	0,00	0,00	0,00	75.000,00	0%
03 Local controller		REGIE	144.000,00	0,00	10.491,35	10.491,35	133.508,65	7%
04 Local Environmental economist (project coordinator)		REGIE	180.000,00	0,00	30.184,88	30.184,88	149.815,12	17%
05 Local Tender specialist		COGES	60.000,00	0,00	13.650,03	13.650,03	46.349,97	23%
06 Local communication specialist		COGES	96.000,00	0,00	0,00	0,00	96.000,00	0%
07 Accountant		COGES	50.400,00	0,00	7.045,13	7.045,13	43.354,87	14%
08 Administration staff		COGES	111.600,00	0,00	16.410,39	16.410,39	95.189,61	15%
02 Investments			33.000,00	0,00	12.556,72	12.556,72	20.443,28	38%
01 Office equipment & furniture		REGIE	8.000,00	0,00	7.020,95	7.020,95	979,05	88%
02 IT equipment		REGIE	20.000,00	0,00	5.535,77	5.535,77	14.464,23	28%
03 Office improvement works		REGIE	5.000,00	0,00	0,00	0,00	5.000,00	0%
		REGIE	1.483.000,00	1.996,05	179.389,52	181.385,57	1.301.614,43	12%
		COGEST	3.517.000,00	0,00	37.915,11	37.915,11	3.479.084,89	1%
		TOTAL	5.000.000,00	1.996,05	217.304,63	219.300,68	4.780.699,32	4%



Budget vs Actuals (Year to Month) of VIE1104111

Project Title : **Green Growth Strategy Facility (GGSF)**
 Budget Version: **C01**
 Currency : **EUR** Year to month : 31/12/2014
 YTM : **Report includes all closed transactions until the end date of the chosen closing**

	Status	Fin Mode	Amount	Start to 2013	Expenses 2014	Total	Balance	% Exec
03 Operational expenses			275.200,00	0,00	15.224,77	15.224,77	259.975,23	6%
01 Office rent		REGIE	108.000,00	0,00	8.066,00	8.066,00	99.934,00	7%
02 Services and maintenance costs (incl. utilities)		REGIE	36.000,00	0,00	135,83	135,83	35.864,17	0%
03 Transportation costs		REGIE	28.800,00	0,00	218,91	218,91	28.581,09	1%
04 Telecommunications		REGIE	21.600,00	0,00	1.021,12	1.021,12	20.578,88	5%
05 Operation costs		REGIE	46.800,00	0,00	5.782,91	5.782,91	41.017,09	12%
06 Representation and external communication costs		REGIE	24.000,00	0,00	0,00	0,00	24.000,00	0%
07 Legal advice		REGIE	10.000,00	0,00	0,00	0,00	10.000,00	0%
08 Other operational costs (on VN budget)		REGIE	0,00	0,00	0,00	0,00	0,00	7%
04 Audit and Monitoring and Evaluation			202.000,00	0,00	0,00	0,00	202.000,00	0%
01 Monitoring and Evaluation costs		REGIE	80.000,00	0,00	0,00	0,00	80.000,00	0%
02 Consultancy for quality control of calls outputs (studies,		REGIE	30.000,00	0,00	0,00	0,00	30.000,00	0%
03 Audit and organisational assessment		REGIE	68.000,00	0,00	0,00	0,00	68.000,00	0%
04 Monitoring and Backstopping (BTC)		REGIE	24.000,00	0,00	0,00	0,00	24.000,00	0%

4.6 Communication resources

- The Prime Minister. (September, 2012) Decision No 1393/QĐ-TTg on the Approval of the Vietnam's National Green Growth.
- BTC. (2012) Technical and Financial File - Green Growth Strategy Facility (GGSF).
- Green Growth Strategy Facility (GGSF). (2014) Annual Work Plan 2014.
- Green Growth Strategy Facility (GGSF). (May, 2014) Baseline Workplan.
- Green Growth Strategy Facility (GGSF). (2014) M&E Quarterly Reports.
- Green Growth Strategy Facility (GGSF). (December, 2014) Progress Report for 2nd Steering Committee Meeting.