



BTC SOUTH AFRICA
BELGIAN
DEVELOPMENT AGENCY



national treasury

Department:
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REPUBLIC OF SOUTH AFRICA

ANNUAL REPORT 2015

BELGIAN – SOUTH AFRICAN STUDY AND CONSULTANCY FUND

INTERVENTION SAF0901711

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Acronyms

APEC	Antwerp/Flanders Port Training Center
BTC	Belgian Technical Cooperation, the Belgian Development Agency
BTO	Budget and Treasury Office
COGTA	Department of Co-operative Governance and Traditional Affairs
DOH	Department of Health
DG	Director-General
DPSA	Department of the Public Service and Administration
DoH EC	Department of Health Eastern Cape
EV	Emerging Voices
FIT	Financial Information Tool
GDP	Gross Domestic Product
HIS	Health Information Systems
HR	Human Resources
HSG-SA	Health Systems Global – South Africa
HSR	Health Systems Research
IDC	International Development Cooperation
IRDC	International Development Research Centre
ITM	Institute of Tropical Medicine
JLCB	Joint Local Consultative Body
M&E	Monitoring and Evaluation
MFMA	Municipal Finance Management Act
MRC	Medical Research Council
NDoH	National Department of Health
NHI	National Health Insurance
NT	National Treasury
OMF	Operations Management Framework
PFMA	Public Finance Management Act
RHIS	Routine Health Information System
SA	South Africa
SCM	Supply Chain Management
SOP	Standard Operating Procedures
SIDA	Swedish International Development Cooperation
TETA	Transport Education and Training Authority
ToR	Terms of Reference
UC	Universal Coverage
UNICEF	The United Nations Children's Fund
UWC	University of the Western Cape
WHO	World Health Organization

1 Intervention at a glance

1.1 Intervention form

Intervention name	Belgian-South African Study and Consultancy Fund
Intervention Code	SAF0901711
Location	National Treasury
Budget	€ 2.092.207,33 + € 869.884,67 = € 2.962.092,00
Partner Institution	IDC
Date of Specific Agreement	16 April 2010
End date Specific Agreement	15 April 2018
Objective	Strengthen the capacity of public institutions of South Africa, on the one hand in support of the prioritised sectors of the Belgian cooperation and the preparation of a new indicative program of cooperation, and on the other hand through the implementation of the Paris Declaration on harmonisation and alignment of aid.

1.2 Budget execution

Total Budget	Expenditure year N	Balance	Total Disbursement rate
€ 2.962.092,00	€ 1.190.230,27	€ 1.771.861,73	40,19%

National execution official	BTC execution official
ROBIN TOLI Fund Director Chief Director International Development Cooperation 	 SVEN HUYSSSEN Fund Co-Director Acting Resident Representative Belgian Development Agency

2 Context

2.1 General context

At the Consultations held in Brussels on 10 May 2012 it was decided to terminate the bilateral agreement of the second phase of the Health Capacity Building Project. Following this meeting the National Treasury submitted a formal request to the Belgian Development Counsellor, on the 25th June 2012, for the re-allocation of the budget balance of this project to the Study and Consultancy Fund. This amounted to €1,995,001.28.

Due to the slow implementation of the Study and Consultancy Fund during 2011-2013, the allocation of this additional budget to the Study and Consultancy Fund was delayed.

In March 2014 the Development Counsellor requested for National Treasury to consider a change in the re-allocation to € 1.100.000 to the Scholarship Programme for 2014 and the balance of € 895,001.28 to the Study and Consultancy Fund. This proposal was accepted by the National Treasury on 12 March 2014 and by the Partner Committee on 25 November 2014.

Through the exchange of letters the budget modification and the extension to the Specific agreement was approved on 7th April 2015.

2.2 Management context: execution modalities

The challenge to the management of the fund from 2010 until 2013 was the low number of proposals considered for approval. Many proposals were rejected due to the poor quality.

During 2014 and 2015, the Study and Consultancy Fund made tremendous progress in committing 93% of the overall budget. The good progress in implementation gave the Steering Committee more substantive motivation to request extension and replenishment of the fund.

The evaluation of the programme was concluded in June 2015 with a comprehensive report that the fund was highly relevant, highly efficient and had good high impact.

The templates and procedure manual developed by BTC clarified and streamlined the procedure of the Study Fund thus making it easier for the beneficiary institutions to submit good proposals and timely reporting on implementation. This has helped to effectively steer the processes into progression.

2.3 Harmo-context

Some of the approved funding was allocated to initiatives that required the participation and interaction of institutions from South Africa with those from Belgium, United Kingdom, etc.

Partnerships and networking were also strengthened with other donors and Institutes through funding such as Capacity Building and Knowledge Exchange activities in support of the National Health Insurance scheme.

Due to the good implementation of the programme and the good relationship established with National Treasury they had directed delegated cooperation of DFID to BTC to implement a further 1 million GBP on the Study Fund.

3 Analysis of progress made

3.1 Studies

32 study proposals have been approved from the beginning of the implementation of the Study and Consultancy Fund, out of which:

- 16 studies were completed and reported during the reporting period January – December 2013.
- 1 study, approved in 2013, has been severely delayed due to the lack of finalisation of tender procedure.
- 15 were approved during 2014 and 2015:
 1. 3 studies started implementation 2014
 2. 2 studies were completed in 2014
 3. 3 studies started implementation 2015
 4. 3 studies were approved at the end of 2014. The tender procedure was concluded in Q2 2015 and implementation has started
 5. 3 studies in the health sector were approved in 2014 but, due to change in senior management after the elections, 2 of these 3 did not continue and will have to be revisited.
 6. Due to the delay in finalising the budget increase of the Fund only 1 study was approved in 2015 and implementation start in 2016.

3.1.1 Progress of studies

	Progress of studies ¹	A	B	C	D	Comments (only if the value is C or D)
1	Seed Funding for the appointment of a Provincial HR Specialist at the KwaZulu Natal Provincial Treasury				X	Over a year delay due to: unsuccessful first round tender process; underestimation of budget; budget review and re-approval as well as recent cancellation of second round tender. However the tender was finalised in Q3 – 2015 and implementation started.
2	Matching citizens' expectations: Applied Policy Research Project			X		The activity was three months delayed due to the late start up.
3	Technical Expert to assist with the Establishment of a Technical Policy Unit, DOH EC Province		X			Although slight delays were encountered the project was implemented on time but the final report was delayed by a month.
4	Evaluate the Routine Health Information System (RHIS) in the North West Province			X		Delays were experienced and the contract has been extended by 2 months.
5	Develop SOPs for Local Government in key internal control cycles		X			
6	Emerging Voices for Global Health 2014	X				Closed
7	Final Evaluation of the Study Fund		X			
8	Final Audit for the Study Fund		X			
9	Study Tour to different countries		X			
10	E-Health Concept Note for the Gauteng Province				X	This study was severely delayed due to non-response from the beneficiary department. It is understood that after elections the senior management was changed and therefore no further follow up was made from their side.
11	Development of a Medical Device Assessment and Audit Tool for the Gauteng Health Department		X			Although delayed initially, this project is back on schedule.
12	Public Financial Management Workshops and Seminars		X			
13	Tracer Study of BTC funded SA candidates in Maritime Courses			X		2 months delay due to the unavailability of interviewees. The contract was extended by 2 months.
14	E-Health Concept Note for the Northern Cape Province				X	This study was severely delayed due to non-response from the beneficiary department. It is understood that after elections the senior management was changed and therefore no further follow up was made from their side.
15	Produce the audiovisual material and distribute to all departments to increase the OMF footprint within the current DPSA personnel and budget constraints		X			
16	It Sharepoint Web And Database Expert Services		X			

¹ A: Ahead of schedule
 B: On schedule
 C: Delayed, corrective measures are required.
 D: Seriously delayed (more than 6 months). Substantial corrective measures are required.

3.1.2 Analysis of studies completed

Title of study:	Seed Funding for the appointment of a Provincial HR Specialist at the KwaZulu Natal Provincial Treasury
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	This study was approved for KwaZulu Natal Provincial Treasury in support to conducting an analysis of the remuneration of the public service and identify whether this is in line with the productivity expectations. The study will be limited to 2 departments (education and health) and it aims in producing recommendations that will enable the Provincial Treasury to budget better and appropriately for HR line items. The envisaged duration for the implementation is 4 months.
<i>Have the studies been used as intended?</i>	The project is still under implementation
<i>To what did the study contribute?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	The tender was launched 3 times due to the low budget. The TOR had to be reviewed.

Title of study:	Matching citizens' expectations: Applied Policy Research Project
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	This study was approved for the Department of Co-operative Governance and Traditional Affairs (COGTA). The study will focus on matching citizen's expectations of service delivery with local government capacity to deliver. It seeks to generate research and evidence based data which will be of assistance in identifying factors that inhibit effective citizen participation and service delivery.
<i>Have the studies been used as intended?</i>	The project is still under implementation
<i>To what did the study contribute?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	Delays were experienced in finalising the TOR and finalising the contract.

Title of study:	Technical Expert to assist with the Establishment of a Technical Policy Unit, DOH EC Province
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	This study was approved for the Eastern Cape Provincial Department of Health to provide assistance in establishing a technical policy unit possibly based at Walter Sisulu University. This Unit is expected, once established, to provide timely and strategic technical assistance on evidence-based policy development and implementation. The study seeks to conduct an assessment of current skills and recommend a mix of skills required for the establishment of such unit.
<i>Have the studies been used as intended?</i>	The project is still under implementation
<i>To what did the study contribute?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	Delays were experienced in finalising the TOR and finalising the contract.

Title of study:	Evaluate the Routine Health Information System (RHIS) in the North West Province
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	This study was approved for the North West Provincial Department of Health. The department's Health Information Systems (HIS) general performance, along with the Auditor General's disclaimer opinion on the HIS motivated the development of a study proposal to appropriately study the department's RHIS, with the aim to inform targeted corrective measures and to ensure an effective RHIS which would enhance and support service delivery. The objective of the study is to provide better understanding of the existing capacity and to integrate data collection, processing, reporting, and use of information management at all levels of public health systems to improve patient outcomes. The focus will be on quality, affordability, accessibility and sustainability of processes and systems.
<i>Have the studies been used as intended?</i>	The project is still under implementation
<i>To what did the study contribute?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	Major delays were experienced in finalising the contract with confusion by the Provincial department. However it was finally agreed to be signed between the North West Department of Health and North West University with oversight from National Treasury and BTC.

Title of study:	Develop SOPs for Local Government in key internal control cycles
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	The study was approved for the Accountant-General within the National Treasury. The purpose is to establish a basic, foundation for good financial management through developing SOPs for Local Government in key internal control cycles.
<i>Have the studies been used as intended?</i>	The project is still under implementation
<i>To what did the study contribute?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	None.

Title of study:	Emerging Voices for Global Health 2014
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	The symposium was approved for the National Department of Health The symposium had the following objectives: <ul style="list-style-type: none"> • Share cutting-edge research, addressing the development of people-centred health systems (including both conceptual work and the findings of primary and secondary research); • Identify and discuss approaches to research, strengthening the rigour of this research; • Build the capacities of researchers, policy-makers, practitioners, activists and civil society organisations to conduct and use health systems research related to the theme; • Strengthen learning communities and knowledge-translation platforms working to support people-centred health systems across disciplines, sectors and countries and, particularly, bridging practitioner, activist and researcher communities.
<i>Have the studies been used as intended?</i>	This grant was provide to support the Third Global Symposium on Health Systems Research, which was held in Cape Town 30 September – 3 October, with associated satellite sessions held on 29-30 September and the Emerging Voices programme. The study was implemented in accordance with the approved proposal.
<i>To what did the study contribute?</i>	The theme of the symposium was the science and practice of people-centred health systems, chosen to enable participants to address current and critical concerns of relevance across countries in all parts of the world. Over 1800 researchers, policy-makers, funders, implementers and other stakeholders, from all regions and all socio-economic levels, worked together on the challenge of how to make health systems more responsive to the needs of individuals, families and communities. The Symposium represents the first international event held in Africa specifically focussed on health systems research (HSR). Plenary and concurrent sessions presented new research, innovative research methods, experience of capacity development in this field, and experience of bringing researchers and policy makers together to learn from each other. Posters were also presented, and a marketplace allowed organisations to share experience and information in other formats. Social media and other multi-media interactions were also used to enrich aspects of the Symposium and encourage active and lively debate before, during and after the Symposium. Post-Symposium webinars will also support continued debate on the themes and issues raised amongst the global HSR community. The Emerging Voices programme specifically recognises the importance of actively engaging young researchers from the Global South to raise their voice in scientific debates. The Emerging Voices initiative began in 2009, originally as an initiative from the Institute of Tropical Medicine (ITM)

	Antwerp, Belgium. In 2014, however, it was a joint venture involving the School of Public Health of the University of Western Cape, South Africa; the University of Cape Town, South Africa; Peking University Health Science Centre, China and the Institute of Public Health, Bengaluru (India). The Emerging Voices training uses a blended learning approach, a combination of distance learning and intensive face-to-face coaching, leading to participation with oral presentation in a scientific conference, communication on social media, induction into a community of scholarship and practice and publication in a scientific journal.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	None.

Title of study:	Final Evaluation of the Study Fund
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	In accordance with the Specific Agreement the Steering Committee can order an evaluation of the Study and Consultancy fund to determine the impact of the funding. The Steering Committee agreed to conduct an evaluation of the Fund in order to: <ul style="list-style-type: none"> To assess the implementation of Fund activities with respect to the Specific Agreement and formulate recommendation to improve it for further studies. To assess the impact of completed studies. Provide recommendations to the IDC, DGD and BTC regarding a possible extension of the Fund to 2017.
<i>Have the studies been used as intended?</i>	Yes.
<i>To what did the study contribute?</i>	The recommendations of the evaluation contributed to decisions being made in terms of management capacity, selection and evaluation mechanisms, marketing, etc.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	None

Title of study:	Final Audit for the Study Fund
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	In accordance with the Specific Agreement the Steering Committee can order an audit of the Study and Consultancy fund to determine the financial compliance of the Fund. The Steering Committee agreed to conduct an audit of the Fund in order to: <ul style="list-style-type: none"> Analyse thoroughly the compliance of the tenders awarding process with the applicable law on public tenders, in this case the South African regulations, and with the execution modalities foreseen in the specific agreement, in this case the BTC's internal rules for co-managed funds; Examine the procedure manual developed by the project (including its check-list and templates) to facilitate the tender awarding process, check its compliance with the rules applicable and give suggestion for improvements if necessary.
<i>Have the studies been used as intended?</i>	A report was produced indicating the points of attention and recommendations for improvement of the internal manual and templates.
<i>To what did the study contribute?</i>	In depth analysis of the fund's procedures and points of improvement to implement the proposals in a more efficient way.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	Major delays in reporting and finalising the audit report and produce the final invoice for payment.

Title of study:	Study Tour to different countries
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	The study was approved for the Chief Directorate Health and Social Development within the National Treasury. The study was organised with the objective to build capacity and conduct knowledge exchange activities for the Chief Directorate Health and Social Development, in their preparation for and implementation of the National Health Insurance Scheme.
<i>Have the studies been used as intended?</i>	It has been reported that so far a substantial number of significant research projects were undertaken with leading global organisations and experts with a number of significant products, including several articles for publication. Useful collaborative links and good working relationships have been built with a range of leading international partners based on practical work on joint projects and meetings.

<i>To what did the study contribute?</i>	<p>The visits and learning from other institutions and partner resulted in documented funding trends in each country, amongst others looking at levels of health funding in public and private sectors and in total, in absolute terms and as a proportion of GDP, along with fiscal indicators including country GDP, revenue and expenditure to GDP ratios, health as a share of the total budget. For each country possibilities for forward growth were modelled.</p> <p>Two papers were drafted for publication from the Thai work (in addition to background papers). The first is a collaborative opinion piece with around six leading Thai co-authors from different institutions and our own Department of Health. This deals with lessons from the Thai UC system for the SA NHI.</p> <p>The second is a longer review of the lessons from the Thai health system for SA. This has been drafted but is being tightened up for publication.</p> <p>There has been a lot of interest in this work and a detailed presentation (attached as an annexure) has been made to several audiences including the World Health Organisation (Geneva) and the Global Fund.</p>
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	None.

Title of study:	E-Health Concept Note for the Gauteng Province
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	<p>This proposal was approved for the Gauteng Department of Health.</p> <p>The main objective is to analyse and assess current data management processes (collection, transmission, processing, analysis, display, quality checking of data, sharing and exchange and feedback) in order to plan and implement appropriate interventions to improve the system. Produce a concept note based on the assessment results to serve as a starting point in implementing the e-health in Gauteng Province in accordance with the e-health Strategy.</p>
<i>Have the studies been used as intended?</i>	Cancelled
<i>To what did the study contribute?</i>	Cancelled
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	<p>The tender procedure hasn't been initiated despite the approval of the study being received in July 2014. The senior management seems to have been replaced and no further engagements could be established with the Province in this regard.</p> <p>CANCELLED</p>

Title of study:	Development of a Medical Device Assessment and Audit Tool for the Gauteng Health Department
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	<p>This proposal was approved for the Gauteng Department of Health.</p> <p>The overall objective of this project is to evaluate the Gauteng Health Department's existing medical device audit and procurement processes for appropriate and effective medical devices aimed at achieving positive health outcomes.</p>
<i>Have the studies been used as intended?</i>	The project is still under implementation
<i>To what did the study contribute?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	Delays in finalising the tender and signing of contract.

Title of study:	Public Financial Management Workshops and Seminars
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	This study was approved for the Accountant-General Office within the National Treasury. The main objective is to facilitate the enhancement of financial management skills for finance staff in the Provincial Departments and to support the implementation of the capacity development learning solutions developed by National Treasury in order to improve financial management in the Gauteng province.
<i>Have the studies been used as intended?</i>	The project is still under implementation
<i>To what did the study contribute?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	None.

Title of study:	Tracer Study of BTC funded SA candidates in Maritime Courses
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	This study was approved for the Transport Education and Training Authority (TETA) consequent to courses delivered by APEC under the Belgian scholarship programme. The main objective is to conduct an assessment of current existing delivery model (including benefits, impact and improvements) and formulate recommendations for restructuring of the model if deemed necessary. The research / assessment will result in tracing the returning students and those who studied locally to understand the perceived effectiveness and impact of the APEC training on both students and their workplace.
<i>Have the studies been used as intended?</i>	The project is still under implementation
<i>To what did the study contribute?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	None.

Title of study:	E-Health Concept Note for the Northern Cape Province
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	This proposal was approved for the Northern Cape Department of Health. Same as the Gauteng proposal, the main objective is to analyse and assess current data management processes (collection, transmission, processing, analysis, display, quality checking of data, sharing and exchange and feedback) in order to plan and implement appropriate interventions to improve the system. Produce a concept note based on the assessment results to serve as a starting point in implementing the e-health in Northern Cape Province in accordance with the e-health Strategy. The proposal was approved for an additional province, despite the indication on Gauteng proposal that this can be replicable in other provinces, based on the following reasons: The Northern Cape is very rural with very little urbanisation. They also have scarce human and facility resources. Distances between the different tiers of hospital services are vast, so in terms of their e-health strategy they will be looking more at solutions that facilitate information and communication sharing in that environment. They also would rely on more of the lower level health worker than Gauteng due to the poor human resource situation and rely more on community based facilities than Gauteng. They have one "centre of excellence" or given their limited resources they will have to rely on a single provincial hospital for all major referrals. They share this profile with the North West, Mpumalanga and Limpopo (all have one or two centers for referral). In contrast Gauteng and Western Cape have a different profile. Facilities are much closer together in Gauteng and Western Cape and they are much better equipped and staffed. They have a different challenge of information management and disbursement. These provinces are significantly urban and have significant hospital based services and therefore will require different solutions to the more rural based ones. The two studies will produce both rural and urban settings and offer the opportunity to present and share findings with their other provincial counterparts as well as at the technical committee of the National Health Council to stimulate replicability.

<i>Have the studies been used as intended?</i>	Cancelled
<i>To what did the study contribute?</i>	Cancelled
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	The tender procedure hasn't been initiated despite the approval of the study being received in July 2014. The senior management seems to have been replaced and no further engagements could be established with the Province in this regard. CANCELLED

Title of study:	Produce the audiovisual material and distribute to all departments to increase the OMF footprint within the current DPSA personnel and budget constraints
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	The study was approved for the Department of Public Service and Administration (DPSA). The main objective is the production and distribution of a set of audio-visual materials that would elucidate the content of the Operations Management Framework (OMF) and would be a much more cost efficient way of sharing the OMF with national and provincial departments.
<i>Have the studies been used as intended?</i>	The project is still under implementation
<i>To what did the study contribute?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	None.

Title of study:	It Sharepoint Web And Database Expert Services
<i>Describe, in a few sentences, for who the study was organised, and what it was about</i>	The study was approved for IDC. The main objective is to review and upgrade the DCMS based on Microsoft SharePoint Products and Technologies for improved management and integrated reporting and accountability of ODA in South Africa.
<i>Have the studies been used as intended?</i>	The project is still under implementation
<i>To what did the study contribute?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did they impact the study or the use of the study?</i>	None.

3.2 Expertise

3.2.1 Progress of expertise

	Progress of expertise ²	A	B	C	D	Comments (only if the value is C or D)
1	Seed Funding for the appointment of a Provincial HR Specialist at the KwaZulu Natal Provincial Treasury			X		Delay in implementation due to delays in finalising tender.
2	Matching citizens' expectations: Applied Policy Research Project			X		Delay in implementation due to delays in finalising tender.
3	Technical Expert to assist with the Establishment of a Technical Policy Unit, DOH EC Province			X		Delay in implementation due to delays in finalising tender.
4	Evaluate the Routine Health Information System (RHIS) in the North West Province			X		Delay in implementation due to delays in signing of contract.
5	Develop SOPs for Local Government in key internal control cycles		X			
6	Emerging Voices for Global Health 2014	X				
7	Final Evaluation of the Study Fund		X			
8	Final Audit for the Study Fund		X			
9	Study Tour to different countries		X			
10	E-Health Concept Note for the Gauteng Province				X	This study was severely delayed due to non-response from the beneficiary department. It is understood that after elections the senior management was changed and therefore no further follow up was made from their side. CANCELLED
11	Development of a Medical Device Assessment and Audit Tool for the Gauteng Health Department		X			Although delays experienced initially in formalising the application, the project is on schedule.
12	Public Financial Management Workshops and Seminars		X			
13	Tracer Study of BTC funded SA candidates in Maritime Courses		X			
14	E-Health Concept Note for the Northern Cape Province				X	This study was severely delayed due to non-response from the beneficiary department. It is understood that after elections the senior management was changed and therefore no further follow up was made from their side. CANCELLED
15	Produce the audiovisual material and distribute to all departments to increase the OMF footprint within the current DPSA personnel and budget constraints		X			
16	IT Sharepoint Web And Database Expert Services		X			Tender on track.

² A: Expertise completed in year N
 B: Expertise ongoing
 C: Expertise in preparatory phase: preparation going as planned (writing ToR, procurement procedure, etc.)
 D: Expertise planned but delayed

3.2.2 Analysis of expertise

Title of expertise – name of expert:	Provincial Human Resource Specialist – Not yet appointed
<i>Describe, in a few sentences, what the expertise is/was about</i>	The Provincial Treasury would require the appointment of a HR Specialist to support them with recommendation that will enable the Treasury to budget better for HR line item. The expertise aims at conducting a review of the remuneration of public service officials and to determine if it is in line with the productivity expectations and performance targets. The expert will also be required to produce advice regarding the alignment of the departmental personnel structures with core mandates.
<i>To what extent is the expertise delivering results?</i>	The project is still under implementation
<i>To what has the expertise contributed?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	Delays in finalising the tender procedures as explained in section 3.1.2

Title of expertise – name of expert:	Applied Policy Research – Western Cape University
<i>Describe, in a few sentences, what the expertise is/was about</i>	The purpose of the expertise is to conduct an applied policy research on behalf of the Department of Cooperative Governance and Traditional Affairs (CoGTA) with a special focus on "Matching Citizens' expectations of service delivery with local government capacity to deliver".
<i>To what extent is the expertise delivering results?</i>	The project is still under implementation
<i>To what has the expertise contributed?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	Delays in finalising the tender procedures as explained in section 3.1.2

Title of expertise – name of expert:	Appointment of a Technical Expert to assist with the establishment of a technical unit – Impela Alliances cc
<i>Describe, in a few sentences, what the expertise is/was about</i>	The expertise required is research related. The successful service provider will be appointed to conduct an assessment of current skills and recommend a mix of skills required for the establishment of such a Unit.
<i>To what extent is the expertise delivering results?</i>	The project is still under implementation
<i>To what has the expertise contributed?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	Delays in finalising the tender procedures as explained in section 3.1.2

Title of expertise – name of expert:	Evaluate the Routine Health Information System (RHIS) in the North West Province –North West University
<i>Describe, in a few sentences, what the expertise is/was about</i>	The scope of the work is to conduct a DHIS assessment to provide better understanding of the existing capacity and to integrate data collection, processing, reporting, and use of information management at all levels of public health systems to improve patient outcomes. The focus will be on

	<p>quality, affordability, accessibility and sustainability of processes and systems.</p> <p>The assessment will be conducted in two districts: Dr. Kenneth Kaunda District and the Bojanala Platinum District in the North West.</p>
<i>To what extent is the expertise delivering results?</i>	The project is still under implementation
<i>To what has the expertise contributed?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	Delays in finalising the tender procedures as explained in section 3.1.2

Title of expertise – name of expert:	The development of standard operating procedures for the implementation of the MFMA in municipalities - Mubesko Africa CC
<i>Describe, in a few sentences, what the expertise is/was about</i>	The purpose of the assignment is to develop the SOPs for the local government context to support Budget and Treasury Office (BTO) staff and managers to have a thorough understanding of basic controls that are required to be in place within key internal control cycles. This will assist in ensuring that there is a basis for BTO staff to fulfil their roles and ensure efficient, transparent financial management is in place for service delivery and accountability in the management of public funds.
<i>To what extent is the expertise delivering results?</i>	The project is still under implementation
<i>To what has the expertise contributed?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	None

Title of expertise – name of expert:	Global Symposium on Health Systems Research and the Emerging Voices programme – Health Systems Global
<i>Describe, in a few sentences, what the expertise is/was about</i>	This was a grant agreement to partially support the logistical arrangements for the international speakers and the emerging voices programme.
<i>To what extent is the expertise delivering results?</i>	<p>This support was extremely successful and was commended by the Director-General of the National Department of Health at the meeting in October 2014.</p> <p>As this grant includes two main components, namely support for the symposium (managed by Health Systems Global – South Africa / HSG-SA) and the Emerging Voices (EV) programme (managed by the University of the Western Cape - UWC), an agreement was concluded between HSG-SA and UWC. HSG-SA is managing the overall project, including preparing and submitting narrative and financial reports, with UWC providing inputs on the EV component of the project.</p>
<i>To what has the expertise contributed?</i>	<p>The funds were used to support the participation of the plenary speakers to the symposium. A total of 27 delegates from low- or middle-income countries and/or full-time students, as well as 10 plenary speakers, were fully or partially funded to participate in the symposium.</p> <p>A total of R1,254,193 has been expended on the Emerging Voices (EV) programme. This includes flights, accommodation, ground transportation and registration and membership fees for some of the Emerging Voices; and catering, printing, venue and equipment, co-ordination and administrative personnel and other costs related to the EV programme.</p> <p>The fund was used to support expenses in relation to the marketplace stalls as well as for social and other multi-media activities as approved.</p>
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	None

Title of expertise – name of expert:	Evaluation of the Study and Consultancy Fund – Julia Du Pisani
<i>Describe, in a few sentences, what the expertise is/was about</i>	In accordance with the Specific Agreement the Steering Committee can order an evaluation of the Study and Consultancy fund to determine the impact of the funding. The Steering Committee agreed to conduct an evaluation of the Fund in order to: <ul style="list-style-type: none"> To assess the implementation of Fund activities with respect to the Specific Agreement and formulate recommendation to improve it for further studies. To assess the impact of completed studies. Provide recommendations to the IDC, DGD and BTC regarding a possible extension of the Fund to 2017.
<i>To what extent is the expertise delivering results?</i>	The expert was well suited for the evaluation.
<i>To what has the expertise contributed?</i>	The recommendations of the evaluation contributed to decisions being made in terms of management capacity , selection and evaluation mechanisms, marketing, etc.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	None

Title of expertise – name of expert:	Audit services: Belgian-South African Study and Consultancy Fund – Moore Stephens
<i>Describe, in a few sentences, what the expertise is/was about</i>	The expertise required was to conduct a financial and system audit for the programme and provide recommendation for improvement consequent to review of the procedure manual and project templates.
<i>To what extent is the expertise delivering results?</i>	A first draft report was produced by the auditors. However the submission of the reports is not in line with the agreed timeline.
<i>To what has the expertise contributed?</i>	Suggestions and recommendations to improve the programme efficiencies. Final report is still expected in the first quarter of 2015.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	None.

Title of expertise – name of expert:	Study Tour to different countries – None
<i>Describe, in a few sentences, what the expertise is/was about</i>	This was a grant to the National Treasury – Chief Directorate for Health and Social Development in support to budgeting for NHI.
<i>To what extent is the expertise delivering results?</i>	Refer to the progress reported in section 3.1.2
<i>To what has the expertise contributed?</i>	Refer to the progress reported in section 3.1.2
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	None

Title of expertise – name of expert:	E-Health Concept Note for the Gauteng Province – Not yet appointed
<i>Describe, in a few sentences, what the expertise is/was about</i>	The TOR are still to be finalised – the main objective of the study is reported in section 3.1.2 above
<i>To what extent is the expertise delivering results?</i>	Cancelled
<i>To what has the expertise contributed?</i>	Cancelled
<i>Issues that arose, influencing factors</i>	Cancelled

<i>(positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	
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Title of expertise – name of expert:	Development of a Medical Device Assessment and Audit Tool for the Gauteng Health Department – Health Advance Institute
<i>Describe, in a few sentences, what the expertise is/was about</i>	To provide a new framework for rational and logical medical device assessment based on the existing health needs and expected outcomes upon servicing those needs. To provide a new framework for a systematic medical device audit tool, based on public health needs assessment
<i>To what extent is the expertise delivering results?</i>	The project is still under implementation
<i>To what has the expertise contributed?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	None

Title of expertise – name of expert:	Public Financial Management Workshops and Seminars – ESP Cool Ideas
<i>Describe, in a few sentences, what the expertise is/was about</i>	Roll out of the financial management programmes in Gauteng
<i>To what extent is the expertise delivering results?</i>	The project is still under implementation
<i>To what has the expertise contributed?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	None

Title of expertise – name of expert:	Tracer Study of BTC funded SA candidates in Maritime Courses - Nofel
<i>Describe, in a few sentences, what the expertise is/was about</i>	Analysis of the Maritime courses provided by APEC to South African institutions in the maritime environment.
<i>To what extent is the expertise delivering results?</i>	The project is still under implementation
<i>To what has the expertise contributed?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	None

Title of expertise – name of expert:	E-Health Concept Note for the Northern Cape Province – Not yet appointed
<i>Describe, in a few sentences, what the expertise is/was about</i>	The TOR are still to be finalised – the main objective of the study is reported in section 3.1.2 above

<i>To what extent is the expertise delivering results?</i>	CANCELLED
<i>To what has the expertise contributed?</i>	CANCELLED
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	CANCELLED

Title of expertise – name of expert:	Produce the audiovisual material and distribute to all departments to increase the OMF footprint within the current DPSA personnel and budget constraints – Artboards Design Studio
<i>Describe, in a few sentences, what the expertise is/was about</i>	In consultation with DPSA, the expert will produce an audio-visual programme on a real life conference/ training environment on the eight building blocks of the Operations Management Framework.
<i>To what extent is the expertise delivering results?</i>	The project is still under implementation
<i>To what has the expertise contributed?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	None

Title of expertise – name of expert:	It Sharepoint Web And Database Expert Services
<i>Describe, in a few sentences, what the expertise is/was about</i>	Expected Outputs / Results <ul style="list-style-type: none"> • Revised and upgraded DCMIS in line with the IDC and ODA stakeholder requirements; • Effective and operationalised DCMIS and IDC Website; • DCMIS User training manual; • DCMIS administrator trained; • DCMIS Users training workshops undertaken; • Knowledge lab equipment upgrading;
<i>To what extent is the expertise delivering results?</i>	The project is still under implementation
<i>To what has the expertise contributed?</i>	Still to be determined.
<i>Issues that arose, influencing factors (positive or negative)? Unexpected results (positive or negative)? How did these impact the expertise and the result of this expertise</i>	None

3.3 Budget execution

The Programme has made tremendous progress with regard to approval of new studies. During 2014 and 2015 a total of 16 new proposals in different sectors have been approved, for a total of €1.105.323 and some have already started implementation. The execution of the programme as at 31 December 2015 was € 1,887,420 of budget (spent and committed), which represents 63,72% of the total budget. Of this € 1.190.230 is actual expenditure 40,19 %

3.4 Quality criteria

On the basis of the elements above, attribute a simple A, B, C or D score³ to the following criteria

Relevance: The degree to which studies and expertise are in line with local and national priorities

Efficiency: Degree to which studies and expertise have been executed on time and on budget.

Effectiveness: Degree to which studies and expertise actually contribute to their intended objectives

Criteria	Score
Relevance	A
Efficiency	C
Effectiveness	A

³

- A: Very good performance
- B: Good performance
- C: Performing with problems, measures should be taken
- D: Not performing/ having major difficulties: measures are necessary

If a criterion cannot be assessed (e.g. because the intervention has only just started), attribute the criteria with an 'X' score. Explain why the criterion has not been assessed.

3.5 Risk management

Identification of risk or issue			Analysis of risk or issue			Deal with risk or issue			Follow-up of risk or issue	
Risk description	Period of Identification	Category	Likelihood	Potential impact	Total	Action(s)	Resp.	Deadline	Progress	Status
Limited time frame for Implementation	Q1 2015	FIN	Low	Low	Low Risk	The additional funding from DFID will be given priority since the implementation period is for 18 months. All proposals on table and new proposals will be allocated to this budget. However the Belgian budget will be earmarked for cooperation between Belgium and South African institutions to build future cooperation initiatives.	BTC and NT	Sep-17	The Delegated Cooperation Agreement will be signed by end March and implementation will commence in April 2016.	New
Possible cancellation of a approved studies due to the poor commitment by the Beneficiary Institutions It has been noted that some projects have a very low uptake.	Q1 2015	OPS	Medium	Medium	Medium Risk	BTC and National Treasury are in regular contact with the beneficiary institution higher management to ensure that they intervene and influence the implementation.	BTC and NT	Dec-16	All projects are being implemented within the timeframe	In progress
Delays in Implementation due to Beneficiary Institution Tender processes	Q1 2015	FIN	Medium	Medium	Medium Risk	It has been noted that the delays are mainly caused through confusion on processes to follow due to the funding not being transferred to the beneficiary institution. BTC and NT meet/ contact the BI before the launch of tenders to clarify Stufu procedure. However bureaucracy also contribute to delays.	BTC	ongoing	BTC doesn't have HR capacity to take up the tender procedure on behalf of the beneficiary departments. There is still slow progress with regard to the approval of the tender by the Accounting Officer and the approval of the contractual agreement - due to bureaucratic processes	In progress

4 Steering and Learning

4.1 Recommendations

Recommendations	Source	Actor	Deadline
<i>Description of the recommendation</i>	<i>The sub-chapter to which the recommendation refers (e.g. 3.2.3)</i>	<i>The person responsible for recommendation</i>	<i>e.g. Q1, Q2, Q3 or Q4 of year N+1</i>
Lengthy procurement procedure impacts negatively on the disbursement rate. Close monitoring is needed	3.1.1 Progress of studies	Project Team	Q2 2016
Marketing of the fund needs to be improved to broaden the reach of the funding.	N/A	Project Team	Q2 2016
M&E should be strengthened to ensure better outcome results of the studies.	N/A	Project Team	Q2 2016

4.2 Lessons Learned

Capture important Lessons Learned from the intervention's experience. Lessons Learned are new insights that must remain in the institutional memory of BTC and partners.

Lessons learned	Target audience
The lack of a database of implemented programmes in Government and the poor sharing of information by funders pose a potential risk of double dipping. It was by chance that BTC is implementing a similar programme (Tirelo Bosh) through which we identified this.	Beneficiary Institutions

5 Annexes

5.1 "Budget versus current (y – m)" Report

Budget vs Actuals (Year to Month) of SAF0901711

Project Title :	Creation of a Belgo South African Fund for Transfer of Know-How, Studies and Consultancies
Budget Version :	E01
Currency :	EUR
Year :	Year to month : 31/01/2016
Report includes all closed transactions until the end date of the chosen closing	

Status	Fm Mode	Amount	Start to 2015	Exercises 2016	Total	Balance	% Exec
A	Fonds non-alloués	650 693,00	-13 035,33	-214,52	-13 840,85	074 842,85	-1%
01	Fonds non-alloués	650 693,00	-13 035,33	-214,52	-13 840,85	074 842,85	-1%
01	Fonds non-alloués	650 693,00	-13 035,33	-214,52	-13 840,85	074 842,85	-1%
B	Allocated Funds	1 300 784,00	530 553,91	0,00	530 553,91	767 230,09	40%
01	IDC - Workshop	2 620,00	2 618,42	0,00	2 618,42	1,58	100%
01	Workshop on Future Development Cooperation between	2 620,00	2 618,42	0,00	2 618,42	1,58	100%
02	KwaZulu Natal Provincial Treasury	68 200,00	0,00	0,00	0,00	68 200,00	0%
01	Seed Funding for the appointment of a Provincial HR	68 200,00	0,00	0,00	0,00	68 200,00	0%
03	Generate evidence based data on identifying factors	40 000,00	27 859,95	0,00	27 859,95	12 140,05	70%
01	Matching citizens' expectations: Applied Policy Research	40 000,00	27 859,95	0,00	27 859,95	12 140,05	70%
04	Establishment of a Technical Unit that provides timely	41 154,00	9 958,77	0,00	9 958,77	31 195,23	24%
01	Technical Expert to assist with the Establishment of a	41 154,00	9 958,77	0,00	9 958,77	31 195,23	24%
05	Assess data quality and performance of the DHIS and	70 769,00	67 910,17	0,00	67 910,17	2 858,83	96%
01	Evaluate the Routine Health Information System (RHIS) in	70 769,00	67 910,17	0,00	67 910,17	2 858,83	96%
06	Development of Standing Operating Procedures for	205 000,00	41 520,92	0,00	41 520,92	163 479,08	20%
01	Develop SOPs for Local Government in key internal control	205 000,00	41 520,92	0,00	41 520,92	163 479,08	20%
07	The third global symposium on health systems research,	179 341,00	179 340,32	0,00	179 340,32	0,68	100%
01	Emerging Voices for Global Health 2014	179 341,00	179 340,32	0,00	179 340,32	0,68	100%
08	Evaluation of the Study Fund	30 000,00	11 679,63	0,00	11 679,63	18 320,37	39%
01	Technical Evaluation of the Study Fund	30 000,00	11 679,63	0,00	11 679,63	18 320,37	39%
02	Final Technical evaluation of the Study Fund	15 000,00	0,00	0,00	0,00	15 000,00	0%
09	Financial Evaluation of the Study Fund	13 000,00	8 700,00	0,00	8 700,00	4 300,00	67%
REGIE							
COGEST		2 642 092,00	1 160 230,27	-214,52	1 160 015,75	1 772 076,25	40%
TOTAL		2 642 092,00	1 160 230,27	-214,52	1 160 015,75	1 772 076,25	40%



Budget vs Actuals (Year to Month) of SAF0901711

Project Title: Creation of a Belgo South African Fund for Transfer of Know-How, Studies and Consultancies

Budget Version: E01

Currency: EUR

Y/M: 1/11

Year-to month: 31/01/2016

Report includes all closed transactions until the end date of the chosen closing

	Status	Fin. Mode	Amount	Start to 2015	Expenses 2016	Total	Balance	% Exec.
01 Financial Audits		COGES	13.000,00	8.700,00	0,00	8.700,00	-4.300,00	67%
10 Capacity building and knowledge exchange in relation to			25.000,00	21.835,74	0,00	21.835,74	3.164,26	87%
01 Study Tours to different countries		COGES	25.000,00	21.835,74	0,00	21.835,74	3.164,26	87%
11 Analyse and assess current data management processes		COGES	0,00	0,00	0,00	0,00	0,00	0%
01 E-Health Concept Note for the Gauteng Province		COGES	0,00	0,00	0,00	0,00	0,00	0%
12 Evaluate the Gauteng Health Department's existing		COGES	74.000,00	3.912,38	0,00	3.912,38	70.087,62	5%
01 Development of a Medical Device Assessment and Audit		COGES	74.000,00	3.912,38	0,00	3.912,38	70.087,62	5%
13 Capacity development learning solutions developed by		COGES	170.000,00	53.173,83	0,00	53.173,83	116.826,07	31%
01 Public Financial Management Workshops and Seminars		COGES	170.000,00	53.173,83	0,00	53.173,83	116.826,07	31%
14 Analysis of existing delivery model, impact and benefits		COGES	88.500,00	16.156,28	0,00	16.156,28	72.343,72	18%
01 Tracer Study of BTC-funded SA candidates in Maritime		COGES	88.500,00	16.156,28	0,00	16.156,28	72.343,72	18%
15 Analyse and assess current data management processes		COGES	0,00	0,00	0,00	0,00	0,00	0%
01 E-Health Concept Note for the Northern Cape Province		COGES	0,00	0,00	0,00	0,00	0,00	0%
16 Develop Audio Visual Programme for Operations		COGES	50.000,00	10.548,14	0,00	10.548,14	39.451,86	21%
01 Produce the audiovisual material and distribute to all		COGES	50.000,00	10.548,14	0,00	10.548,14	39.451,86	21%
17 Policy Research Workshop UP and DAFF		COGES	12.400,00	7.811,01	0,00	7.811,01	4.588,99	63%
01 Brucellosis Research Workshop		COGES	12.400,00	7.811,01	0,00	7.811,01	4.588,99	63%
18 Promote financial inclusion in the SADC region for the		COGES	75.000,00	70.353,09	0,00	70.353,09	4.646,91	94%
01 Financial inclusion Forum		COGES	75.000,00	70.353,09	0,00	70.353,09	4.646,91	94%
19 Explore, create, cultivate and consolidate research		COGES	6.500,00	5.875,10	0,00	5.875,10	624,84	90%
01 Workshop on Multilingualism in Transformative Spaces		COGES	6.500,00	5.875,10	0,00	5.875,10	624,84	90%
20 Tracer Study on Scholarships Programme		COGES	100.000,00	0,00	0,00	0,00	100.000,00	0%
REGIE								
				2.902.092,00	1.190.230,27	-214,52	1.772.076,25	40%
				2.902.092,00	1.190.230,27	-214,52	1.772.076,25	40%



Budget vs Actuals (Year to Month) of SAF0901711

Project Title: **Creation of a Belgo South African Fund for Transfer of Know-How, Studies and Consultancies**

Budget Version: **E01**

Year to month: **31/01/2016**

Currency: **EUR**

Report includes all closed transactions until the end date of the chosen closing

Y04:

	Status	Fin Mode	Amount	Start to 2015	Expenses 2016	Total	Balance	% Exec
01 Evaluation of scholarship programme in South Africa		COGES	100,000.00	0,00	0,00	0,00	100,000.00	0%
21 Development Cooperation Management Information		COGES	85,000.00	0,00	0,00	0,00	85,000.00	0%
01 IT Sharepoint Web & Database Expert Service		COGES	85,000.00	0,00	0,00	0,00	85,000.00	0%
Z Allocated Funds			664,315.00	664,311.00	0,00	664,311.00	3,31	100%
01 Department of Higher Education & Training		COGES	32,750.00	32,749.00	0,00	32,749.00	0,34	100%
01 FET College Lecturer Development		COGES	32,750.00	32,749.00	0,00	32,749.00	0,34	100%
02 National Department of Health		COGES	7,353.00	7,353.00	0,00	7,353.00	-0,09	100%
01 Seminar-Lean Methodology to Public Sector		COGES	7,353.00	7,353.00	0,00	7,353.00	-0,09	100%
03 National Treasury - SCOA		COGES	73,722.00	73,721.72	0,00	73,721.72	0,28	100%
01 Development of Standard chart of accounts for Local		COGES	73,722.00	73,721.72	0,00	73,721.72	0,28	100%
04 National Treasury - GRAP		COGES	63,610.00	63,610.38	0,00	63,610.38	-0,38	100%
01 Seminar - Municipal Mngmnt Reform		COGES	63,610.00	63,610.38	0,00	63,610.38	-0,38	100%
05 Ba-Phalaborwa Municipality		COGES	47,032.00	47,031.79	0,00	47,031.79	0,21	100%
01 Feasible Solution on Poverty reduction, improved service		COGES	47,032.00	47,031.79	0,00	47,031.79	0,21	100%
06 University of Western Cape		COGES	27,704.00	27,703.54	0,00	27,703.54	0,40	100%
01 External review of Piass Teaching Programme		COGES	27,704.00	27,703.54	0,00	27,703.54	0,40	100%
07 South African Local Government Association - SALGA		COGES	29,084.00	29,084.35	0,00	29,084.35	0,65	100%
01 Feasibility study on Roll out of Finance Capacity Building		COGES	29,084.00	29,084.35	0,00	29,084.35	0,65	100%
08 Presidential SOE Review Committee		COGES	38,032.00	38,031.84	0,00	38,031.84	0,10	100%
01 Establishment of the Presidential State owned Enterprises		COGES	38,032.00	38,031.84	0,00	38,031.84	0,10	100%
09 Free State Provincial Treasury		COGES	61,659.00	61,659.34	0,00	61,659.34	-0,34	100%
01 Technical Assistance for the Public Sector Financial		COGES	61,659.00	61,659.34	0,00	61,659.34	-0,34	100%
REGIE								
COGEST			2,962,092.00	1,100,230.27	-214.52	1,100,015.75	1,772,076.25	40%
TOTAL			2,962,092.00	1,100,230.27	-214.52	1,100,015.75	1,772,076.25	40%



Budget vs Actuals (Year to Month) of SAF0901711

Project Title: **Creation of a Belgo South African Fund for Transfer of Know-How, Studies and Consultancies**
 Budget Version: **E01**
 Currency: **EUR**
 Year to month: **31/01/2016**
Report includes all closed transactions until the end date of the chosen closing

	Status	Fin Mode	Amount	Start to 2016	Expenses 2016	Total	Balance	% Exec
10 Gauteng Provincial Treasury			50.337,00	50.330,89	0,00	50.330,89	0,11	100%
01 Technical Assistance to strengthen PFM Capabilities at		COGES	50.337,00	50.330,89	0,00	50.330,89	0,11	100%
11 NIMBM			37.870,74	37.870,74	0,00	37.870,74	0,26	100%
01 Establishment of a Competency Framework & Capacity		COGES	37.871,00	37.870,74	0,00	37.870,74	0,26	100%
12 DARDLA-MPU			46.407,37	46.407,37	0,00	46.407,37	0,03	100%
01 Feasibility Study for the Dev of a Sustainable Agric Dev		COGES	46.408,00	46.407,37	0,00	46.407,37	0,03	100%
13 GRAP_National Treasury			54.848,58	54.848,58	0,00	54.848,58	0,42	100%
01 Expert in the Development of Implementation Guidelines		COGES	54.849,00	54.848,58	0,00	54.848,58	0,42	100%
14 National Treasury-Health sector			54.711,42	54.711,42	0,00	54.711,42	0,58	100%
01 Expert in Risk Management for the South African Health		COGES	54.712,00	54.711,42	0,00	54.711,42	0,58	100%
15 National Health Insurance Reform			30.160,88	30.160,88	0,00	30.160,88	0,02	100%
01 Supporting South Africa's National Health Insurance		COGES	30.161,00	30.160,88	0,00	30.160,88	0,02	100%
99 Conversion rate adjustment			0,00	0,00	0,00	0,00	0,00	7%
99 Conversion rate adjustment		COGES	0,00	0,00	0,00	0,00	0,00	7%

REGIE								
COGEST			2.662.062,00	1.190.230,27	-214,52	1.150.015,75	1.772.076,25	40%
TOTAL			2.662.062,00	1.190.230,27	-214,52	1.150.015,75	1.772.076,25	40%



5.2 Decisions taken by the JLCB and follow-up

Provide an overview of the important strategic decisions taken by the JLCB and the follow-up of those decisions since the beginning of the intervention.

Decision			Action			Follow-up		
Decision	Identification period (mmmm.yy)	Source*	Actor	Action(s)	Resp.	Deadline	Progress	Status
Management response and plan of action on the audit and evaluation report, and approved the response through Steering Committee Amend the shortfalls in the procedure manual and guidelines	2015-06-02	JLCB minutes	NT and BTC	Develop a management response to the findings and recommendations of the evaluation reports	BTC	To be determined	Pending approval of additional funding	OPEN
	2015-06-02	JLCB minutes	NT and BTC	Address the shortfalls of the Study Fund Guidelines documents	BTC	To be determined	Pending approval of additional funding	OPEN
	2015-06-02	JLCB minutes	NT and BTC	Identify which invoices under the audited period are issued on BTC name	BTC	2015-06-19	Invoices identified - none issued on BTC name	CLOSED
Claiming of VAT through BTC system	2015-06-02	JLCB minutes	NT and BTC	Instruct all consultants to issue the VAT invoice on BTC name until finalisation of the registration process for VAT is achieved, to ensure recuperation of VAT.	BTC	Ongoing	Current consultants were informed on the necessary requirements	ONGOING
	2015-06-02	JLCB minutes	NT and BTC	Register the project for VAT	BTC	To be determined	The registration process should be initiated if and when additional funding is received	OPEN
Follow up on the replenishment of the fund	2015-06-02	JLCB minutes	NT and BTC	The replenishment of the fund is to be followed up on from the Belgian side.	BTC	To be determined	No activities can be approved until the replenishment is confirmed	OPEN
	2015-06-02	JLCB minutes	NT and BTC	Ensure uptake of the recommendations from the Evaluation and the Audit Report. The two e-health concepts were cancelled and the funding will be allocated to pipeline activities. Tracer Study on the Belgian Scholarship is continued. It was agreed that the funding for the final evaluation and final audit is remains as allocated.	BTC	2015/07/31	All locations to be done accordingly	OPEN
Monitoring and evaluation	2015-06-02	JLCB minutes	NT and BTC	Share information on M&E systems. Apply monitoring framework to the Fund.	NT	2015/07/31	None	OPEN