



BTC

**BELGIAN
DEVELOPMENT AGENCY**

FORMULERINGSRAPPORT

**BUILDING ACADEMIC PARTNERSHIPS
FOR ECONOMIC DEVELOPMENT
(BAPED)**

SOUTH AFRICA

DGD CODE : NN 3017842

NAVISON CODE : SAF 16 888 11

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AFKORTINGEN

AIDS	Acquired Immunodeficiency Syndrome
BAPED	Building Academic Partnerships for Economic Development
BTC	Belgian Development Agency
DGD	Directorate General for Development Cooperation and Humanitarian Aid
DHET	Department of Higher Education and Training
DST	Department of Science and Technology
HIV	Human Immunodeficiency Virus
ICT	Information and Communication Technology
OPS	Operations
QCC	Quality Control Committee
SA	South Africa(n)
SAMRC	South African Medical Research Council
TETA	Transport Education Authority
TFF	Technical and Financial File
TVET	Technical and Vocational Education and Training

1 GEVOLGDE PROCEDURE

Gezien de hoogdringendheid van de missie, werd de formulering uitgevoerd volgens een versnelde procedure waarbij het aantal missies op het terrein beperkt werd tot één (1) en de technische restitutie en validatie door de partner en de Ambassade via e-mail gebeurde.

De formulering ging uit van een "identificatienota" die voorafgaand aan de missie werd opgemaakt door de Ambassade (zie bijlage 3.1). Er was geen formeel goedgekeurde identificatiefiche.

In de identificatienota stonden de mogelijke begunstigde instellingen opgelijst. Al deze instellingen werden bezocht door het formuleringsteam en weerhouden voor het programma. Daar werd nog één instelling aan toegevoegd, met name de SA Medical Research Council, die hiertoe een verzoek had gericht aan de ambassade.

Het formuleringsteam bestond uit twee medewerkers van BTC:

- Marleen Bosmans, Expert HIV/AIDS
- Joël Meersseman, Beurzenprogramma en Departement-OPS.

De formuleringmissie vond plaats van 02/05/2016 en 20/05/2016 (voor gedetailleerd missieprogramma: zie bijlage 3.2). De missie werd afgesloten met een formele debriefing en bijgewoond door de Ambassade en de National Treasury, de partner. Van de debriefing werd een formeel verslag gemaakt, dat door alle aanwezigen werd goedgekeurd en door het National Treasury werd ondertekend op 01/07/2016 (zie bijlage 3.3).

De interne validering vond plaats op 17/06/2016 waarna het Technische en Financieel Dossier nog op een aantal punten werd aangepast.

Op 23/06/2016 werd het dossier voor technische validatie overgemaakt aan de partner en aan de Ambassade. Het dossier werd aangepast op basis van hun vragen en bemerkingen die via mail aan BTC werden overgemaakt. De aanpassingen en antwoorden van BTC werden systematisch opgelijst (zie bijlage 3.4) en samen met het herwerkt dossier op 14/07/2016 voor goedkeuring overgemaakt aan de Residentiële Vertegenwoordiger van BTC voor Zuid-Afrika die dit overmaakte aan partner en ambassade.

De partner keurde het dossier goed in een brief van 21/07/2016 (zie bijlage 3.5). De Ambassade had nog enkele opmerkingen (zie bijlage 3.6) die werden besproken op de vergadering van het Quality Control Committee die plaatsvond op 02/08/2016 op BTC in Brussel en op basis waarvan nog een aantal laatste aanpassingen aan het dossier werden doorgevoerd.

2 ANALYTISCHE FICHE

Intervention number	NN 3017842
Navision Code BTC	SAF 16 888 11
Partner Institution	SA National Treasury – International Development Cooperation
Duration of the Specific Agreement	Until the 30th of June 2019.
Expected intervention period	Maximum 31 months (from 01/09/2016 until 31/03/2019).
Contribution of the Partner Country	Beneficiary Institutions are encouraged to contribute.
Belgian Contribution	1,500000 euro.
Sector (CAD codes)	43081 – Multi-sectoral Education and Training.
Brief description of the intervention	The programme aims at strengthening the academic institutions in South Africa and fostering academic partnerships between South Africa and Belgium in a limited number of priority sectors that are key for South African economic development. Sectors such as ICT (incl. TVET), health (biostatistics) and the maritime sector have already been identified. The primary Beneficiary Institutions are the SA Departments of Higher Education and Training (DHET), Science and Technology (DST), the SA Medical Research Council (SAMRC), TETA, Transnet, and the Belgium Campus. The scholarships are primarily – but not exclusively - intended for institutional strengthening through accelerated Masters and PhDs, supplying the economic sectors through the training of professional Bachelors, national and international exchanges, short term trainings and knowledge sharing activities such as seminars, study tours, exchange visits, etc.
Global Objective	To contribute to the economic development of South Africa through the establishment of a network between South African and Belgian academic institutions.
Specific Objective	Foundations for long-standing partnerships between South African and Belgian research and training institutions, aimed at improving business oriented skills development, training and research, are built.
Results	R.1: Skills, competences and capacities are developed through scholarships for Bachelor, Master and PhD studies. R.2: Skills, competences and capacities are strengthened through scholarships for national and international mobility and short term trainings. R.3: Academic partnerships within South Africa and between South Africa and Belgium are fostered through the organisation of knowledge sharing initiatives.

3 SECTORALE CONTEXT

Zie Hoofdstuk 1 van het Technisch en financieel dossier.

4 BIJKOMENDE STUDIES

Er werden geen bijkomende studies uitgevoerd.

5 TECHNISCH EN FINANCIEEL DOSSIER

Zie bijlage.

6 RISCOANALYSE

Zie Hoofdstuk 3, Punt 3.7 van het Technisch en Financieel dossier.

7 TRANSVERSALE THEMA'S

Zie Hoofdstuk 6, Punt 6.1 van het Technisch en Financieel dossier.

8 BIJLAGEN

8.1 Identificatienota

Identification note for a scholarship programme

1. Introduction

The Multi-annual Indicative programme 2014-2020 of the EU states that:

- Education, training and innovation is one of the three priority areas chosen by the SA Government from among 13 areas in their National Development Plan – Vision 2030 (NDP);
- The key issue is that, although there is high access to education in general and higher education in particular, there is low quality. This is also demonstrated by reports of graduates who are unable to find employment;
- However, the education system is critical for improving the economy and the society. If the education system does not perform, the economy will not perform. Furthermore, given the country's apartheid history, higher education provides opportunities for social mobility and can strengthen equity, social justice and democracy.

The NDP clearly indicates that quality of higher education is a big concern for the country: *“The data on the quality of university education is disturbing. South African universities are mid-level performers in terms of knowledge production, with high attrition rates and insufficient capacity to produce the required levels of skills. They are still characterised by historical inequities and distortions.”*

From discussions with representatives from the Department of Higher Education and Training (DHET) and from the Department of Science and Technology (DST) it is clear that:

- There is an urgent need to strengthen the disadvantaged universities in order to improve the quality of education in the country and to increase the overall level of education;
- There is a need to expand the academic personnel and to improve their quality (more PhD levels) and to make efforts to renew the academic personnel (many will reach the age of retirement over the next decade; most are still white, so that there is an urgent need a more equal distribution). A programme (nGAP – see copy in annex) has been developed by DHET to support this expansion and transition. In this programme it is foreseen that the future profs stay at least between 3 to 6 months abroad to be exposed to international trainings;
- DST developed a list of priority areas (see copy in annex) where they want to promote training and research in order to further strengthen the development of the country.

- Emphasis is put on setting-up and supporting networks and partnerships between universities (international and internally; between universities and between research institutes).

2. Belgian scholarship programme with South Africa

Currently, Belgium provides different types of scholarship for training in South Africa and in Belgium (limited):

- Support of masters in the fields Health, Agriculture and Land management, Governance, general masters. These programmes are provided by the high level universities of South Africa such as WITS, Stellenbosch, PLAAS (UWC), UP, etc... a certain number of scholarship are provided to these universities for the selected programmes. Selection of the candidates is done by the university.
- Support of bachelor programmes and teacher training in IT at the Belgium Campus (a private initiative – section 21 non-profit organisation, recognised by DHET). There are short term (one year) and long term (2 to 3 year) programmes that receive scholarships. Selection of the candidates is the responsibility of the Belgium Campus.
- Local short term training on an ad-hoc basis (mainly at ARC-OVI – Agricultural Research Council – Onderstepoort Veterinary Institute)
- Short term training in Belgium and local in the transport sector, provided by APEC (Antwerp/Flanders Port Training Center) involving Transnet and TETA (Transport Education Training Authority)

3. Proposal for a future scholarship programme with South Africa

Based on the priorities mentioned by South Africa, the discussions we had with DHET, DST, National treasury (NT) and Transnet/TETA and the fact that the bilateral cooperation between South Africa and Belgium is phasing-out over the period 2016-2019 and should make an effort to support or strengthen other relations between both our countries, we propose the following approach for the future scholarship programme:

- (1) Support for the priority sectors of DST in these areas where Belgium clearly has an added value. Based on the list in annex and discussion with Belgian universities and research institutes we propose to select a few areas where the quality of the Belgian training is internationally recognised to be of the highest standard;
- (2) In these selected areas, support staff from the disadvantaged universities for further training through the development of partnerships between these universities, the high quality universities in South Africa and the selected university/universities in Belgium. The idea is to provide one year training in South Africa and one year in Belgium. Furthermore, specific research subjects, considered priorities for DST could be supported through this approach. This way, we promote also the development of partnerships between South African and Belgian universities and the development of networks on a win-win basis that can continue functioning once the funding through bilateral cooperation comes to an end.

- (3) In function of the progress made in the implementation of nGAP programme, support the mobility of experts in selected fields of training for a 3 to 6 month stay at a Belgian university. Also this approach will contribute to the idea of setting-up or strengthen partnerships and networks between South African and Belgian universities.
- (4) Support for the IT training at the Belgium Campus at bachelor and master level and for the further development of the programmed innovation village – Bothlale Village – see TELOP nr. 2015/0413 of 21.12.2015). This support is fully in line with the needs of the South African private sector and the digital agenda of the Belgian Minister for Development Cooperation.
- (5) Deepen the discussions with Transnet and TETA (see copy TELOP nr. 2015/0389 of 01.12.2015 in annex) to develop a tailor made programme that responds to their needs for the sector. Emphasis will be put on local training and support for the strengthening of local training institutes. From the start, joint financing of this training (at high professional level) should be part of the set-up in order to assure the sustainability of it (through full financing by South Africa once the bilateral funds are not any longer available).

4. Way forward

Based on the proposals made under point 3. we propose that a formulation mission (one week to max 10 days) will be set-up to discuss these different issues with the ministries and actors involved (NT, DHET, DST, Belgium Campus, TRANSNET, TETA). Based on information gathered during this mission, contacts will be taken with the universities, research centres and other actors in Belgium to (1) assess their willingness to participate in this training and partnership/network programme and (2) discuss the priority areas where they can provide a high added value.

The results of these negotiations will lead to a proposal where the different options will be presented with the costs linked to it and with a proposal for prioritisation.

Pretoria, 2015.12.30

8.2 Formulation Mission Programme



Scholarships Formulation Mission Agenda – 9th May to 19th May 2016

Day	Dates	Hour	Activities	Responsible	Remarks/logistics
SOUTH AFRICA					
1	Monday 9 May 2016		MB & JM ARRIVAL: JOHANNESBURG, ZA (O.R. TAMBO INTL), TERMINAL A 09 MAY 21:15	PM	PM to pick up at ORT, Late check in at Cascade Guesthouse
2	Tuesday 10 May 2016	10:00 – 12:00	Briefing meeting at BTC	RR, PdB, MB, JM	Meeting at BTC PM to pick up MB & JM at 9h00.
		14:00 – 16:00	MEETING: NATIONAL TREASURY (IDC)	RR, PdB, MB, JM, IDC	Meeting at National Treasury 240 Madiba Street, 15th Floor, Room 1539. Drive with RR. Phozisa/ Simangele to arrange parking NT.
3	Wednesday 11 May 2016	10:00 – 11:00	MEETING: DEPARTMENT OF SCIENCE & TECHNOLOGY	RR, PdB, MB, JM, SF	Meeting at DST Building 53, CSIR Campus, 672 Meiring Naude Road. Drive with RR. Megan to

					confirm parking.
		15:00 – 16:00	MEETING: SA MEDICAL RESEARCH COUNCIL	RR, PdB, MB, JM, SF	Meeting at SAMRC Offices, Main Boardroom, Ground Floor, 1 Soutpansberg Road. Drive with RR. Megan to confirm parking.
4	Thursday 12 May 2016	10:00 – 11:00	MEETING: BELGIUM CAMPUS	RR, PdB, MB, JM, SF	Meeting at Belgium Campus Drive with RR.
		12:00 – 14:00	MEETING: DEPARTMENT OF HIGHER EDUCATION AND TRAINING	RR, PdB, MB, JM, SF	Meeting at DHET, 123 Francis Baard Street, venue to be confirmed. Drive with RR. Megan to confirm parking.
5	Friday 13 May 2016	12:00 – 14:00	MEETING: TETA	RR, PdB, MB, JM, SF	Meeting at TETA, LEKGOTLA BOARDROOM, 344 Pretoria Avenue, TETA Building, Randburg Drive with RR.
6	Saturday 14 May 2016		MEETING WITH SVEN AND LAURENCE	RR, MB, JM	(Cancelled)
7	Sunday 15 May 2016		MEETING WITH SVEN AND LAURENCE	RR, MB, JM	(Cancelled)
		14:30 - 15:30	MEETING: TRANSNET	RR, PdB, MB, JM, SF, PM	Meeting at Transnet 150 Commissioner street, Carlton Centre, Johannesburg - VC details to be confirmed

					PM to drive
		14:00 – 15:00	MEETING: DEBRIEFING ON CHAPTER 5 – IMPLEMENTATION MODALITIES	JM, MB, RR, SF	Meeting at BTC
		16:30	JM DEPARTURE: JOHANNESBURG, ZA (O.R. TAMBO INTL), TERMINAL A 17 MAY 18:50	JM, PM	PM to drop off at ORT
10	Wednesday 18 May 2016		REPORT WRITING	MB,RR, SF	Working at BTC
11	Thursday 19 May 2016	10:00 – 12:00	DEBRIEFING MEETING	MB, RR, PdB, SF, IDC	Meeting at BTC
			MB DEPARTURE: JOHANNESBURG, ZA (O.R. TAMBO INTL), TERMINAL A	MB, PM	TO BE CONFIRMED

RR – Ravi Reddy, PM – Patrick Motlou, MB – Marleen Bosmans, JM – Joel Meersseman, PdB – Patrick de Bouck, MvdW – Megan van der Westhuizen, SF – Simon Ferreira, IDC – National Treasury (International Development Cooperation).

Subject:	<p>FORMULATION OF SCHOLARSHIPS PROGRAMME BUILDING ACADEMIC PARTNERSHIPS FOR ECONOMIC DEVELOPMENT DEBRIEFING MEETING: IMPLEMENTATION MODALITIES on 17th May 2016 FINAL DEBRIEFING on 19th May 2016</p> <p>Venue: BTC Representation Pretoria</p>
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ATTENDEES	
Present 17th May 2016	Present 19th May 2016
<ol style="list-style-type: none"> 1. Marleen Bosmans (BTC-Brussels, Formulation Manager) 2. Joel Meerseman (BTC-Brussels, Scholarships & Traineeships) 3. Ravi Reddy (BTC-South Africa, Local Administrator & Finance) 4. Patrick De Bouck (Belgian Embassy) 5. Nathalie Vereen (National Treasury, International Development Cooperation) 6. Phozisa Ngadolo (National Treasury, International Development Cooperation) 	<ol style="list-style-type: none"> 1. Marleen Bosmans 2. Ravi Reddy 3. Patrick De Bouck 4. Nathalie Vereen 5. Robin Toll

8.3 Verslag debriefing van 19 mei 2016



Agenda Item	Discussion	Action / Decision / Proposal
Welcome, introductions and apologies	Marleen Bosmans welcomed all and explained the purpose of the debriefing.	
Context of Scholarships Programmes	<p>Implementation Modalities (Chapter 5) is dependent on the content of the rest of the Technical and Financial File (TFF), however due to Joel having to leave before the final debriefing it was decided that he would do the debriefing on Chapter 5 before departure.</p> <p>The differences between the Traditional Scholarship Programme and the New Scholarships Programme with a programmatic approach were explained.</p> <p>The discussions centred around the importance of the programme to focus on establishing long standing partnerships between training and research institutes in SA (South Africa) and BEL (Belgium) through the mobility between the SA and BEL institutions.</p> <p>Further discussions were on establishing relationships between Historically Disadvantaged Institutions (HDIs) and Historically Advantaged Institutions (HAIs). One of the concerns of NT was that there were many unsuccessful interventions trying to establish or encourage the relationship between the HAIs and HDIs. However it was agreed that the programme could still consider this as one of the niche focus to bridge the gap between these institutions. NT will send a document explaining the terminology and policy on HDIs and disadvantage population.</p> <p>Other challenges mentioned by NT was that black students are not encouraged or directed into doing PHDs and subsequently not well represented in the Academia.</p> <p>Consideration will also be given to Bachelors and final year Masters and PHDs.</p>	<p>General consensus was that the new programme should limit the number of traditional scholarships and should rather focus on a common strategy with specific goals and objectives.</p> <p>Study Tours should not be included in the description of the results but can be considered as a short term initiative.</p>



Agenda Item	Discussion	Action / Decision / Proposal
Technical Responsibilities	The technical responsibilities did not need much discussion and the project should be a joint responsibility of Belgium and South Africa	
Financial Responsibilities	<p>The different modalities (BTC managed, Grants,...) were discussed. The time constraints of implementation and possible delays which may occur if funds are routed through the partner system were considered. It was therefore agreed that Grant Agreements would not be a feasible option and that it was more prudent to work according to BTC Direct Management.</p> <p>Although Belgian procedure will be followed the ownership of the programme lies with the implementing partner and their technical responsibility will be respected in the selection procedure.</p> <p>Beneficiary institutions will be asked to indicate if they can contribute financially such as per diems and transport. This could be considered as co-financing.</p>	<ul style="list-style-type: none"> • BTC Direct Management will be used • Belgian tender procedure will apply • The planning of commitments and the awarding of contracts will be done through mutual agreement.
Duration of Implementation	The closure of Belgian Cooperation in South Africa and the subsequent time constraint in implementation was discussed.	<ul style="list-style-type: none"> • Programme will be structured to close by 31st December 2018.
Framework	<p>The initial plan was to develop a results framework structured with a budget per institution (DST, DHET, TETA, Transnet and Belgium Campus). However after deliberation it was agreed that no budgets should be stipulated per institution.</p> <p>The Steering Committee should have the flexibility to shift budgets should the need arise.</p> <p>Further discussions were held around dividing the budget into categories of Bursaries, Workshops, Study Tours,</p> <p>The project should be structured to cater for long term outlook and economic development [beyond the monetary inputs of this funding and thus focus strongly on academic and strategic partnerships which can be retained beyond the project scope].</p>	<p>The Team will adapt the framework to the discussion.</p> <p>The concept notes submitted by the respective Beneficiary Institutions will be included in the TFF as a guidance.</p>



Agenda Item	Discussion	Action / Decision / Proposal
	<p>At the final debriefing the revised framework was presented which included the following 3 result areas:</p> <ul style="list-style-type: none"> R1: Skills and capacities are developed through scholarships for bachelors, masters and PhDs. R2: Skills and capacities are strengthened through scholarships for international mobility and short term trainings. R3: Academic and training partnerships within SA and between SA-BE are fostered through short term initiatives such as exchange visits, study tours, workshops and seminars 	<ul style="list-style-type: none"> Remove exchange..... study tours..... Replace with "Knowledge sharing activities."
<p>Steering Committee</p>	<p>The initial proposal was that the SC would comprise of National Treasury, BTC and DHET. However it was agreed that since DHET was also a beneficiary of the funding, they should be not be included.</p>	<ul style="list-style-type: none"> The Steering Committee would comprise of NT and BTC. DGD would be invited as an observer. DHET or other departments can be invited for strategic guidance when needed. The SC should approve all proposals at the first sitting.
<p>Selection Committee</p>	<p>It was not clear at first on how the selection committee will function. The initial thinking was that the selection would be the responsibility of the PSC. This would have meant that proposals could only be approved once or twice per year when the PSC convened. The Beneficiary institutions will select and propose the best candidates based on their criteria.</p>	<ul style="list-style-type: none"> The selection procedure of the department (such as DHET...) will conduct the selection according to its internal procedure. The PMU will be allowed to sit in the selection meetings should this be part of the procedure. The PMU will be provided with the report on selection.
<p>Advisory and Follow up Committee</p>	<p>Initially this was called the Selection and Advisory Committee. However after discussion it was agreed to separate selection and follow up. Hence "Advisory and Follow up Committee". This committee will be headed by NT and PMU and also consist of the subject experts which could include DHET, DST, MRC, Belgium Campus or</p>	<p>Role would be to:</p> <ul style="list-style-type: none"> Advise PMU Support the beneficiary institutions in the development of their respective scholarship



Agenda Item	Discussion	Action / Decision / Proposal
	<p>TETA.</p> <p>NT will send a half pager on NT/IDC and their role in the programme.</p>	<ul style="list-style-type: none"> • Approve proposals • Review quality and coherence • Determine selection criteria and modalities and make recommendations to the PSC
<p>Project Management Unit</p>	<p>The limited funding and modalities does not require many personnel. A National Project coordinator would suffice.</p>	<ul style="list-style-type: none"> • Currently BTC is also considering recruiting an admin assistant for the Study Fund. • Considering the volume of work foreseen in the Study Fund and the New scholarships programme, it was proposed that instead of employing 2 people to do similar work we should rather employ a Project Coordinator and Admin Assistant to work on both projects and share the cost equally.
<p>Contracting Parties for Specific Agreement</p>	<p>Discussion was on whether DHET should be the signatory to the Specific Agreement. However the issue of DHET being a beneficiary was brought up. DHET should fulfil the role of an academic partner.</p>	<ul style="list-style-type: none"> • Specific Agreement will be signed by National Treasury.
<p>Sectors and Institutions</p> <p>Concept Notes</p>	<p>A briefing was held with National Treasury where the priority areas were discussed. ICT, Health, Maritime, TVET and Land Reform were supported.</p> <p>Meetings were held with DST, DHET, MRC, Belgium Campus, TETA and Transnet. At all meetings concept note were requested. However by the end of the mission 2 were still outstanding from DST and Transnet.</p> <p>The concept note from TETA was similar to the discussions held with Transnet.</p>	<ul style="list-style-type: none"> • NT will follow up with DST on their delay. • TETA and Transnet will be contacted to consolidate their proposals since they have the similar requests.
<p>General Principles</p>	<p>The programme strategy will orientate and focus on institutional capacity building and also focus on disadvantaged institutions. Options were explored on strengthening collaboration between the local advantaged and previously</p>	



Agenda Item	Discussion	Action / Decision / Proposal
	disadvantaged institutions. And then to further extend or establish relationship with external (Belgian) Institutions.	
Way Forward	Marleen will compile the TFF by end of May. The concept notes from the various stakeholders DST, DHET, TETA, Transnet and MRC will form the attachment to the TFF.	
Closing	DGD and NT thanked Marleen and Joel for a good formulation and for the detailed presentation and approved the draft report for further action.	

APPROVED BY	Nathalie Vereen National Treasury	APPROVED BY	Marleen Bosmans BTC
SIGNATURE		SIGNATURE	
DATE	21/07/2016	DATE	

8.4 Answers to the Comments of the National Treasury and the Belgian Embassy

TFF BAPED (SAF 16 888 11) VERSION BEFORE QUALITY CONTROL COMMITTEE

Page ¹	Comment number	Comment	Answer
p.10	Proposed changes in R1	<i>“Skills, competences and capacities are developed through scholarships for <u>Bachelors, Masters and PhDs</u>”</i> was changed into: <i>“Skills, competences and capacities are developed through scholarships for <u>accelerated Master and PhD studies.</u>”</i>	Although the focus of the programme is primarily on scholarships for accelerated Masters and PhDs, we do not want to exclude the possibility of providing scholarships for “traditional” Masters and PhD, as Belgian institutions also offer Masters and PhD studies of two years and less. Minimum 70% of the scholarships for Masters and PhDs should be granted for “accelerated” studies. We also decided to keep the Bachelor option open in order to be able to provide scholarships for students at Belgium Campus which basically offers Bachelor studies. R1 is reformulated as follows: <i>“Skills, competences and capacities are developed through scholarships for <u>Bachelors, Master and PhD studies.</u>”</i> . This way we keep all options open.
p.11	Change added	We changed <i>“another BTC scholarship programme (“old style”)”</i> into: <i>“(“classic”) BTC scholarship project”</i> .	“Classic” sounds better than “old style”.
p.13	DBP-B-P1	Also other Belgian (Flemish) universities are involved in the Erasmus programme (KUL – Ema2; UGent – Saturn, etc). it is good to mention them all and not limit to Antwerp as synergies between Erasmus and this scholarship programme might be possible.	This sub-chapter has been replaced by a more comprehensive chapter on the Erasmus Mundus Partnerships
p. 13	DBP-B-P2	Also the Francophone FNRS has an agreement with the SA NRF for co-financing of	A sub-chapter on F.R.S.-FNRS is added.

¹ The pages refer to the pages in the TFF BAPED (SAF 16 888 11) Version after Validation Committee of the 17/06/2016 as commented by the NT and the Belgian Embassy

		programmes. This should also be mentioned.	
p.13	DBP-B-P3	This is a call from the FNRS/FNR not from FWO	This phrase had been removed and put into the sub-chapter on F.R.S.-FNRS.
p.20	Change added	The sentence “ <i>It will be difficult to provide scholarships for for the full duration of Bachelors, Masters or PhDs, which have a duration of three (3) to five (5) years</i> ” has been changed into: “ <i>It will be difficult to provide scholarships for the full duration of “traditional” Bachelor, Master or PhD studies <u>with a duration of three (3) years or more</u></i> ”.	This formulation is more precise as the option for Master studies of 1 or 2 years open is kept open.
p.21	DBP-B-P4	Is that enough taking into account that we will look for these sectors where BE can have a high added value?	<p>We deleted “<i>complemented by maximum two (2) other priority sectors</i>” and added an additional phrase: “<i>It is preferable that the number of priority sectors is not too high – maximum five (5), for example – in order to avoid dispersion, to ensure programme efficiency and to facilitate the creation of hubs of excellence.</i>” This keeps the option open to add more priority sectors.</p> <p>We also changed “<i>Amongst other submissions, two government isntitutions expressed needs during the consultations process which informed this technical file</i>” into: “<i>The governmental institutions that were consulted in preparation of the formulation process, have already shortlisted a number of priority sectors technical file. This list might need to be narrowed down.</i>” We removed annex 7.5. “DHET and DST of priority sectors” and put it in a footnote to this sentence.</p> <p>In view of ensuring swift implementation of the programme, we believe that the list of priority sectors might not be too long but should at the same time be flexible enough for entering into new areas.</p>
p.23	DBP-B-P5	Do we really have to spend time in developing all these documents for this small programme?	A minimum set of clear guidelines and standardised templates is needed for a smooth implementation of the programme. These will be inspired by the tools that have been developed by the scholarship programme in Uganda. The following sentence has been added: “ <i>The manuals guidelines and templated needed for due implementation of this programme will be based on an adaptation of existing documents used by</i>

			<i>similar BTC programmes in other countries.</i> " at the beginning of the next paragraph.
p.23	DBP-B-P6	I suppose that such documents already exist and that they could be sent around for adaptation to SA requirements. We have a limited time for the implementation of the programme. So we should limit the time we spend on solving administrative hurdles.	See supra, answer to comment DBP-B-P5. Absolutely. That is why we decided that BTC HQ will already start this process as soon as the Specific Agreement is signed.
p.24	NV7	This will be part of the governance structure added as #5.	Indeed. We added the following sentence: " <i>This is part of the governance structure that will be developed during the start-up phase of the programme.</i> "
p.24	NV8	Part of #5	We added: " <i>(as part of the governance structure that will be developed during the start-up phase of the programme).</i> "
p.25	DBP-B-P9	Don't see the reason why this person would be part of the selection committee. Up to now all selections have been done by the universities without any problem. I do not see either what the contribution of this person to the selection could be. All in all this can only delay the whole process.	We specified the role of the Programme Coordinator in the selection procedure as follows: " <i>The Programme Coordinator will participate as <u>an observer and non-voting member in the respective selection procedures. The role of the Programme Coordinator is advisory in order to ensure compliance with the BTC rules and regulations and supportive to the secretariat of the respective selection committees.</u></i> "
p.24	NV10	Perhaps link to or add the wording on the guarantee/ongoing funding towards Bachelor funding beyond 2019.	We added: " <i>(...) depending on the guarantees given by Belgium to ensure funding for the Bachelor students for the period needed to finalise their studies after the BAPED programme expired.</i> "
p.27	DBP-B-P11	Very low; why not opt for a higher figure? In general most participants succeed in their course.	The percentage has been increased from 70% to minimum 80%.

p.27	DBP-B-P12	I would split that.	Done. Proposed indicator for selected bursary candidates with a disability: min. 5 %.									
p.28	DBP-B-P13	Should that rather be numbers instead of %? Percentage of what?	Yes, indeed. We also split the indicator for black females and persons with a disability. We also included the (adapted) baseline and targets in the logical framework. The proposed indicators are the following:									
			<table border="1"> <tr> <td>Number of exchange initiatives for supervision and short term training between SA HDI and SA HAI</td> <td>0</td> <td>Min. 1 per priority sector.</td> </tr> <tr> <td>Number of exchange initiatives for supervision and short term training between SA and BE institutions.</td> <td>0</td> <td>Min. 1 per priority sector.</td> </tr> <tr> <td>% of selected bursary candidates being black females</td> <td>0%</td> <td>40%</td> </tr> <tr> <td>% of selected bursary candidates with a disability.</td> <td>0%</td> <td>5%</td> </tr> </table>	Number of exchange initiatives for supervision and short term training between SA HDI and SA HAI	0	Min. 1 per priority sector.	Number of exchange initiatives for supervision and short term training between SA and BE institutions.	0	Min. 1 per priority sector.	% of selected bursary candidates being black females	0%	40%
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% of selected bursary candidates being black females	0%	40%										
% of selected bursary candidates with a disability.	0%	5%										
p.28	DBP-B-P14	Same comment.	Idem as supra.									
			<table border="1"> <tr> <td>Number of knowledge sharing activities involving both SA HDI and SA HAI as well as BE institutions.</td> <td>0</td> <td>Min. 1 per priority sector.</td> </tr> </table>	Number of knowledge sharing activities involving both SA HDI and SA HAI as well as BE institutions.	0	Min. 1 per priority sector.						
Number of knowledge sharing activities involving both SA HDI and SA HAI as well as BE institutions.	0	Min. 1 per priority sector.										
p.29	DBP-B-P15	Medium to low. All involved departments and institutions are very dedicated to the programme so that the risk that they will send through poor quality files is rather limited.	Ok. We changed it into "low".									
p. 29	Change added	Failing bonding mechanisms.	Failing bonding mechanisms is a recurrent concern (for all countries) that also came up during the meeting of the Validation Committee. This is why we propose to keep it in the list of effectiveness risks. Proposed alleviation measure: regular reporting of the Advisory & Follow-up Committee.									
p.30	DBP-B-P16	They also have initiatives in SA.	Absolutely.									

		<p>Furthermore, in some fields they might be of a high standard and interested in working together with SA universities.</p> <p>And anyhow, they should at least be contacted.</p>	<p>In chapter 3.4.2. (Expected Results and Activities in the Operational Phase of the Programme) an additional phrase has been added to A.2.1. and A.3.1 (Identification of potential BE partner institutions): <i>“Flemish and francophone institutions in Flanders, Brussels and Wallonia will be approached.”</i></p>
p.32	DBP-B-P17	<p>The budget programmed for the coordinator of the programme seems to me very high.</p>	<p>The estimated budget for the Programme Coordinator includes various cost:</p> <ul style="list-style-type: none"> - the salary cost for a National Technical Assistant for a category VII function with 0-5 years of experience according to the BTC salary scales for SA; - end of year bonus; - holiday bonus; - compulsory health insurance; - tax on wages. <p>In order to cover all these costs and to make sure that the budget is on the safe side, the monthly gross salary cost of 55,533.35 ZAR (3,471 EURO at an exchange rate of 1 EURO=16 ZAR) has been multiplied by 16. (16*3,471 = 55,536 EURO).</p> <p>For the Administrative & Financial Officer, which is a category V function, the same principle applies.</p>
p.32	NV18	<p>Just check percentages to indicate decimals as 75% is 1 095 000. Also indicate what exchange rate will be used, e.g. use rate at time of transfer/ fixed at monthly rate, etc. Will be used for reporting purposes and expenditure tracking.</p>	<p>The total estimated budget for the realization of the activities is 1,093,000 EURO = 72,86% of the total budget of 1,500,00 EURO.</p> <p>BTC budgets are always put in EURO, never in local currency. The estimates are inspired by the budgets that the BI proposed in their concept notes, using an exchange rate of 1 EURO = 16 ZAR, which was the exchange rate used during the formulation mission.</p>
p.32	DBP-B-P19	<p>I don't think that we need two people for the follow-up of this programme. Before, one person was in charge for the follow-up of the scholarship programme and the study & consultancy fund and this was no</p>	<p>BAPED cannot be compared with the “classic” BTC scholarship programme for SA as they have to comply with a different set of rules and regulations. The administrative and technical requirement for BAPED are much more demanding (strategic vision, experience in working within an international environment, networking, audit, M&E, backstopping, etc.). The team will also support the further implementation of the “classic” scholarship project. Moreover BTC is obliged to anticipate the possibility of a pre-term closure of the BTC Representation in SA, implying that there will be no more</p>

		<p>problem. I suppose that should still be possible.</p> <p>Furthermore, costs could be shared between the 2 funds and not only charged to the scholarship fund.</p>	<p>support from the current Representation staff. Ideally the implementation of this kind of scholarship programme is supported by a team of minimum three staff members. Two staff members for BAPED is an absolute minimum.</p>
p.33	DBP-B-P20	<p>Is this not part of the overhead cost that is paid to BTC?</p>	<p>All BTC interventions must allocate a budget for intervention specific operational expenses. These are not covered by the overall operational budgets that BTC receives for the functioning of its Representations. In the case of SA, which is an exit country, allocating a specific budget for operational costs is particularly important as BTC is obliged to mitigate the risks of pre-term closure of its Representation in SA.</p>
p.34	Added		<p>On request of DGD (Marleen Thomas) the following paragraph has been added to chapter 5.1 (Legal framework and administrative responsibilities): <i>“The Specific Agreement shall enter into force on the date of its signature by both Parties and will expire on the 30th of June 2019. Contract should be committed on the 31st of December 2018 at the latest. The ultimate date for payment linked to the contract is the 30th of March 2019.”</i></p> <p>In order to avoid confusion a similar phrase is added to chapter 5.3 (Programme Life Cycle): “All contracts should be committed on the 31st of December 2018 at the latest. The ultimate date for payment linked to the contract is the 30th of March 2019.”</p>
p.35	DBP-B-P21	<p>Could we not accept seminars or short term training until end of March. Afterwards there is still 3 months to close down the financial file. See also 5.3 where it is mentioned that the programme will close down the 31st of March.</p>	<p>Ok. See supra (paragraph added to §5.1 and §5.3).</p>
p.36	DBP-B-P22	<p>Do you really need 6 months for the closure of the programme.</p> <p>Reporting can indeed start beginning of 2019 but this shouldn't stop the programme from</p>	<p>Closure period has been reduced to three (3)months.</p>

		running.	
p.37 DBP-B-P23	DBP-B-P23	The PSC consists of 2 people. Do they really have to develop internal rules?	A minimum set of rules and regulations are necessary in order to avoid confusion and misunderstandings as well as to increase transparency in the decision making process.
p.38	DBP-B-P24	Lots of administrative hurdles to take for a very small programme. If this is really needed, then I propose that proposals are already send through now so that the final documents are ready the moment the agreement is signed.	See supra (answer to DBP-B-P23). As mentioned before BTC HQ will support the BAPED programme through preparing all tools proposals necessary for ensuring a performant, transparent and efficient implementation of the programme. Tools developed for a similar programme in Uganda will be adapted to the needs and specific characteristics of the situation in SA. The tools will be developed in consultation with the NT/IDC and need to be formally approved by the PSC.
p.39	DBP-B-P25	They will have a great time with lots of paid holidays. In my eyes this is an overkill taking into account earlier experiences in the scholarship programme in SA.	See supra (answer to DBP-B-P19).
p.40	DBP-B-P26	This should be the responsibility of the BI.	BTC needs to provide a rule for tendering in case the situation requires this.
p.40	DBP-B-P27	We are overburdening this programme with manual and administrative procedures. Even the DPSA programme (11 million Euro) has less admin hurdles than this small programme.	See supra (answer to DBP-B-P 23 and 24).
p.45	DBP-B-P28	What will be the contribution of these backstopping missions? If really	We need to provide a budget for backstopping missions in case the needs occur. We provide for a minimum of four (4) backstopping missions which can be done by different departments at BTC-HQ (Controlling, Scholarships &

		needed, I would include it after one year but not six-monthly.	Trainings Department (including the backstopping for the “classic” scholarship project ²) and Technical and Sectoral Expertise) depending on the need of the programme. Even the current “classic” scholarship project, which is less demanding and “less ambitious” in its objectives, has an annual backstopping.
p.46	DBP-B-P29	What is the real added value of these missions?	See supra (answer to DBP-B-P28).
p.47	DBP-B-P30	Why this limitation? This could consist of several short term trainings for several people. At that moment still has to run for at least 18 monts!!!	The limitation was inspired by the instructions for the exit countries issued by the Belgian Ministry (-> <i>RPT mail 2016/0034</i> <- : <i>KABOS - N - Multiple - Beleidsheerzening gouvernementele samenwerking - de zes exitlanden van de gouvernementele samenwerking (Algerije, Bolivia, Ecuador, Peru, Vietnam, Zuid-Afrika)</i>). BTC has taken a standard procedure not to restrict to infrastructural works, but to extend this rule to all activities in all six exit countries. However, after consultation with the BTC Department of Controlling, BTC agrees not to do so for the BAPED programme.
p.47	DBP-B-P32	Why not for short term training or seminars in the first quarter of the year?	See supra (answer to DBP-B-P32).
p.49	NV32	Move this up to 5.4.4. It should be part of the context sections.	It would be logical but we have to use the BTC template for the TFF, in which chapter 6 on the cross-cutting themes comes right at the end. The B-BBEE norms are briefly explained in chapter 2.1.4. (Inclusiveness of historically disadvantaged individuals). This inclusiveness is also referred to in the Executive Summary.
p. 61 & 63	Added		Support to the implementation of the “classic” BTC scholarship project UNI20XX/01 has been included in the Terms of Reference of both the BAPED Programme Coordinator and of the Administrative & Financial Officer.

² Agreement number of the “classic” scholarship project is: UNI20XX/01.

8.5 Goedkeuringsbrief van de partner



national treasury

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21 July 2016

Ms. Laurence Janssens

Resident Representative: Belgian Technical Cooperation
731 Jan Shoba Street
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Pretoria
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Dear Ms. Janssens

APPROVAL OF THE TECHNICAL AND FINANCIAL FILE: "BUILDING ACADEMIC PARTNERSHIPS FOR ECONOMIC DEVELOPMENT (BAPED)" DGD CODE- NN 3017842

International Development Cooperation (IDC) would like to thank you for your support and the very positive discussions we have held thus far regarding the finalisation of the Technical Financial File (TFF) for the Building academic partnerships for economic development Programme.

In response to your communication dated 18 July 2016, we agree with the suggested modifications.

We confirm that the programme proposal in its present form is acceptable to the International Development Cooperation/ National Treasury.

We thank you for your continued support.

Sincerely,

ROBIN TOLI
CHIEF DIRECTOR: INTERNATIONAL DEVELOPMENT COOPERATION