



**CTB**



Republic of Uganda  
**MINISTRY OF WATER & ENVIRONMENT**  
CLIMATE CHANGE UNIT

# RESULTS REPORT 2012

## CDM CAPACITY DEVELOPMENT PROJECT

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## Acronyms

<List all acronyms used in the Results Report (alphabetically; see examples below)>

|        |   |
|--------|---|
| BTC    | Belgian Technical Cooperation                               |
| CCU    | Climate Change Unit   |
| CDM    | Clean Development Mechanism                                 |
| CERs   | Certified Emission Reductions                               |
| CME    | Coordinating and Managing Entity                            |
| COP    | Conference of Parties                                       |
| CPAs   | CDM Programme Activities                                    |
| DNA    | Designated National Authority                               |
| EB     | Executive Board   |
| ERPA   | Emission Reduction Purchase Agreement                       |
| FIT    | Financial Information Tool                                  |
| FPS    | Belgian Federal Public Service                              |
| GEF    | Global Environment Facility                                 |
| GIZ    | The Deutsche Gesellschaft für Internationale Zusammenarbeit |
| ICT    | Information and Communications Technology                   |
| ITA    | International Technical Advisor                             |
| KfW    | Kreditanstalt für Wiederaufbau                              |
| LoA    | Letter of Approval  |
| LNO    | Letter of No objection                                      |
| MEMD   | Ministry of Energy and Mineral Development                  |
| MWE    | Ministry of Water and Environment                           |
| M&E    | Monitoring and Evaluation                                   |
| MFPED  | Ministry of Finance, Planning and Economic Development      |
| NAMAs  | Nationally Appropriate Mitigation Actions                   |
| NEMA   | National Environment Management Authority                   |
| NTA    | National Technical Advisor                                  |
| PDD    | Project Design Document                                     |
| PINs   | Project Idea Notes  |
| PoAs   | Programme of Activities                                     |
| TA     | Technical Advisors  |
| TFF    | Technical and Financial File                                |
| UETCL  | Uganda Electricity Transmission Company Limited             |
| UIA    | Uganda Investment Authority                                 |
| UNFCCC | United Nations Framework Convention on Climate Change       |
|        |   |

# 1 Intervention at a glance (max. 2 pages)

## 1.1 Project form

|                                  |  |
|----------------------------------|--|
| Project name                     | CDM CAPACITY DEVELOPMENT PROJECT   |
| Project Code                     | UGA 0902111  |
| Location                         | Republic of Uganda   |
| Budget                           | € 2,000,000  |
| Partner Institution              | Climate Change Unit, Ministry of Water and Environment   |
| Date of implementation Agreement | 24 <sup>th</sup> November 2010   |
| Duration (months)                | 38 months  |
| Target groups                    | Government and private institutions/individuals in the sectors of Environment, Climate, Industry   |
| Impact <sup>1</sup>              | Uganda benefiting from the Clean Development Mechanism of the Kyoto Protocol   |
| Outcome                          | Strengthened technical capacity in CDM project formulation and awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions |
| Outputs                          | Output 1: Strengthened capacity of the main stakeholders in CDM project formulation.   |
|                                  | Output 2: Improved accessibility to and quality of information on CDM possibilities in Uganda.   |
|                                  | Output 3: A supported process for registration of Ugandan CDM projects by the CDM EB..   |

## 1.2 Project performance

*On the basis of the data entered in 2.2.4, 2.3.3, 2.4.3*

|                 | Efficiency | Effectiveness | Sustainability |
|-----------------|------------|---------------|----------------|
| <b>Outcome</b>  | B          | A             | B              |
| <b>Output 1</b> | A          | B             | A              |
| <b>Output 2</b> | A          | B             | B              |
| <b>Output 3</b> | B          | A             | A              |

<sup>1</sup> Impact is a synonym for global objective, Outcome is a synonym for specific objective, output is a synonym for result

### 1.3 Budget execution

| Total Budget | Expenditure year 2012 | Balance     | Total Disbursement rate |
|--------------|-----------------------|-------------|-------------------------|
| € 2,000,000  | €356,514              | € 1,632,856 | €29,595/month           |

### 1.4 Summary

Formulate 5 key points (briefly, in one or two sentences) that a reader of this report should remember.

|  |
|--|
| <ul style="list-style-type: none"> <li>The CDM capacity development project is largely on track, with only a few items not on truck resulting from in changing the project team composition</li> </ul>   |
| <ul style="list-style-type: none"> <li>Future success of capacity development output of the project will be largely determined by the successful identification of CDM projects to work on, timely execution of MoUs and timely preparation and execution of contracts for the provision of various services under the project.</li> </ul> |
| <ul style="list-style-type: none"> <li>A bulk of financial expenditure is expected in 2013. The apparent under expenditure is not a true indication of the progress of the project or lack of it.</li> </ul>   |
| <ul style="list-style-type: none"> <li>There has been delays due to change in project staff but the team has now stabilised and the project is back on track.</li> </ul>   |
| <ul style="list-style-type: none"> <li>The continuous collaboration of BTC and CCU in the implementation of the project is crucial for the success of the project.</li> </ul>  |
| <ul style="list-style-type: none"> <li>Since the start of the project, the CDM markets have suffered dramatically from substantial price declines and new mechanisms are being developed at the international level. This means the project will have to be flexible to be able to adjust to changing circumstances</li> </ul>             |

| National execution official <sup>2</sup>  | BTC execution official <sup>3</sup>   |
|---|---|
| <b>Paul Isabirye</b><br>Signature  | <b>Martha Ntabadde</b><br>Signature  |

## 2 Analysis of the intervention<sup>2</sup>

### 2.1 Context

#### 2.1.1 General context

During the reporting period, in December 2012, the final Uganda National Climate Change Policy was issued. The policy document's main aim is to ensure and provide a framework for harmonised and coordinated approach towards a climate resilient and sustainable low carbon development plan for Uganda, as well as provide the policy and institutional framework to support national CDM capacity development. The Policy was developed through a highly consultative multi-stakeholder process. The policy has now been circulated for peer review and will be presented to the Cabinet of Uganda by the Ministry of Water and Environment for approval most likely before end of Q1 of 2013.

At the international level, the Kyoto Protocol was extended and the second commitment period runs from 2013-2020. Therefore, it's now certain that the CDM will continue to exist as a mechanism and the CDM institutions (EB, Secretariat, DOE, DNA, etc.) will continue operating until at least 2012. On the other hand, due to a number of reasons, including lack of international commitments towards reducing greenhouse gas emissions, the market prices for CDM credits have plummeted. This could mean that CDM projects that could receive support under this BTC programme might be more reluctant to invest in the CDM development process and this can jeopardize the on-the-job capacity building efforts. So far, there still seem to be sufficient interest from project developers but it is something that the project will need to keep in mind.

Apart from these events, no other major events could be identified that had or would have an important influence on the intervention.

#### 2.1.2 Institutional context

**Score:** Very appropriate

The project is anchored in the Climate Change Unit (CCU), which is under the Ministry of Water and Environment. The institutional anchorage of the project is still considered very appropriate since the CCU acts as the UNFCCC Focal Point for Uganda and serves as the secretariat for the Designated National Authority (DNA) of Uganda. As the UNFCCC focal point, the CCU coordinates all climate change adaptation and mitigation activities, including the CDM where activities under the Project fall. The CCU is also responsible for both national and international reporting on the progress of implementation and results achieved under the Project would be easily communicated nationally and globally by CCU.

The location of the Project offices at the CCU office block has also provided additional benefits, by the project being updated on the progress by all projects hosted by the CCU and

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<sup>2</sup> In this document: Impact is a synonym for global objective, Outcome is a synonym for specific objective, output is a synonym for result

identifying how synergies can be built with the CDM capacity development project. The location also provides an opportunity to liaise with various stakeholders in the climate change mitigation and adaptation fields and briefing them on the opportunities available under the Project.

The periodic briefing of CCU staff by the Project team on the project progress and the consideration of the proposal to purchase a solar PV backup system for CCU under the project, have facilitated smooth implementation of most of the project activities and fostered an improvement in the good will by CCU towards the project.

### 2.1.3 Management context: execution modalities

Score: **Not appropriate**

The execution modalities of the project are considered to be only partly appropriate. At the start of the project, no modalities were in place for the recruitment and contracting of Trainees and signing MoUs with CDM projects (both private and public) to receive financial and technical support. These modalities needed to be developed by the project and have therefore caused some delays.

Initially, there was also some degree of concern regarding the ability of the Project Steering Committee (PSC) to resolve technical issues in a timely and effective manner. This, however, has been resolved by clarification of the role of the PSC. The role the PSC has been clarified as overall steering of the Project to ensure it proceeds in the right direction, without directly influencing the project implementation process. All technical issues are handled by either the Project Selection Committee, and or the Project team, in consultation with BTC and the CCU/MWE, which has helped to speed up the process and resolve issues effectively.

The constitution of the Project Steering Committee with members from various government and non-governmental organisations has ensured that the Project gets useful multi-faceted guidance on how best to steer the Project forward.

### 2.1.4 Harmo-context

There is potential for collaboration with key actors within the carbon market in Uganda and other development partners that would influence the outputs and the impact of the Project with regards to the various result areas.

The Project has already identified a number of actors with which to build synergies in the implementation of common areas of interest. The Project team for example has already held a number of meetings with GIZ, which launched a CDM support project, aimed at identifying common areas of interest that can be implemented in partnership or in collaboration with the Project. Identified areas of collaboration include joint workshops for capacity development and the development of standardised baselines, which are still under discussion.

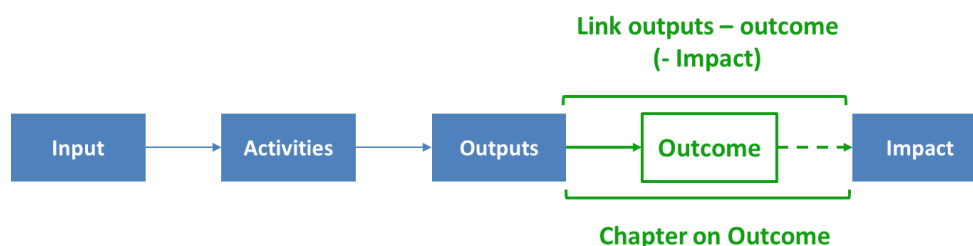
The Project team has also made contact with UNDP, which recently completed a supply side standardised baseline study for charcoal and will soon launch a project on Low Emissions Capacity Development, which have potential for synergies with the CDM Capacity Development Project as well as the potential to provide useful contributions to the various result areas under the project.

Collaboration with the various actors in the market ensures there is no duplication of efforts to achieve the same result and the other actors have the potential to provide useful experiences



from their projects, which would be useful lessons to apply for a more effective implementation of the project.

## 2.2 Outcome: To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM



### 2.2.1 Analysis of progress made

Limit yourself to filling out the table<sup>3</sup>

| <b>Outcome<sup>4</sup></b> : To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions |                             |                                 |                                 |                            |                          |  |
|---|-----------------------------|---------------------------------|---------------------------------|----------------------------|--------------------------|--|
| Indicators <sup>5</sup>   | Baseline value <sup>6</sup> | Progress year 2011 <sup>7</sup> | Progress year 2012 <sup>8</sup> | Target year N <sup>9</sup> | End Target <sup>10</sup> | Comments <sup>11</sup>   |
| Number of potential CDM Projects identified   | 0                           | 0                               | 8                               | N/A                        | 20                       | Although in the previous annual report the baseline value was indicated as 25 CDM Projects based on information obtained from the UIA database, the value has been revised to zero since there is no readily available information on PDDs entering the pipeline, which would affect the target value. The baseline is therefore restricted to those projects identified under the Project. By the end of 2012, up to 8 potential CDM projects were identified from the first call for proposals and liaison with Project developers |
| Number of PINs developed  | 0                           | 0                               | 6                               | N/A                        | 20                       | Similarly, the baseline is restricted to those project PINs developed under the Project, hence set at zero. By the end of 2012, up to 6 draft PINs or Quick Assessments (QAs) had been prepared.   |

<sup>3</sup> Depending on the number of indicators, and depending on the number of main activities, rows should obviously be added/deleted. Depending on the age of the project, columns should be added for the values of the preceding years (if applicable), in order for progress to be assessed against the value of the preceding year. By reporting cumulatively, the progress made in the reporting period can be determined in a precise way.

Do not write anything below the table. Comments are only allowed in the table.

<sup>4</sup> Use the formulation of the outcome as mentioned in the logical framework (DTF) or the last version of the logical framework that was validated by the JLCB.

<sup>5</sup> Use the indicators as shown in the logical framework

<sup>6</sup> The value of the indicator at time 0. Refers to the value of the indicators at the beginning of the intervention

<sup>7</sup> The actual value of the indicator at the end of year N-1

<sup>8</sup> The actual value of the indicator at the end of year N. If the value has not changed since the baseline or since the previous year, this value should be repeated.

<sup>9</sup> The target value at the end of year N

<sup>10</sup> The target value at the end of the intervention

<sup>11</sup> Comments about progress realised, namely assessment of the achieved value of the indicator at the end of year N compared to the "baseline" values (time 0) and/or the value of the preceding year, and compared to the expected intermediate value for year N. If the intermediate value is not available, the end target will be the reference. Comments should be limited to a minimum.

| Indicators <sup>12</sup>   | Baseline value <sup>13</sup> | Progress year 2011 <sup>14</sup> | Progress year 2012 <sup>15</sup> | Target year N <sup>16</sup> | End Target <sup>17</sup>                             | Comments <sup>18</sup>   |
|--|------------------------------|----------------------------------|----------------------------------|-----------------------------|--|--|
| Number PDDs developed  | 0                            | 0                                | 0                                | N/A                         | 6<br>(i.e 5 stand-alone CDM projects and 1 PoA PDD). | The baseline value has been set as zero, considering only those PDDs prepared under the project. Data obtained from the UNFCCC website and the CCU was not used to set the baseline since if these are used, the target value would depend on both the identified existing numbers and the projects joining the pipeline during the course of the Project.<br><br>There was delay in the selection of projects for support and the subsequent signing of MoUs before work could commence. The project is using experiences from the first call for proposals to ensure a more expedited project selection process under the second call, from which projects to support with PDD writing will be selected. |
| Number of ERPA negotiations supported.   | 0                            | 0                                | 0                                | N/A                         | 6  | There was delay in the selection of projects for support and the subsequent signing of MoUs before work could commence. The project is using experiences from the first call for proposals to ensure a more expedited project selection process under the second call, from which projects to support with PDD writing will be selected  |
| Number of projects registered  | 0                            | 0                                | 0                                | N/A                         | 6  | There was delay in the selection of projects for support and the subsequent signing of MoUs before work could commence. The project is using experiences from the first call for proposals to ensure a more expedited project selection process under the second call, from which projects to support with PDD writing will be selected  |
| Number of Ugandan consultants trained in PIN writing                                   | 0                            | 0                                | 6                                | N/A                         | 6  | The CDM Trainees are already being trained in the preparation of PINs/ Quick Assessments (QA). Each trainee has already prepared 1 draft PIN/QA although it is still in draft form, not the final copy...  |
| Number of Ugandan consultants trained in PDD writing                                   | 0                            | 0                                | 0                                | N/A                         | 6  | The training has been structured such that the trainees start with PIN preparation and then move on to training in PDD writing, which will commence as soon as the selection of projects from the second call is finalised. Progress expected in Q1/Q2 2013  |
| Number of Ugandan consultants trained in ERPA writing                                  | 0                            | 0                                | 0                                | N/A                         | 6  | The ERPA negotiations, at the back of which the training in ERPA negotiation and writing should take place, are expected at a later stage in CDM Project development. However, earlier opportunities for training may present themselves if there are CDM Projects in advanced stages of development in the second call for proposals.   |
| Number of CDM projects that have received financial advisory services from the project | 0                            | 0                                | 0                                | N/A                         | 5  | Support is expected to be provided for some of the selected projects under the second call for proposals, which was launched at the end of 2012.   |

<sup>12</sup> Use the indicators as shown in the logical framework

<sup>13</sup> The value of the indicator at time 0. Refers to the value of the indicators at the beginning of the intervention

<sup>14</sup> The actual value of the indicator at the end of year N-1

<sup>15</sup> The actual value of the indicator at the end of year N. If the value has not changed since the baseline or since the previous year, this value should be repeated.

<sup>16</sup> The target value at the end of year N

<sup>17</sup> The target value at the end of the intervention

<sup>18</sup> Comments about progress realised, namely assessment of the achieved value of the indicator at the end of year N compared to the "baseline" values (time 0) and/or the value of the preceding year, and compared to the expected intermediate value for year N. If the intermediate value is not available, the end target will be the reference. Comments should be limited to a minimum.

| Indicators <sup>19</sup>  | Baseline value <sup>20</sup> | Progress year 2011 <sup>21</sup> | Progress year 2012 <sup>22</sup> | Target year N <sup>23</sup> | End Target <sup>24</sup> | Comments <sup>25</sup>  |
|---|------------------------------|----------------------------------|----------------------------------|-----------------------------|--------------------------|---|
| Number of training courses for Ugandan CDM stakeholders   | 0                            | 0                                | 1                                | N/A                         | 5                        | The training to be conducted in form of workshop. The first Workshop was held in November 2012. Three additional workshops to be held in 2013   |
| Development of standardized baselines.  | 0                            | 0                                | 0                                | N/A                         | 2                        | Standardised baselines to be developed in collaboration with other leading players in the market working or planning to work on standardised baselines ( e.g. UNDP, GIZ, etc). Terms of Reference (TORs) for the development of the national Grid Emission Factor have been finalised. Two other standardised baseline, one for biomass and another in the energy sector will be developed. |
| Capacity building undertaken in diversity of sectors and at all stages of the CDM project cycle. E.g. Energy; industries; Transport; Wastes; and Agriculture. | N/A                          | N/A                              | N/A                              | N/A                         | N/A                      | This indicator is not directly measurable. However, based on the identified potential projects in the pipeline the Project team can guarantee that it will be fulfilled. Projects in the pipeline so far fall under the Energy, Industrial, Waste (solid and wastewater) and Agricultural sectors   |
| Development of a Policy Programme of Activities (Policy PoA)  | 1                            | 0                                | 0                                | N/A                         | 1                        | No progress on this indicator.<br>According to the Project plan, the Policy PoA is to be executed in Q2 2013, preceded by tender preparation and publication in Q1.   |

**Analysis of progress made towards outcome:** Analyse the dynamics between the outputs achieved and the likely achievement of the Outcome (see Results Report Guide):

|   |  |
|---|--|
| <p><i>Relation between outputs and the Outcome. (How) Are outputs (still) contributing to the achievement of the outcome:</i></p> | <p>The outputs and the outcome of the project are closely related. The progress on each of the outputs directly contributes to the outcome of the project , that is, to strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among government institutions, project developers and financial institutions. The outputs as summarised by the result areas are still contributing to the specific objective (outcome) of the project as demonstrated by an assessment of each result area as given below:</p> <p><b>Output 1: The capacity of main stakeholders on CDM project formulation strengthened</b></p> <p>During this reporting period, 6 CDM trainees were recruited and they have been undergoing structured training in CDM project design and implementation with hands-on experience in preparation of PINs and other CDM-related documents/ reports. This directly contributes to the outcome of building capacity of Ugandans in CDM project formulation.</p> <p>The Project Team also prepared a detailed structured curriculum for instruction of the 6 CDM Trainees recruited under the CDM School and they started to receive formal training in CDM project formulation. Most importantly, the trainees are trained through hands-on experience in drafting of PINs or Quick Assessments (Quick Assessments)</p> |
|---|--|

<sup>19</sup> Use the indicators as shown in the logical framework

<sup>20</sup> The value of the indicator at time 0. Refers to the value of the indicators at the beginning of the intervention

<sup>21</sup> The actual value of the indicator at the end of year N-1

<sup>22</sup> The actual value of the indicator at the end of year N. If the value has not changed since the baseline or since the previous year, this value should be repeated.

<sup>23</sup> The target value at the end of year N

<sup>24</sup> The target value at the end of the intervention

<sup>25</sup> Comments about progress realised, namely assessment of the achieved value of the indicator at the end of year N compared to the "baseline" values (time 0) and/or the value of the preceding year, and compared to the expected intermediate value for year N. If the intermediate value is not available, the end target will be the reference. Comments should be limited to a minimum.

|  |  |
|--|--|
|  | <p>and other documents, thus building their capacity in project formulation.</p> <p>In addition, during this reporting period, the first CDM training workshop whose main objectives was to inform the existing and potential project developers in Uganda about the opportunities offered by the CDM in general, and about the CDM capacity development programme in particular was held. The workshop was well-attended by a wide range of stakeholders, including financial institutions, government institutions and project developers, thus building capacity and raising awareness of opportunities that the CDM market offers. The activity thus directly contributes to the expected outcome of the Project.</p> <p><b>Output 2: Accessibility and quality of information on CDM possibilities and procedures in Uganda improved.</b></p> <p>The CCU website was launched in the second quarter of 2012. The information posted on the CCU website includes briefing notes on the CDM, DNA procedures for obtaining Letters of Approval (LoAs), the sustainable development criteria, information adaptation and news items about CCU and various projects hosted at CCU. The launch of the website has therefore made information readily available to project developers and other stakeholders thus directly contributing to the outcome of raising awareness about Climate Change in general and CDM and other on-going mitigation/adaptation initiatives. The first and second call for applications for technical and financial support under the Project, were also posted on the CCU website, thus reaching a wider audience. Through the CCU website, information is therefore more readily available to all stakeholders.</p> <p><b>Output 3: The process for registration by the CDM EB of Ugandan CDM projects has been supported.</b></p> <p>The first and second call for applications for technical and financial support under the Project were launched during the reporting period (2012). From the first call for proposals, three projects were selected to receive support from the Project. The three projects included the National Environment Management Authority's (NEMA's) solid waste composting CDM project, the Ministry of Information and Communications Technology's (Mo ICT) e-waste recycling project and the Kampala Jellitone Suppliers Limited (KJSL) briquette project.</p> <p>The applications for support under the second call for proposals will be received on or before 01<sup>st</sup> February 2013. From the second call, 6 to 8 projects will be selected to receive support under the project. The selected projects will receive varying levels of technical and/or financial support, the actual support depending on the nature of the project; the support required by the given CDM project; and the stage of CDM project development that the project is at. All the support, technical or financial, therefore will contribute to facilitating the process of registration of the various projects with the CDM Executive Board. The output (3) therefore contributes directly to the outcome of the project, specifically building capacity in CDM project formulation as the Trainees and project developers work together on the CDM or potential CDM projects.</p> <p>The above assessment demonstrates that all three outputs (result areas) still contribute directly to the outcome and therefore the output-to-outcome dynamic of the intervention logic is still valid for the CDM Capacity Development Project.</p> |
| <p><i>Progress made towards the achievement of the outcome (on the basis of indicators):</i></p> | <p>On the basis of the indicators, the progress made towards the achievement of the outcome appears to be moderate. However this is not an indication of the likelihood of the success or failure of the project since most project activities are on schedule. The indicators used do not give a precise indication of the progress of the project, relative to the plan, since the indicators are only registered at the completion of a given activity. There is no intermediate score being allocated to reflect the percentage of progress for a given activity. Based on a more subjective assessment of the indicator, the project is</p>   |

|   |   |
|---|---|
|   | considered to be making good progress and largely on schedule to deliver the required outputs.  |
| <i>Issues that arose, influencing factors (positive or negative):</i> | The departure of the NTA and the alternate ITA leading to the need for recruitment of new replacement staff has led to a delay on some of the activities under the outputs. The screening report for example, which was meant to be finalised by Q4 of 2012 was delayed and will not be completed until Q1 of 2013. |
| <i>Unexpected results:</i>  | There are no unexpected results to report on the project  |

## 2.2.2 Risk management

If a risk is attributed with a C or D score, detail the measures that have been taken/will be taken and indicate the person/actor responsible. For details on scoring: see Guide

| Risk Identification  |                          |               | Risk analysis |                  |       | Risk Treatment   |            |               | Follow-up of risks |   |
|--|--------------------------|---------------|---------------|------------------|-------|--|------------|---------------|--------------------|---|
| Description of Risk  | Period of identification | Risk category | Probability   | Potential Impact | Total | Action(s)  | Resp.      | Deadline      | Progress           | Status  |
| A major risk for the project is the lack of bankable projects that are eligible for development as CDM projects in Uganda. While there are a lot of project ideas, it is possible that only a few might be feasible. Or the few feasible ones may not apply for support under the project.   | 2011                     | Development   | Medium        | High             | C     | Proactive sourcing of potential CDM projects through liaison with the relevant potential CDM project developers  | NTA<br>ITA | February 2013 | N/A                | On-going  |
|  |                          |               |               |                  |       | Proactive advertisement of the second call for proposals and through direct invitations to stakeholders through emails and telephone calls.                                      | NTA<br>ITA | February 2013 | N/A                |   |
| The CDM is very dynamic field and, in the absence of a successor agreement to the Kyoto Protocol, some uncertainties exist regarding the future of the CDM within the international climate change context. At the same time, new mechanisms are being negotiated that could complement, and in some cases partly replace the CDM. | 2011                     | Development   | Medium        | Medium           | B     | N/A  | N/A        | N/A           | N/A                | A second agreement is being negotiated  |
| The CDM is primarily a private sector driven process and most of the project activities in the TFF focus on the private sector. This has drawn some criticism from the Government which  | 2011                     | Reputational  | Medium        | Medium           | B     | An additional performance indicator introduced that would ensure that CCU and the project team work together on an activity. This activity is the identification and development | NTA<br>ITA | July 2013     | N/A                | The terms of reference (TORs) for the Policy PoA to be prepared in Q1 2013<br>TORs for Solar system |

| Risk Identification   |                          |               | Risk analysis |                  |       | Risk Treatment  |             |             | Follow-up of risks                                       |   |
|---|--------------------------|---------------|---------------|------------------|-------|---|-------------|-------------|--|---|
| Description of Risk   | Period of identification | Risk category | Probability   | Potential Impact | Total | Action(s)   | Resp.       | Deadline    | Progress   | Status  |
| wants a balance of activities between the public and private sector, and this may affect the good will of CCU towards the project.  |                          |               |               |                  |       | of a policy PoA<br>In addition, CCU will be receiving a solar system funded by the Project to show case domestic green energy generation. |             |             |  | to be prepared in Q1 2013                               |
| The office space that has been availed by the Government is to accommodate technical advisors. It is not yet clear if the Government will provide adequate office space for the trainees.     | 2011                     | Development   | Low           | Low              | A     | N/A   | NTA         | August 2012 | CCU availed space for project staff & trainees           | Completed.<br>No longer a risk                          |
| The project might not be able to attract a sufficient number of properly qualified Trainees to be trained at the CDM School.  | 2011                     | Development   | Medium        | Medium           | B     | Advertisement in National newspapers to ensure national coverage  | NTA<br>ITA  | July 2012   | More than enough application received                    | Completed   |
| There is a risk that CDM school trainees will not complete the training due to other job opportunities that may arise during the course of the training                                       | 2011                     | Development   | Medium        | High             | C     | Recruiting recent graduate with little prior experience and thus harder to be offered other jobs  | NTA/<br>ITA | August 2012 | Done   | Completed   |
|   |                          |               |               |                  |       | Provide the trainees with incentives that would make them want to complete the training programme   | BTC         | March 2013  | In progress. Project Operations Manual under preparation |   |
| There is a risk that the selected website host may not provide a reliable service, leading to higher downtime and that the website will not be regularly updated after the end of the project | Nov- Dec 2012            | Development   | Medium        | High             | C     | Stringent selection criteria for website design/ host service provider to ensure they can deliver the best quality                        | NTA         | March 2013  | N/A  | Service offered by current website host is substandard. |
|   |                          |               |               |                  |       | Involvement of CCU in website maintenance and requesting the CCU communications officer to take   | NTA         | June 2012   | Done. Communications officer                             |   |

| Risk Identification  |                          |                          | Risk analysis |                  |       | Risk Treatment  |            |               | Follow-up of risks                                      |  |
|--|--------------------------|--------------------------|---------------|------------------|-------|---|------------|---------------|---|--|
| Description of Risk  | Period of identification | Risk category            | Probability   | Potential Impact | Total | Action(s)   | Resp.      | Deadline      | Progress  | Status   |
|  |                          |                          |               |                  |       | a lead role to ensure continuity after the end of the Project.  |            |               | involved  |  |
| There is a risk that the improved DNA approval procedures will not be approved fast enough by the relevant government organs.  | 2011                     | Development              | Medium        | Medium           | B     | Close follow up with CCU to ensure the process of approval is expedited.  | NTA/ITA    | December 2013 | N/A   | Procedures yet to be reviewed. Lead exercise by GIZ/CCU; not the Project |
| There is a risk that the project will fail to identify a dedicated person within Government to work with the project in the development of the grid emission factor and thereafter update it regularly | 2011                     | Development              | Low           | High             | B     | Involvement of relevant government stakeholders in the drafting of the TORs for updating of the Ugandan GEF and proposal for responsible agency for update of the GEF in the future | NTA/ITA    | December 2012 | UETCL and MEMD commented on the TORs for the GEF factor | Done<br>Contract will be ready for execution by end of Q1 2013           |
| There is a risk of competing with existing stakeholders and initiatives with a possibility of negative publicity about the Project.  | 2011                     | Development/reputational | Low           | Medium           | A     | Providing good terms under the project that outcompete existing initiatives   | NTA/ITA    | August 2012   | Risk managed-reduced from B to A                        | Done   |
| Risk refusal to sign or of delay in signing of MoUs with selected projects or refusal  | Oct-Dec 2012             | Development              | Medium        | High             | C     | Managing the process right from selection to award, to ensure process is expedited.   | NTA/ITA/PM | April 2013    | N/A   | On-going (signing of MoUs)   |



### 2.2.3 Potential Impact

The outcome of the project which is strengthened technical capacity on CDM project formulation and awareness of investment opportunities under the CDM, will directly contribute to the sectoral objectives, particularly relating to climate change mitigation actions. The environment sector of Uganda, through the proposed climate change policy aims to promote mitigation initiatives that would ensure a low-carbon development pathway for Uganda, to which the project would directly contribute through increased CDM project development.

The impact that the project is aiming at of enabling Uganda to benefit from the the CDM is still guaranteed, both during the life of the project and beyond the life of the project after the capacity of the stakeholders in CDM project development has been built. The outcome- impact logic of the project is therefore still valid.

### 2.2.4 Quality criteria

|   |          |  |
|---|----------|--|
| <b>1. RELEVANCE: The degree to which the intervention is in line with local and national policies and priorities as well as with the expectations of the beneficiaries</b>  |          |  |
| <i>In order to calculate the total score for this Q-criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D</i>                                    |          |  |
| <b>1.1 What is the present level of relevance of the project?</b>   |          |  |
| <input checked="" type="checkbox"/>   | <b>A</b> | Clearly still embedded in national policies and Belgian strategy, responds to aid effectiveness commitments, highly relevant to needs of target group.   |
| <input type="checkbox"/>  | <b>B</b> | Still fits well in national policies and Belgian strategy (without always being explicit), reasonably compatible with aid effectiveness commitments, relevant to target group's needs.                                 |
| <input type="checkbox"/>  | <b>C</b> | Some issues regarding consistency with national policies and Belgian strategy, aid effectiveness or relevance.   |
| <input type="checkbox"/>  | <b>D</b> | Contradictions with national policies and Belgian strategy, aid efficiency commitments; relevance to needs is questionable. Major adaptations needed.  |
| <b>1.2 As presently designed, is the intervention logic still holding true?</b>   |          |  |
| <input checked="" type="checkbox"/>   | <b>A</b> | Clear and well-structured intervention logic; feasible and consistent vertical logic of objectives; adequate indicators; Risks and Assumptions clearly identified and managed; exit strategy in place (if applicable). |
| <input type="checkbox"/>  | <b>B</b> | Adequate intervention logic although it might need some improvements regarding hierarchy of objectives, indicators, Risk and Assumptions.  |
| <input type="checkbox"/>  | <b>C</b> | Problems with intervention logic may affect performance of project and capacity to monitor and evaluate progress; improvements necessary.  |
| <input type="checkbox"/>  | <b>D</b> | Intervention logic is faulty and requires major revision for the project to have a chance of success.  |
| <b>2. EFFICIENCY OF IMPLEMENTATION TO DATE: Degree to which the resources of the intervention (funds, expertise, time, etc.) have been converted into results in an economical way (assessment for the whole of the intervention)</b> |          |  |
| <i>In order to calculate the total score for this Q-criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D</i>                                    |          |  |
| <b>2.1 How well are inputs (financial, HR, goods &amp; equipment) managed?</b>  |          |  |
| <input type="checkbox"/>  | <b>A</b> | All inputs are available on time and within budget.  |

|  |          |   |
|--|----------|---|
| <input type="checkbox"/>                 | <b>B</b> | Most inputs are available in reasonable time and do not require substantial budget adjustments. However there is room for improvement.  |
| <input checked="" type="checkbox"/>      | <b>C</b> | Availability and usage of inputs face problems, which need to be addressed; otherwise results may be at risk.   |
| <input type="checkbox"/>                 | <b>D</b> | Availability and management of inputs have serious deficiencies, which threaten the achievement of results. Substantial change is needed.                                       |
| <b>2.2 How well are outputs managed?</b> |          |   |
| <input type="checkbox"/>                 | <b>A</b> | All outputs have been and most likely will be delivered as scheduled with good quality contributing to outcomes as planned.   |
| <input type="checkbox"/>                 | <b>B</b> | Output delivery is and will most likely be according to plan, but there is room for improvement in terms of quality, coverage and timing.                                       |
| <input checked="" type="checkbox"/>      | <b>C</b> | Some output are/will be not delivered on time or with good quality. Adjustments are necessary.  |
| <input type="checkbox"/>                 | <b>D</b> | Quality and delivery of outputs has and most likely will have serious deficiencies. Major adjustments are needed to ensure that at least the key outputs are delivered on time. |

**3. EFFECTIVENESS TO DATE: Degree to which the outcome (Specific Objective) is achieved as planned at the end of year N**

*In order to calculate the total score for this Q-criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D*

**3.1 As presently implemented what is the likelihood of the outcome to be achieved?**

|                                     |          |   |
|-------------------------------------|----------|---|
| <input type="checkbox"/>            | <b>A</b> | Full achievement of the outcome is likely in terms of quality and coverage. Negative effects (if any) have been mitigated.  |
| <input checked="" type="checkbox"/> | <b>B</b> | Outcome will be achieved with minor limitations; negative effects (if any) have not caused much harm.   |
| <input type="checkbox"/>            | <b>C</b> | Outcome will be achieved only partially among others because of negative effects to which management was not able to fully adapt. Corrective measures have to be taken to improve ability to achieve outcome. |
| <input type="checkbox"/>            | <b>D</b> | Project will not achieve its outcome unless major, fundamental measures are taken.  |

**3.2 Are activities and outputs adapted based on the achieved results in order to the outcome (Specific Objective)?**

|                                     |          |  |
|-------------------------------------|----------|--|
| <input checked="" type="checkbox"/> | <b>A</b> | The project is successful in adapting its strategies / activities and outputs to changing external conditions in order to achieve the outcome. Risks and assumptions are managed in a proactive manner.  |
| <input type="checkbox"/>            | <b>B</b> | The project is relatively successful in adapting its strategies to changing external conditions in order to achieve its outcome. Risks management is rather passive.   |
| <input type="checkbox"/>            | <b>C</b> | The project has not entirely succeeded in adapting its strategies to changing external conditions in a timely or adequate manner. Risk management has been rather static. An important change in strategies is necessary in order to ensure the project can achieve its outcome. |
| <input type="checkbox"/>            | <b>D</b> | The project has failed to respond to changing external conditions, risks were insufficiently managed. Major changes are needed to attain the outcome.  |

**3. POTENTIAL SUSTAINABILITY: The degree of likelihood to maintain and reproduce the benefits of an intervention in the long run (beyond the implementation period of the intervention).**

*In order to calculate the total score for this Q-criterion, proceed as follows: At least 3 'A's, no 'C' or 'D' = A ; Maximum two 'C's, no 'D' = B; At least three 'C's, no 'D' = C ; At least one 'D' = D*

**3.1 Financial/economic viability?**

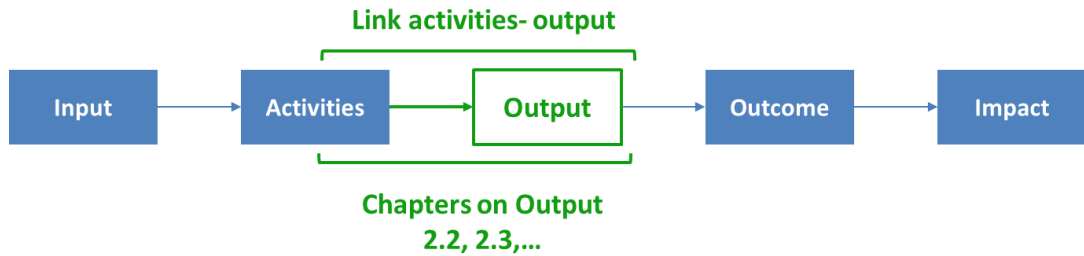
|                          |          |  |
|--------------------------|----------|--|
| <input type="checkbox"/> | <b>A</b> | Financial/economic sustainability is potentially very good: costs for services and maintenance are covered or affordable; external factors will not change that. |
|--------------------------|----------|--|

|   |          |  |
|---|----------|--|
| <input type="checkbox"/>  | <b>B</b> | Financial/economic sustainability is likely to be good, but problems might arise namely from changing external economic factors.   |
| <input checked="" type="checkbox"/>   | <b>C</b> | Problems need to be addressed regarding financial sustainability either in terms of institutional or target groups costs or changing economic context.   |
| <input type="checkbox"/>  | <b>D</b> | Financial/economic sustainability is very questionable unless major changes are made.  |
| <b>4.2 What is the level of ownership of the project by target groups and will it continue after the end of external support?</b> |          |  |
| <input type="checkbox"/>  | <b>A</b> | The JLCB and other relevant local structures are strongly involved in all stages of implementation and are committed to continue producing and using results.  |
| <input checked="" type="checkbox"/>   | <b>B</b> | Implementation is based in a good part on the JLCB and other relevant local structures, which are also somewhat involved in decision-making. Likelihood of sustainability is good, but there is room for improvement.      |
| <input type="checkbox"/>  | <b>C</b> | Project uses mainly ad-hoc arrangements and the JLCB and other relevant local structures to ensure sustainability. Continued results are not guaranteed. Corrective measures are needed.                                   |
| <input type="checkbox"/>  | <b>D</b> | Project depends completely on ad-hoc structures with no prospect of sustainability. Fundamental changes are needed to enable sustainability.   |
| <b>4.3 What is the level of policy support provided and the degree of interaction between project and policy level?</b>           |          |  |
| <input type="checkbox"/>  | <b>A</b> | Policy and institutions have been highly supportive of project and will continue to be so.   |
| <input checked="" type="checkbox"/>   | <b>B</b> | Policy and policy enforcing institutions have been generally supportive, or at least have not hindered the project, and are likely to continue to be so.   |
| <input type="checkbox"/>  | <b>C</b> | Project sustainability is limited due to lack of policy support. Corrective measures are needed.   |
| <input type="checkbox"/>  | <b>D</b> | Policies have been and likely will be in contradiction with the project. Fundamental changes needed to make project sustainable.   |
| <b>4.4 How well is the project contributing to institutional and management capacity?</b>   |          |  |
| <input type="checkbox"/>  | <b>A</b> | Project is embedded in institutional structures and contributed to improve the institutional and management capacity (even if this is not a explicit goal).  |
| <input checked="" type="checkbox"/>   | <b>B</b> | Project management is well embedded in institutional structures and has somewhat contributed to capacity building. Additional expertise might be required. Improvements in order to guarantee sustainability are possible. |
| <input type="checkbox"/>  | <b>C</b> | Project relies too much on ad-hoc structures instead of institutions; capacity building has not been sufficient to fully ensure sustainability. Corrective measures are needed.  |
| <input type="checkbox"/>  | <b>D</b> | Project is relying on ad hoc and capacity transfer to existing institutions, which could guarantee sustainability, is unlikely unless fundamental changes are undertaken.  |

Assign a final score to each criterion. If a monitoring criterion has been marked a 'C' or a 'D', measures have to be proposed, as part of the Action Plan (4.1)

| Criteria       | Score |
|----------------|-------|
| Relevance      | A     |
| Effectiveness  | C     |
| Sustainability | B     |
| Efficiency     | B     |

## 2.3 Output 1<sup>26</sup> *The capacity of the main stakeholders on CDM project formulation strengthened*



<sup>26</sup> The template accommodates up to 3 Outputs (chapters 2.2, 2.3, 2.4). If the intervention has more outputs, simply copy and paste additional output chapters. If the intervention has less than 3 outputs, simply delete the obsolete chapters)

## 2.3.1 Analysis of progress made

| <b>Output 1: The capacity of the main stakeholders on CDM project formulation strengthened</b>               |   |                           |  |                      |  |  |
|--|---|---------------------------|--|----------------------|--|--|
| <b>Indicators</b>  | <b>Baseline value</b>   | <b>Progress year 2011</b> | <b>Progress year 2012</b>  | <b>Target year N</b> | <b>End Target</b>  | <b>Comments</b>  |
| CCU staff able to promote CDM in Uganda.   | CCU not engaged in promotion of CDM   | Same as baseline          | Input in training of CCU staff in the promotion of CDM e.g. at training workshops  | N/A                  | CCU able to effectively promote CDM in Uganda  | Little progress, but further implementation expected   |
| Public, private and civil society stakeholders engaged in identification of potential CDM projects.          | Both public and private stakeholders involved in identification of potential CDM projects                   | Same as baseline          | Stakeholders involved in the identification of CDM projects especially through the 1 <sup>st</sup> and 2 <sup>nd</sup> call for proposals  | N/A                  | Public, private and civil society stakeholders are fully engaged in identification of potential CDM projects.          | Significant progress in involvement of stakeholders in identification of CDM projects by invitation of all stakeholders to submit applications for support under the 1 <sup>st</sup> and 2 <sup>nd</sup> call for proposals. |
| Public, private and civil society stakeholders engaged in drafting PINs, PDDs, ERPAs and monitoring reports. | Public, private and civil society stakeholders engaged in drafting PINs, PDDs, ERPAs and monitoring reports | Same as baseline          | Continued involvement in drafting of PINs, PDDs and ERPAs by private and civil society stakeholders with potential for further support by the Project under the 1 <sup>st</sup> and 2 <sup>nd</sup> call for proposals | N/A                  | Public, private and civil society stakeholders are fully engaged in drafting PINs, PDDs, ERPAs and monitoring reports. |  |
| Number of Ugandan consultants trained in PIN writing   | 0   | 0                         | 6  | N/A                  | 6  | The trainees came on board in September 2012 and they are already being trained in drafting of PINs. Each trainee has already completed at least 1 PIN   |
| Number of Ugandan consultants trained in PDD writing   | 0   | 0                         | 0  | N/A                  | 6  | Baseline considers consultants trained under the Project<br><br>Training in PDD to happen after projects to work on have been selected under the 2 <sup>nd</sup> call. Bulk of work to happen in 2013                        |
| Number of training courses for Ugandan CDM stakeholders  | 0   | 0                         | 1 CDM training workshop held in 2012, which was well attended  | N/A                  | 5  | Baseline considers training of stakeholders carried out under the project.   |
| Number of Ugandan consultants trained in ERPA negotiations writing increased                                 | 0   | 0                         | No progress made   | N/A                  | 6  | No progress made for 2 reasons 1) ERPAs are negotiated when  |

|   |  |   |   |     |  |   |  |
|---|--|---|---|-----|--|---|--|
| Capacity building undertaken in diversity of sectors and at all stages of the CDM project cycle.  | 0<br>No projects selected yet  | 0 | Projects submitted for application fall under a number of sectors | N/A | The CDM projects at the back of which capacity building takes place to come from a wide range of sectors | Potential projects in the pipeline include energy, water (solid and wastewater), energy efficiency and industry   |  |
| Supporting the Ugandan Government, as well as other stakeholders develop standardised baselines.  | 0  | 0 | 0<br>Activity to start in Q1 2013                                 | N/A |  | Terms of reference and execution of standardised baseline to take place in 2013. However discussions have begun with other players in the market working on standardised baselines. |  |
| Progress of <u>main</u> activities <sup>27</sup>  |  |   | <b>Progress:</b>  |     |  |   | <b>Comments</b> (only if the value is C or D)  |
|   |  |   | A   | B   | C  | D   |  |
| 1. Support capacity building on all stages of the CDM project cycle in the CCU, UIA and at project proponents and Ugandan CDM consultants through contracting of business oriented, managerial/financial TA   |  |   |   |     | x  |   | Majority of capacity building activities to be undertaken after selection of CDM projects for support. Some capacity building training has been provided through the CDM training workshop.                                    |
| 2. Support programme management and all stages of CDM project development through own and short term inputs as well as managing other consultancy services provided by the project.   |  |   |   | x   |  |   | Most consultancies to take place starting 2013.  |
| 3. Create a de facto CDM 'School' through capacity development of Ugandan experts to be involved in drafting of PINs and PDDs and participating in negotiations of carbon credit sales contract, the so-called Emission Reduction Purchase Agreement (ERPA) and create a potential for expanding activities to other East African countries as potential market.      |  |   | x   |     |  |   | CDM trainees already on board. Training of these has already commenced, with the trainees also undertaking hands-on training.  |
| 4. Include monitoring capacity development for existing and new CDM projects in Uganda to increase CER value and enhance subsidy basis for the Ugandan CDM consultants, who have received capacity building, with the purpose of building skills that will be needed in CDM projects after the PINs and PDDs have been drafted and the projects have been registered. |  |   |   | x   |  |   | Progress expected to be made after selection of CDM projects to support. Projects supported in PDD drafting will include monitoring plan preparation and hence provide backbone on which capacity development is to take place |
| <b>Analysis of progress made towards output: <i>Analyse the dynamics between the activities and the probable achievement of the Output (see Results Report Guide).</i></b>  |  |   |   |     |  |   |  |
| <b>Relation between activities and the Output. (how) Are activities contributing (still) to the achievement of the output (do not discuss activities as such?):</b>   | <p>The progress on each of the activities outlined above under output 1 directly contributes to building or strengthening capacity of the main stakeholders on CDM project formulation and development. The probable achievement of output 1 is therefore very high, since the project has already made significant progress as shown in the subsequent paragraphs.</p> <p>During this reporting period, 6 CDM trainees were recruited and these have been undergoing structured training in CDM project formulation with hands-on experience in preparation of PINs and other documents/ reports. This directly contributes to the outcome by building capacity of Ugandans in CDM project formulation.</p> |   |   |     |  |   |  |

<sup>27</sup> A: The activities are ahead of schedule  
B: The activities are on schedule  
C: The activities are delayed, corrective measures are required.  
D: The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

|  |  |
|--|--|
|  | <p>The Project Team also prepared a detailed structured curriculum for instruction of the 6 CDM Trainees recruited under the CDM School and the trainees started to receive formal training in CDM project formulation, a month after coming on board. Most importantly, the trainees are trained through hands-on experience in drafting of Project Idea Notes (PINs) or Quick Assessments and other documents, thus building their capacity in project formulation. Further capacity development to follow in PDD writing and validation support.</p> <p>In addition, during this reporting period, the first CDM training workshop was held, which was attended by a wide range of stakeholders, including financial institutions, government institutions and project developers, thus building capacity and raising awareness of opportunities that the CDM market offers. The activity thus directly contributes to the expected outcome of the Project.</p> <p>The discussion on the progress made on the activities above shows that these are still contributing directly to the achievement of output 1 - capacity development of stakeholders in CDM project formulation and development.</p> |
| <i>Progress made towards the achievement of the output (on the basis of indicators):</i> | On the basis of the indicators, the progress made towards the achievement of the output 1- capacity building of stakeholders in CDM project formulation, is good and the project is largely on track and the output is expected to be achieved 100% by the end of the project.   |
| <i>Issues that arose, influencing factors (positive or negative):</i>                    | The departure of the NTA and the alternate ITA leading to the need for recruitment of new replacement staff has led to a delay on some of the activities under the output (1) especially the training. But the project is back on track with recruitment of new replacement staff.   |
| <i>Unexpected results (positive or negative):</i>  | There are no unexpected results to report on the project   |

### 2.3.2 Budget execution

The budget for this result area is € 829,736, revised from the previous €690,000 in the TFF due to inclusion of a budget line for the CDM School and a budget line for the development of standardised baselines. The detailed Budget vs current expenditure is detailed in section 5.4.

The expenditure during 2012 under the budget allocation for output 1 was € 227,116, representing 27% of the overall budget allocation. The expenditure is therefore according to plan, since there are some 22 months (65%) left to complete the project during which the bulk of expenditures under output 1 are expected to be incurred.

### 2.3.3 Quality criteria

| Criteria       | Score |
|----------------|-------|
| Efficiency     | A     |
| Effectiveness  | B     |
| Sustainability | A     |

## 2.4 Output 2: Accessibility and quality of information on CDM possibilities in Uganda improved

### 2.4.1 Analysis of progress made

| Output 2: Accessibility and quality of information on CDM possibilities in Uganda improved |  |                    |  |               |   |  |
|--|--|--------------------|--|---------------|---|--|
| Indicators   | Baseline value   | Progress year 2011 | Progress year 2012   | Target year N | End Target  | Comments   |
| Web site established with uptime above 90%   | CCU website in place but with limited usability and technical capability | Nil                | A new website launched and is up and running.  | N/A           | Availability of a fully operational website.<br>-Access to required information on the website.<br>-CCU IT staff trained on website maintenance.                      | 70% of the activity has been implemented<br><br>There is a constraint in that the website host and the IPS are different and often the server of the IPS is down, which has significantly affected the uptime. |
| Staff able to design, update and maintain a website  | CCU and project staff unable to update or maintain the website           | Nil                | CCU staff yet to be trained on website maintenance<br><br>Planned for 2013   | N/A           | CCU and Project staff including trainees, able to design, update and maintain a website   | Activity to be executed in Q1 of 2013<br><br>New website based on a Content Management System (CMS) to allow easy editing by CCU staff after the training.   |
| Website used (number of hits)  | Previous CCU website had no counter to establish number of visits.       | Nil                | New launched website has a counter to establish how many visitors the site has had                                   | N/A           | A website with an embedded tool to establish the number of clicks and increased traffic to the CCU website  | Hits should be monitored periodically in order to monitor the improvement in the website visits.   |
| Interested stakeholders use website to communicate   | No communication by the stakeholders via the CCU website                 | Nil                | A section included under the new website design 'contact us' where stakeholders can submit their queries or comments | N/A           | Interested stakeholders are able to communicate through the website   | The communication through the website is still limited.  |
| Website positively evaluated during ATR  | No evaluation of website previously                                      | N/A                | 0 ATR evaluation yet to be conducted   | N/A           | An effectively designed website, user-friendly and populated with all the necessary information about CCU, their procedures and projects, plus information on the CDM | Only a well-designed and easy to use website and with the relevant information to attract visitors can be positively evaluated.  |
| Uploading the second national communication  | Second national communication  | 0                  | 0  | N/A           | Second National Communication   | There's been delays in the preparation of the SNC. Results expected  |



|  |  |   |  |                  |   |   |   |   |
|--|--|---|--|------------------|---|---|---|---|
|  | not yet prepared, hence not uploaded   |   |  |                  | uploaded on the CCU website   | at the end of 2014  |   |   |
| Eligibility criteria for LOA   | Existing criteria which need revision  | 0   | 0  | N/A              | Improved eligibility criteria of LoA  | Parallel work is happening between CCU as secretariat of DNA, supported by GIZ and the South African DNA on improvement of these procedure hence activity put on hold so as not to run parallel initiatives targeting the same objectives |   |   |
| Procedures for application of LON/LOA  | Existing procedures which need revision  | 0   | 0  | N/A              | Improved standard procedures for obtaining a LoN or LoA   |   |   |   |
| Report on screening of Uganda for potential CDM projects   | No relevant screening information on various sectors to help with identification of potential CDM projects.                              | 0 Project being launched.   | First draft of the screening report completed. | N/A              | A Screening report of CDM Potential in Uganda completed   | Delays in finalising of the Screening report due to change in staff on the project  |   |   |
| Support project staff, CCU staff and UIA staff in Carbon Expo and/or other international carbon market exhibitions/conferences with a national stand for Uganda  | Ineffective representation of Uganda at the carbon expo or other carbon market conferences-sometimes lacking a national stand for Uganda | 0   | 0  | N/A              | Uganda, through CCU and UIA, with support from the project, effectively represented at international carbon market conferences or exhibitions | First support activity planned for Q2 2013, carbon expo in Warsaw.  |   |   |
| <b>Progress of main activities</b> <sup>28</sup>   |  |   |  | <b>Progress:</b> |   | <b>Comments</b> (only if the value is C or D)   |   |   |
|  |  |   |  | A                | B   |   | C | D   |
| 1. Establish Website on the Designated National Authority as a sub page of the website of the Ministry of Water and Environment with state-of-the-art front page and links.  |  |   |  | x                |   |   |   | Activity completed  |
| 2. Describe and upload information on national institutional setup, procedures and eligibility criteria for issuing of Letters of No Objection and Letters of Approval to inform buyers and project developers hereof                                    |  |   |  |                  | x   |   |   |   |
| 3. Uploading Second National Communication of Uganda to the UNFCCC on emissions of greenhouse gases to supplement the present communication which is based on figures from 1993/96 when it is available from the on-going UNEP-GEF project on this issue |  |   |  |                  | x   |   |   | 2 <sup>nd</sup> National Communication won't be available until Q4 2014 |
| 4. Uploading of screening report on CDM potential in Uganda  |  |   |  |                  |   | x   |   | Draft ready, final report to be uploaded to CCu website after approval  |
| 5. Uploading of latest Grid Emission Factor for the Ugandan National Power Grid.   |  |   |  |                  |   | x   |   | GEF to be ready in Q3 2013  |
| 6. Support participation by project staff, CCU staff and UIA staff in Carbon Expo trade show and/or other international carbon market exhibitions/conferences with a national stand for Uganda.  |  |   |  |                  | x   |   |   | Budget allocated for support activities in Q2 2013                      |
| <b>Analysis of progress made towards output: Analyse the dynamics between the activities and the probable achievement of the Output (see Results Report Guide).</b>  |  |   |  |                  |   |   |   |   |
| <i>Relation between activities and the Output. (how) Are activities contributing (still) to the achievement of the output (do not discuss activities as such?):</i>  |  | The progress made so far, on each of the activities outlined contribute to output 2 – improvement of the accessibility to and the quality of information on CDM possibilities and procedures in Uganda. The probable achievement of output 2 is therefore high, since the project has already made significant progress under output 2. |  |                  |   |   |   |   |

<sup>28</sup> A: The activities are ahead of schedule  
B: The activities are on schedule  
C: The activities are delayed, corrective measures are required.  
D: The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

|  |  |
|--|--|
|  | <p>The CCU website was launched in the second quarter of 2012. The information posted on the CCU website includes briefing notes on the Clean Development Mechanism, DNA procedures for obtaining LoAs, the sustainable development criteria and news items that relate to exciting new or upcoming events about CCU, its activities, or about the various projects hosted at the CCU or most recent news about CDM and the carbon markets.</p> <p>The launch of the website has therefore made information readily available to project developers and other stakeholders thus directly contributing to the output 2. The first and second call for applications for support under the Project, were also posted on the CCU website, thus reaching a wider audience.</p> <p>The progress made on the different activities shows that these are still contributing directly to the achievement of output 2 – improving accessibility and quality of information on CDM possibilities and procedures in Uganda.</p> |
| <i>Progress made towards the achievement of the output (on the basis of indicators):</i> | On the basis of the indicators, the progress made towards the achievement of the output 2- improving accessibility and quality of information on CDM possibilities and procedures in Uganda, is good and the project is largely on track and the output is expected to be achieved by the end of the project.  |
| <i>Issues that arose, influencing factors (positive or negative):</i>                    | <p>The resignation of the NTA and the ITA leading to the need for recruitment of new replacement staff has led to a delay on some of the activities under the output (2) e.g. uploading of the screening report, since the finalisation of the screening report has been delayed and the final report will only be out in Q1 of 2013.</p> <p>There is no clear indication as yet that the CCU will take ownership and responsibility over the future use and update of the website. In addition, the website has had relatively high down-time</p>   |
| <i>Unexpected results (positive or negative):</i>  | There are no unexpected results to report on the project   |

### 2.4.2 Budget execution

The budget for output 2 (result area 2) is € 27,000, of which €1,673 has been used during 2012. The expenditure to date represents 6% of the overall budget. The apparent under expenditure has been due to the ability to contract a service provider for the website at a very low price, coupled with a delay in payment of the website design and maintenance service provider, which is scheduled for Q1 2013. Despite the apparent under-expenditure on the budget, the output is on track. The detailed Budget vs current expenditure for output 2 is detailed in section 5.4.

### 2.4.3 Quality criteria

| Criteria       | Score |
|----------------|-------|
| Efficiency     | A     |
| Effectiveness  | B     |
| Sustainability | B     |

## 2.5 Output 3<sup>29</sup> The process for registration by the CDM EB of Ugandan CDM projects has been supported

### 2.5.1 Analysis of progress made

| Output 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported              |                |                    |               |               |                        |  |
|--|----------------|--------------------|---------------|---------------|------------------------|--|
| Indicators   | Baseline value | Progress year 2011 | Progress 2012 | Target year N | End Target             | Comments   |
| Number of potential projects identified  | 0              | 0                  | 8             | N/A           | 20                     | Baseline value based on projects identified under the project. Includes projects selected from 1 <sup>st</sup> call, and those from liaison with CDM project developers.   |
| Number of PINs developed   | 0              | 0                  | 6             | N/A           | 20                     | Up to 6 PINs drafted by the CDM trainees by the end of 2012.   |
| Number PDDs developed  | 0              | 0                  | 0             | N/A           | 6 (5, 1PoA)            | Baseline value considers only those PDDs developed under the project.<br><br>PDDs will only be written after selection of projects for support under the second call for proposals. 2 <sup>nd</sup> call for proposals launched in December 2012. Selection to be completed in Q1 2013 |
| Number of ERPAs signed or negotiations supported for project developers and potential buyers identified      | 0              | 0                  | 0             | N/A           | 6                      | No progress due to the fact that the signing of ERPAs can only be realistically executed when a CDM project is either registered or at an advanced stage e.g validation, which stage the projects haven't reached. Also current market conditions not favourable for signing of ERPAs  |
| Number of CDM projects registered  | 0              | 0                  | 0             | N/A           | 6                      | Projects can only be registered after selection, PDD preparation and validation. Earliest registrations expected in 2014.  |
| Number of CERs for all registered CDM projects supported by the project (2,000,000 CERs over crediting time) | 0              | 0                  | 0             | N/A           | 0                      | No projects registered yet, hence no CERs generated yet.   |
| Number of projects that have received financial advisory services from the project                           | 0              | 0                  | 0             | N/A           | 5                      | Support expected to be provided for some of the projects selected under the 2 <sup>nd</sup> call for proposals   |
| Update the national Grid Emission Factor (GEF) for the Uganda electricity grid                               | -              | -                  | -             | N/A           | Updated GEF for Uganda | Tender preparations completed and call launched in Q4 of 2012. Execution to start in Q1 2013 and expected to be  |

<sup>29</sup> If the Logical Framework contains more than three Outputs, copy-paste the 2.4 chapter and create 2.6 for Output 4 , 2.7 for Output 5, etc.

|  |  |   |   |     |  |   |
|--|--|---|---|-----|--|---|
|  |  |   |   |     |  | finalised in Q3 2013                      |
| Attempt to diversify CDM project development amongst different industrial sectors in Uganda  | -  | - |   | N/A |  | Selected projects from a range of sectors |
| <b>Progress of <u>main</u> activities</b> <sup>30</sup>  | <b>Progress:</b>   |   |   |     | <b>Comments</b> (only if the value is C or D)  |   |
|  | A  | B | C | D   |  |   |
| 1. Screening Report on CDM potential in Uganda including list of project proponents with name, address, telephone number, resume of contacts made of at least 50+ potential CDM projects deemed financially viable and totaling not less than yearly total of 500.000 tons CO <sub>2</sub> -e, or document that this is not possible using existing methodologies (excl. Afforestation/Reforestation projects but including PoA) |  | x |   |     | Draft report finalised. Final Draft ready for publication expected Q1 2013   |   |
| 2. Update existing national grid emissions factor for the Ugandan national grid.   |  |   | x |     | Activity scheduled for execution –including validation for Q1-Q3 2013  |   |
| 3. Establish funding mechanism for CDM project support (capacity issues, all stages of transaction and other capacity)   |  |   | x |     | Tender preparation and publication planned for Q2 2013   |   |
| 4. Funding of all steps of CDM project development (PIN, PDD; validation; support to ERPA negotiations and monitoring).  |  | x |   |     | Most of support is being or is to be provided in form of technical support. Some work on projects selected under the first call already done in PIN writing. Others to follow as more projects are selected and progress in the project development cycle. |   |
| <b>Analysis of progress made towards output: Analyse the dynamics between the activities and the probable achievement of the Output (see Results Report Guide).</b>  |  |   |   |     |  |   |
| <i>Relation between activities and the Output. (how) Are activities contributing (still) to the achievement of the output (do not discuss activities as such?):</i>  | <p>The progress made on the activities above contributes to output 3- the process for registration by the CDM EB of Ugandan CDM projects supported. The probable achievement of output 3 is moderate. Although the progress appears to be little, it is because the indicators used only capture the final outcome and not the progress vs target output. The bulk of the activities will be in 2013 and 2014.</p> <p>The first and second calls for applications for technical and financial support under the Project were launched during the reporting period (2012). From the first call for proposals, three projects were selected to receive support from the Project. The three projects included the NEMA solid waste composting CDM project, the Ministry of ICT e-waste recycling project and the Kampala Jellitone Suppliers Limited (KJSL) briquette project.</p> <p>The applications for support under the second call for proposals will be received on or before 01<sup>st</sup> February 2013. From the second call, 6 to 8 projects will be selected to receive support under the project. The selected projects will receive varying levels of technical and/or financial support, the actual support depending on the nature of the project; the support required by the given CDM project; and the stage of CDM project development that the project is at. All the support, technical or financial, therefore will contribute to facilitating the process of registration of the various projects with the CDM Executive Board. The output (3) therefore contributes directly to the outcome of the project, specifically building capacity in CDM project formulation as the Trainees and project developers work together on the CDM or potential CDM projects.</p> <p>The progress made on the different activities shows that these are still</p> |   |   |     |  |   |

<sup>30</sup> A: The activities are ahead of schedule  
B: The activities are on schedule  
C: The activities are delayed, corrective measures are required.  
D: The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

|  |  |
|--|--|
|  | contributing directly to the achievement of output 3 and although it appears to be running behind schedule, the activities are still on track.   |
| <i>Progress made towards the achievement of the output (on the basis of indicators):</i> | On the basis of the indicators, the progress made towards the achievement of the output 3- supported process for registration by the CDM EB of Ugandan CDM projects, is moderate but largely on track and the output is expected to be achieved by the end of the project. |
| <i>Issues that arose, influencing factors (positive or negative):</i>                    | It has proven to be challenging to execute MoUs with government entities, which might delay the start of the technical and financial support activities.   |
| <i>Unexpected results (positive or negative):</i>  | There are no unexpected results to report on the project   |

### 2.5.2 Budget execution

The budget for output 3 (result area 3) is € 659,142, of which €22,170 was used during 2012. The expenditure to date represents 3% of the overall budget, indicating a significant underspent under output 3. The apparent under expenditure is due to the fact that most of the expenditure activities under output 3 are scheduled for 2013 and 2014, after CDM projects for support have been selected, the PDDs written and the build of the expenses are to go on costs of DOE Validation, Verification and ERPA negotiation legal support. The output is considered to be on track. The detailed Budget vs current expenditure for output 3 is detailed in section 5.4.

### 2.5.3 Quality criteria

| <b>Criteria</b>       | <b>Score</b> |
|-----------------------|--------------|
| <b>Efficiency</b>     | B            |
| <b>Effectiveness</b>  | A            |
| <b>Sustainability</b> | A            |

## 3 Transversal Themes

*Explain how the intervention has taken into account Transversal Themes.*

### 3.1 Gender

Gender balance was put into consideration during the recruitment of Trainees under the CDM School. The CDM trainees consist of 2 ladies and 4 gentlemen.

The intervention team or Project team, including the new staff recruited in 2012, consists of a team of 3 ladies and 3 gentlemen and is thus effectively gender balanced.

### 3.2 Environment

The successful implementation of this intervention will result in reduction of greenhouse gas emissions thus making an important contribution to the global fight against climate change.

In addition, each of the CDM projects implemented is required to demonstrate compliance with the sustainable development criteria for the Ugandan DNA, which includes an environmental component. The projects registered are therefore likely to contribute positively to environmental sustainability.

### 3.3 Other

N/A

## 4 Steering and Learning

### 4.1 Action Plan

*On the basis of the data and analysis above, formulate actions to be taken (/decisions to be taken) These can be strategic and/or operational.*

| Action plan  | Source             | Actor                                       | Deadline       |
|--|--------------------|---|----------------|
| Proactive sourcing of potential CDM projects through liaison with potential CDM project developers   | 2.2.2 and 2.5      | Project team                                | Q1 2013        |
| Proactive advertisement of the second call for proposals and through direct invitations to stakeholders through emails and telephone calls before deadline for return of applications.   | 2.2.2 and 2.5      | Project team                                | Q1 2013        |
| Design of a more stringent selection criteria for website design/ hosting service provider to ensure they can deliver the best quality to ensure a high up-time for the website and thus reliable access to information on the website.  | 2.2.2 and 2.4      | Project team                                | End of Q1 2013 |
| Involvement of CCU in website maintenance and requesting the CCU communications officer to take a lead role to ensure continuity after the end of the Project.   | 2.2.2 and 2.4      | Project team                                | Q2 2013        |
| Close follow up with CCU to ensure the process of approval of revised DNA procedures is expedited.   | 2.2.2 and 2.4      | Project team                                | Q3 2013        |
| Managing the CDM project selection process right from selection to the award, to ensure process is expedited, especially the MoU signing.  | 2.2.2 and 2.5      | Project team and BTC                        | Q2 2013        |
| Inclusion of a time limit within which the selected CDM projects should have signed the MoU, beyond which the offer for support may be withdrawn by BTC. This will ensure MoUs can be signed within a shorter period of time and trainees can start working on these projects.   | 2.2.2, 2.3 and 2.5 | Project Team and BTC                        | Q2 2013        |
| Finalise screening report and up load it to the website as soon as possible  | 2.4 and 2.5        | Project Team and CCU communications officer | Q1 2013        |
| Collaborate with other interventions from development partners to increase the chances of achieving the project outputs without duplication of efforts. This will require close collaboration with partners such as KfW, GIZ and UNDP who are currently active in climate adaptation and mitigation support activities | 2.5                | Project Team working closely with CCU       | Q3 2013        |

## 4.2 Lessons Learned

| Lessons learned   | Target audience                |
|---|--------------------------------|
| Regular consultations/communication with the main project partner (CCU) on implementation of project activities is essential for the overall success of the project and good will of government towards the project   | Project team<br>BTC            |
| Collaboration with existing initiatives and projects (both public and private) on the implementation of CDM projects. This will increase the probability of achieving the desired project outputs as well as better implementation of project through sharing of activities and benefiting from lessons learnt by the other players in the market, without duplicating efforts and/or resources | Project team<br>BTC            |
| A properly planned and managed project selection process will ensure that projects are signed up for support in the shortest time possible thus allowing key capacity development activities of the project to start. This is crucial since the performance of the project is largely tagged on these and a delay in the key activities would affect the performance of the entire project.     | Project team<br>BTC            |
| CCU should take a lead role in in the update of the website or its maintenance after hand-over by the service provider, to ensure continuity after the CDM capacity development project has come to an end.   | Project team<br>BTC<br>GoU/MWE |
| The CDM trainees should be considered as part of the project team and thus be involved in all project activities beyond CDM capacity development. This will ease the burden of work on the project team and facilitate horizontal capacity development for the CDM trainees.  | Project team                   |
| The ITA should have been contracted on a full-time basis.   | BTC                            |



## 5 Annexes

### 5.1 Original Logical framework

|   | Indicators   | Source of Verification   | Assumptions  |
|---|--|--|--|
| <p><b>Overall Objective:</b><br/>To enable Uganda to benefit from the Clean Development Mechanism of the Kyoto Protocol.</p>  | Final Report referring to and elaborating on project achievements approved by Steering Committee   | Final Report   | <ul style="list-style-type: none"> <li>Registration regime for CDM projects at CDM EB is in place also after 2012.</li> </ul>  |
| <p><b>Specific Objective:</b><br/>To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions.</p> | A number of Ugandan CDM project appear on <a href="http://www.unfccc.int">www.unfccc.int</a> as registered CDM projects  | <a href="http://www.unfccc.int">www.unfccc.int</a><br>Final Report   | <ul style="list-style-type: none"> <li>Modalities for project management and disbursement of funds are implemented according to the agreement with MWE</li> </ul>  |
| <p><b>Result 1: The capacity of the main stakeholders on CDM project formulation strengthened</b></p>   | <ul style="list-style-type: none"> <li>CCU staff able to promote CDM in Uganda</li> <li>Public, private and civil society stakeholders engaged in identification of potential CDM projects and</li> <li>Public, private and civil society stakeholders engaged in drafting PINs, PDDs, ERPAs and monitoring reports</li> </ul> | <ul style="list-style-type: none"> <li>Project Progress report</li> <li>Evaluation reports</li> <li>Meeting minutes</li> <li>Interviews</li> </ul>   | <ul style="list-style-type: none"> <li>Approval by the top and senior management of the MoWE to conduct the activities required</li> <li>Availability and interest and willingness by MoWE top managers and assigned staff to participate and cooperate</li> <li>Availability of adequate office facilities and staff to participate in the project</li> </ul> |
| <p><b>Result 2: Accessibility and quality of information on CDM possibilities and procedures in Uganda improved</b></p>   | <ul style="list-style-type: none"> <li>Web site established with uptime above 90%</li> <li>Staff able to design, update and maintain a website</li> <li>Satisfaction of users</li> <li>Website used (number of hits)</li> <li>Interested stakeholders use website to communicate</li> </ul>                                    | <ul style="list-style-type: none"> <li>Website established including               <ul style="list-style-type: none"> <li>2<sup>nd</sup> national communication</li> <li>Eligibility criteria for LON</li> <li>Eligibility criteria for LOA</li> <li>Procedures for application of LON/LOA</li> <li>Report on screening of Uganda for</li> </ul> </li> </ul> | <ul style="list-style-type: none"> <li>Availability of website in continuously operation for the MWE.</li> <li>Access to needed information to be included in the website.</li> <li>Cooperation from IT staff of MWE concerning establishment of a DNA part of the website</li> <li>Cooperation from</li> </ul>  |

|   |   |  |  |
|---|---|--|--|
|   | <ul style="list-style-type: none"> <li>Website positively evaluated during ATR</li> </ul>   | <p>potential CDM projects</p> <ul style="list-style-type: none"> <li>Evaluation report</li> <li>Progress reports</li> </ul>  | <p>CCU staff of MWE concerning establishment of a DNA part of the website</p>  |
| <p><b>Result 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported</b></p> | <ul style="list-style-type: none"> <li>A number of potential CDM projects in Uganda identified</li> <li>Number of PINs, PDDs, ERPAs on CDM projects supported</li> <li>Number of CDM projects registered</li> <li>Number of ERPAs signed with buyers</li> <li>Number of monitoring reports verified by Designated Operational Entities</li> <li>Number of Certified Emission Reductions (CER) issued</li> </ul> | <ul style="list-style-type: none"> <li>Project Progress reports</li> <li>Evaluation reports</li> <li>Minutes of meetings</li> <li>PINs submitted for issuing of LoN</li> <li>LON issued by DNA</li> <li>PDDs submitted for LOA</li> <li>LOA issued by DNA</li> <li>PDD submitted for validation</li> <li>Validation reports available</li> <li>ERPAs signed with buyers</li> <li>Registration by EB CDM and projects are listed in UNFCCC website for registered CDM projects</li> </ul> | <ul style="list-style-type: none"> <li>Cooperation of CCU, UIA on project implementation when participating in the management of the project</li> <li>Physical availability of CDM potential in Uganda</li> <li>Financing available for CDM project implementation</li> <li>Successful tendering of international consultants for PDD etc. writing and project validation</li> </ul> |

| N°  | Activities  | Means  | Costs (Euro) See 4.3 |
|---|---|--|----------------------|
| <p><b>Result 1: The capacity of the main stakeholders on CDM project formulation strengthened</b></p> | <ol style="list-style-type: none"> <li>Support capacity building on all stages of the CDM project cycle in the CCU, UIA, financial institutions and at project proponents and Ugandan CDM consultants through contracting of business oriented, managerial/financial ITA.</li> <li>Support program management and all stages of CDM project development through own and ST inputs as well as managing other consultancy services provided by the project.</li> <li>Create de facto CDM "School" through cap. dev. of Ugandan experts to be involved in drafting of PINs and PDDs and participating in ERPA negotiations and a potential for expanding activities to other EA</li> </ol> | <p>International and national consultants</p> <p>CCU Staff</p> <p>UIA Staff</p> <p>Ugandan consultants/academia</p> <p>Transport</p> <p>Stationeries</p> |                      |

|   |  |  |  |
|---|--|--|--|
|   | <p>countries as potential market.</p> <p>4. Include monitoring capacity development for existing and new CDM projects in Uganda to increase CER value and enhance subsidy basis for developed experts over beyond PDD writing and create confidence among buyers that monitoring will be according to requirements.</p>  |  |  |
| <p><b>Result 2: Accessibility and quality of information on CDM possibilities and procedures in Uganda improved</b></p> | <p>1. Establish Website on the Designated National Authority as a sub page of the website of the Ministry of Water and Environment with state-of-the-art front page and links.</p> <p>2. Describe and upload information on national institutional setup, procedures and eligibility criteria for issuing of Letters of No Objection and Letters of Approval to inform buyers and project developers hereof.</p> <p>3. Uploading 2.nd National Communication of Uganda to the UNFCCC on emissions of greenhouse gases to supplement the present communication which is based on figures from 1993/96 when it is available from the ongoing UNEP-GEF project on this issue.</p> <p>4. Uploading of screening report on CDM potential in Uganda</p> <p>5. Uploading of latest Grid Emission Factor for the Ugandan National Power Grid</p> <p>6. Support participation by project staff, CCU staff and IUA staff in Carbon expo and/or other international carbon market exhibitions/conferences with a national stand for Uganda.</p> | <p>International and national consultants</p> <p>CCU Staff</p> <p>UIA Staff</p> <p>Transport</p> <p>4 years hosting fee for website of MWE</p> <p>Stationeries</p> <p>Carbon Expo logistics e.g. Travel, accommodation, entrance fees, exhibition fees, etc.</p>       |  |
| <p><b>Result 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported</b></p>           | <p>1. Screening Report on CDM potential in Uganda incl. list of project proponents with name, address, telephone number, resume of contacts made of at least 50+ potential CDM projects deemed financially viable and totalling not less than yearly total of 500.000 tons CO<sub>2</sub>-e, or document that this is not possible using existing methodologies,(excl. AF, but incl. PoA)</p> <p>2. Update existing national grid emissions factor for the Ugandan national grid</p> <p>3. Establish funding mechanism for CDM project support (capacity issues, all stages of transaction + other capacity)</p> <p>4. Funding of all steps of CDM project development (PIN, PDD; validation; support to ERPA negotiations and monitoring).</p> <p>5. Relevant financial institutions trained in understanding how they can contribute to making a potential CDM project bankable.</p>   | <p>International and national consultants</p> <p>CCU Staff</p> <p>UIA Staff</p> <p>MEMD</p> <p>Ugandan consultants/academia</p> <p>Project proponents</p> <p>Financial Institutions</p> <p>Transport</p> <p>Stationeries</p> <p>Funding of CDM project development</p> |  |

## 5.2 Updated Logical framework

|   | Indicators   | Source of Verification   | Assumptions   |
|---|--|--|---|
| <p><b>Overall Objective:</b><br/>To enable Uganda to benefit from the Clean Development Mechanism of the Kyoto Protocol.</p>  | Final Report referring to and elaborating on project achievements approved by Steering Committee   | Final Report   | <ul style="list-style-type: none"> <li>Registration regime for CDM projects at CDM EB is in place also after 2012.</li> </ul>   |
| <p><b>Specific Objective:</b><br/>To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions.</p> | A number of Ugandan CDM project appear on <a href="http://www.unfccc.int">www.unfccc.int</a> as registered CDM projects  | <a href="http://www.unfccc.int">www.unfccc.int</a><br>Final Report   | <ul style="list-style-type: none"> <li>Modalities for project management and disbursement of funds are implemented according to the agreement with MWE</li> </ul>   |
| <p><b>Result 1: The capacity of the main stakeholders on CDM project formulation strengthened</b></p>   | <ul style="list-style-type: none"> <li>CCU staff able to promote CDM in Uganda</li> <li>Public, private and civil society stakeholders engaged in identification of potential CDM projects and</li> <li>Public, private and civil society stakeholders engaged in drafting PINs, PDDs, ERPAs and monitoring reports</li> <li>Number of Ugandan Consultants trained in PIN and PDD Writing</li> <li>Number of training courses for Ugandan CDM stakeholders</li> <li>Number of Ugandans trained in ERPA negotiations</li> <li>Capacity building undertaken in a range of sectors</li> <li>Standardised baselines developed under the project</li> </ul> | <ul style="list-style-type: none"> <li>Project Progress report</li> <li>Evaluation reports</li> <li>Meeting minutes</li> <li>Interviews</li> <li>Results report or Annual reports</li> </ul> | <ul style="list-style-type: none"> <li>Approval by the top and senior management of the MoWE to conduct the activities required</li> <li>Availability and interest and willingness by MoWE top managers and assigned staff to participate and cooperate</li> <li>Availability of adequate office facilities and staff to participate in the project</li> <li>Availability of trainees to be trained in PIN and PDD writing and ERPA negotiations</li> </ul> |
| <p><b>Result 2: Accessibility and quality of information on</b></p>   | <ul style="list-style-type: none"> <li>Web site established with uptime above 90%</li> <li>Staff able to design,</li> </ul>  | <ul style="list-style-type: none"> <li>Website established including             <ul style="list-style-type: none"> <li>o 2<sup>nd</sup> national</li> </ul> </li> </ul>                     | <ul style="list-style-type: none"> <li>A reliable or efficient website host that would ensure the target uptime for the</li> </ul>  |

|   |   |  |  |
|---|---|--|--|
| <p><b>CDM possibilities and procedures in Uganda improved</b></p>   | <p>update and maintain a website</p> <ul style="list-style-type: none"> <li>• Satisfaction of users</li> <li>• Website used (number of hits)</li> <li>• Interested stakeholders use website to communicate</li> <li>• Website positively evaluated during ATR</li> <li>• Support given to project staff, CCU staff in Carbon Expos and other international carbon market exhibitions</li> <li>• Second National Communication uploaded to the website</li> <li>• LoA/ LNO eligibility criteria plus sustainable development criteria uploaded on BTC website.</li> </ul>  | <p>communication</p> <ul style="list-style-type: none"> <li>○ Eligibility criteria for LON</li> <li>○ Eligibility criteria for LOA</li> <li>○ Procedures for application of LON/LOA</li> <li>○ Report on screening of Uganda for potential CDM projects</li> <li>• Evaluation reports</li> <li>• Progress reports</li> </ul>   | <p>website.</p> <ul style="list-style-type: none"> <li>• Needed information to be included on the website to attract stakeholders looking for that information.</li> <li>• Cooperation from IT staff of MWE concerning establishment of a DNA part of the website</li> <li>• Cooperation from CCU staff of MWE concerning establishment of a DNA part of the website</li> </ul>  |
| <p><b>Result 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported</b></p> | <ul style="list-style-type: none"> <li>• A number of potential CDM projects in Uganda identified</li> <li>• Number of PINs, PDDs, ERPAs on CDM projects supported</li> <li>• Number of CDM projects registered</li> <li>• Number of ERPAs signed with buyers</li> <li>• Number of monitoring reports verified by Designated Operational Entities</li> <li>• Number of Certified Emission Reductions (CER) issued</li> <li>• Number of projects that have received financial advisory services from the project</li> <li>• Updated National Grid Emission Factor</li> <li>• CDM projects supported from a number of sectors</li> </ul> | <ul style="list-style-type: none"> <li>• Project Progress reports</li> <li>• Evaluation reports</li> <li>• Minutes of meetings</li> <li>• PINs submitted for issuing of LoN</li> <li>• LON issued by DNA</li> <li>• PDDs submitted for LOA</li> <li>• LOA issued by DNA</li> <li>• PDD submitted for validation</li> <li>• Validation reports available</li> <li>• ERPAs signed with buyers</li> <li>• Registration by EB CDM and projects are listed in UNFCCC website for registered CDM projects</li> </ul> | <ul style="list-style-type: none"> <li>• Cooperation of CCU, UIA on project implementation when participating in the management of the project</li> <li>• Physical availability of CDM potential in Uganda</li> <li>• Financing available for CDM project implementation</li> <li>• Finance available for actual project implementation'</li> <li>• Project developers will require support in financial advisory services.</li> </ul> |

| N°  | Activities  | Means   | Costs (Euro) See 4.3 |
|---|---|---|----------------------|
| <p><b>Result 1: The capacity of the main stakeholders on CDM project formulation strengthened</b></p>                   | <ol style="list-style-type: none"> <li>1. Support capacity building on all stages of the CDM project cycle in the CCU, UIA, financial institutions and at project proponents and Ugandan CDM consultants through contracting of business oriented, managerial/financial ITA.</li> <li>2. Support program management and all stages of CDM project development through own and ST inputs as well as managing other consultancy services provided by the project.</li> <li>3. Create de facto CDM "School" through cap. dev. of Ugandan experts to be involved in drafting of PINs and PDDs and participating in ERPA negotiations and a potential for expanding activities to other EA countries as potential market.</li> <li>4. Include monitoring capacity development for existing and new CDM projects in Uganda to increase CER value and enhance subsidy basis for developed experts over beyond PDD writing and create confidence among buyers that monitoring will be according to requirements.</li> </ol>   | <p>International and national consultants<br/>CCU Staff<br/>UIA Staff<br/>Ugandan consultants/academia<br/>Transport<br/>Stationeries</p>   |                      |
| <p><b>Result 2: Accessibility and quality of information on CDM possibilities and procedures in Uganda improved</b></p> | <ol style="list-style-type: none"> <li>1. Establish Website on the Designated National Authority as a sub page of the website of the Ministry of Water and Environment with state-of-the-art front page and links.</li> <li>2. Describe and upload information on national institutional setup, procedures and eligibility criteria for issuing of Letters of No Objection and Letters of Approval to inform buyers and project developers hereof.</li> <li>3. Uploading 2.nd National Communication of Uganda to the UNFCCC on emissions of greenhouse gases to supplement the present communication which is based on figures from 1993/96 when it is available from the ongoing UNEP-GEF project on this issue.</li> <li>4. Uploading of screening report on CDM potential in Uganda</li> <li>5. Uploading of latest Grid Emission Factor for the Ugandan National Power Grid</li> <li>6. Support participation by project staff, CCU staff and IUA staff in Carbon expo and/or other international carbon market exhibitions/conferences with a national stand for Uganda.</li> </ol> | <p>International and national consultants<br/>CCU Staff<br/>UIA Staff<br/>Transport<br/>4 years hosting fee for website of MWE<br/>Stationeries<br/>Carbon Expo logistics e.g. Travel, accommodation, entrance fees, exhibition fees etc.</p> |                      |

|   |   |   |  |
|---|---|---|--|
| <p><b>Result 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported</b></p> | <p>1. Screening Report on CDM potential in Uganda incl. list of project proponents with name, address, telephone number, resume of contacts made of at least 50+ potential CDM projects deemed financially viable and totalling not less than yearly total of 500.000 tons CO<sub>2</sub>-e, or document that this is not possible using existing methodologies,(excl. AF, but incl. PoA)</p> <p>2. Update existing national grid emissions factor for the Ugandan national grid</p> <p>3. Establish funding mechanism for CDM project support (capacity issues, all stages of transaction + other capacity)</p> <p>4. Funding of all steps of CDM project development (PIN, PDD; validation; support to ERPA negotiations and monitoring).</p> | <p>International and national consultants<br/>CCU Staff<br/>UIA Staff<br/>MEMD<br/>Ugandan consultants/academia<br/>Project proponents<br/>Financial Institutions<br/>Transport<br/>Stationeries<br/>Funding of CDM project development</p> |  |
|---|---|---|--|

### 5.3 MoRe Results at a glance

|   |  |
|---|--|
| Logical framework's results or indicators modified in last 12 months? | YES  |
| Baseline Report registered on PIT?                                    | NO   |
| Planning MTR  | 01/07/2012                                 |
| Planning ETR  | 30/10/2014                                 |
| Backstopping missions since 01/01/2012                                | 7-18 February 2012 and 10-17 November 2012 |



## 5.4 “Budget versus current (y – m)” Report

## Budget vs Actuals (Year to Month, by Quarter) of UGA0902111

Project Title : **Clean Development Mechanism Capacity Development Project**

Budget Version : **C03** Year to month : 31/12/2012

Currency : **EUR**

YTM : **Report includes all closed transactions until the end date of the closed closing**

| Status   | Fin Mode                        | Amount              | 2011                | 2012             |                   |                  |                  | Total             | Total Exp.        | Balance             | % Exec              |              |
|----------|---------------------------------|---------------------|---------------------|------------------|-------------------|------------------|------------------|-------------------|-------------------|---------------------|---------------------|--------------|
|          |                                 |                     |                     | Q1               | Q2                | Q3               | Q4               |                   |                   |                     |                     |              |
| <b>A</b> | <b>TO STRENGTHEN TECHNICAL</b>  | <b>1.515.878,00</b> | <b>0,00</b>         | <b>59.413,31</b> | <b>56.160,00</b>  | <b>51.104,82</b> | <b>84.280,83</b> | <b>250.958,95</b> | <b>250.958,95</b> | <b>1.264.919,05</b> | <b>17%</b>          |              |
|          | 01 The capacity of the main     | 829.736,00          | 0,00                | 56.069,51        | 56.160,00         | 48.447,00        | 66.439,10        | 227.115,61        | 227.115,61        | 602.620,39          | 27%                 |              |
|          | 01 Support capacity building    | 716.336,00          | 0,00                | 56.069,51        | 56.160,00         | 48.447,00        | 64.356,96        | 225.033,47        | 225.033,47        | 491.302,53          | 31%                 |              |
|          | 02 CDM school                   | 113.400,00          | 0,00                |                  |                   |                  | 2.082,14         | 2.082,14          | 2.082,14          | 111.317,86          | 2%                  |              |
|          | 02 Accessibility and quality of | 27.000,00           | 0,00                | 813,32           |                   |                  | 859,88           | 1.673,20          | 1.673,20          | 25.326,80           | 6%                  |              |
|          | 01 Establish Website on the     | 11.000,00           | 0,00                | 581,89           |                   |                  | 859,88           | 1.441,77          | 1.441,77          | 9.558,23            | 13%                 |              |
|          | 02 Support participation in     | 16.000,00           | 0,00                | 231,43           |                   |                  |                  | 231,43            | 231,43            | 15.768,57           | 1%                  |              |
|          | 03 The process for registration | 659.142,00          | 0,00                | 2.530,47         |                   | 2.657,82         | 16.981,85        | 22.170,14         | 22.170,14         | 636.971,86          | 3%                  |              |
|          | 01 Update existing national     | 35.000,00           | 0,00                |                  |                   |                  |                  |                   | 0,00              | 35.000,00           | 0%                  |              |
|          | 02 Establish funding            | 20.000,00           | 0,00                |                  |                   |                  | 282,53           | 282,53            | 282,53            | 19.717,47           | 1%                  |              |
|          | 03 Funding of all steps of CDM  | 454.142,00          | 0,00                | 2.530,47         |                   | 2.657,82         | 16.699,32        | 21.887,61         | 21.887,61         | 432.254,39          | 5%                  |              |
|          | 04 Developing Standardised      | 150.000,00          | 0,00                |                  |                   |                  |                  |                   | 0,00              | 150.000,00          | 0%                  |              |
| <b>X</b> | <b>BUDGETARY RESERVE (MAX)</b>  | <b>25.655,00</b>    | <b>2,08</b>         |                  |                   |                  |                  |                   | <b>2,08</b>       | <b>25.652,92</b>    | <b>0%</b>           |              |
|          | 01 Budgetary reserve            | 25.655,00           | 2,08                |                  |                   |                  |                  |                   | 2,08              | 25.652,92           | 0%                  |              |
|          | 01 Budgetary reserve            | 0,00                | 0,00                |                  |                   |                  |                  |                   | 0,00              | 0,00                | ??%                 |              |
|          | 02 Budgetary reserve REGIE      | 25.655,00           | 2,08                |                  |                   |                  |                  |                   | 2,08              | 25.652,92           | 0%                  |              |
| <b>Z</b> | <b>GENERAL MEANS</b>            | <b>458.467,00</b>   | <b>10.627,47</b>    | <b>53.535,65</b> | <b>12.691,17</b>  | <b>31.222,70</b> | <b>8.105,53</b>  | <b>105.555,05</b> | <b>116.182,53</b> | <b>342.284,47</b>   | <b>25%</b>          |              |
|          | 01 Human resources              | 68.160,00           | 5.948,83            | 2.576,87         | 1.371,60          | 1.837,77         | 2.864,13         | 8.650,37          | 14.599,20         | 53.560,80           | 21%                 |              |
|          |                                 | REGIE               | 2.000.000,00        | 10.629,55        | 112.948,96        | 68.851,17        | 82.327,52        | 92.386,36         | 356.514,01        | 367.143,56          | 1.632.856,44        | 18,00        |
|          |                                 | COGEST              | 0,00                | 0,00             |                   |                  |                  |                   | 0,00              | 0,00                | ??%                 |              |
|          |                                 | <b>TOTAL</b>        | <b>2.000.000,00</b> | <b>10.629,55</b> | <b>112.948,96</b> | <b>68.851,17</b> | <b>82.327,52</b> | <b>92.386,36</b>  | <b>356.514,01</b> | <b>367.143,56</b>   | <b>1.632.856,44</b> | <b>18,00</b> |



## Budget vs Actuals (Year to Month, by Quarter) of UGA0902111

Project Title : **Clean Development Mechanism Capacity Development Project**

Budget Version : **C03** Year to month : 31/12/2012

Currency : **EUR**

YTM : **Report includes all closed transactions until the end date of the closed closing**

| Status                      | Fin Mode     | Amount              | 2012             |                   |                  |                  |                  | Total             | Total Exp.        | Balance             | % Exec       |
|-----------------------------|--------------|---------------------|------------------|-------------------|------------------|------------------|------------------|-------------------|-------------------|---------------------|--------------|
|                             |              |                     | 2011             | Q1                | Q2               | Q3               | Q4               |                   |                   |                     |              |
| 01 Technical assistance     | REGIE        | 46.560,00           | 5.654,53         | 1.655,51          | 388,89           | 0,00             |                  | 2.044,39          | 7.698,92          | 38.861,08           | 17%          |
| 02 Other staff costs        | REGIE        | 21.600,00           | 294,30           | 921,37            | 982,71           | 1.837,77         | 2.864,13         | 6.605,98          | 6.900,28          | 14.699,72           | 32%          |
| <b>02 Investments</b>       |              | <b>97.657,00</b>    | <b>0,00</b>      | <b>45.899,89</b>  | <b>2.033,58</b>  | <b>14.993,93</b> | <b>528,62</b>    | <b>63.455,82</b>  | <b>63.455,83</b>  | <b>34.201,17</b>    | <b>65%</b>   |
| 01 Vehicules                | REGIE        | 42.500,00           | 0,00             | 42.804,17         |                  |                  | 460,52           | 43.264,69         | 43.264,69         | -764,69             | 102%         |
| 02 Office equipement        | REGIE        | 14.497,00           | 0,00             | 3.069,08          | 1.507,02         | 4.117,58         |                  | 8.693,68          | 8.693,68          | 5.803,32            | 60%          |
| 03 IT equipment             | REGIE        | 14.660,00           | 0,00             | 26,45             | 526,56           | 10.876,35        | 68,10            | 11.497,46         | 11.497,46         | 3.162,54            | 78%          |
| 04 Solar system for Climate | REGIE        | 26.000,00           | 0,00             |                   |                  |                  |                  |                   | 0,00              | 26.000,00           | 0%           |
| <b>03 Recurrent costs</b>   |              | <b>172.650,00</b>   | <b>3.332,81</b>  | <b>5.059,08</b>   | <b>7.609,55</b>  | <b>13.761,11</b> | <b>1.834,44</b>  | <b>28.264,18</b>  | <b>31.596,99</b>  | <b>141.053,01</b>   | <b>18%</b>   |
| 01 Office maintenance       | REGIE        | 2.640,00            | 0,00             | 258,88            | 2.926,10         | 756,05           | 80,59            | 4.021,61          | 4.021,61          | -1.381,61           | 152%         |
| 02 IT maintenance           | REGIE        | 4.320,00            | 123,51           |                   | 204,41           | 675,16           | 382,93           | 1.262,50          | 1.386,01          | 2.933,99            | 32%          |
| 03 Functioning vehicules &  | REGIE        | 21.600,00           | 2.547,27         | 2.133,37          | 1.458,83         | 2.845,47         | 205,91           | 6.643,58          | 9.190,85          | 12.409,15           | 43%          |
| 04 Telecommunications       | REGIE        | 9.600,00            | 89,64            | 197,57            | 87,07            | 299,52           | 199,23           | 783,38            | 873,02            | 8.726,98            | 9%           |
| 05 Internet                 | REGIE        | 24.000,00           | 0,00             | 29,76             | 192,82           | 7.438,96         | 429,35           | 8.090,88          | 8.090,88          | 15.909,12           | 34%          |
| 06 Stationnary              | REGIE        | 26.640,00           | 63,69            | 70,22             |                  | 1.310,69         | 72,67            | 1.453,59          | 1.517,28          | 25.122,72           | 6%           |
| 07 Missions in Uganda       | REGIE        | 11.250,00           | 0,00             |                   |                  |                  |                  |                   | 0,00              | 11.250,00           | 0%           |
| 08 International Missions   | REGIE        | 21.000,00           | 0,00             |                   |                  |                  |                  |                   | 0,00              | 21.000,00           | 0%           |
| 09 Representation &         | REGIE        | 38.400,00           | 368,75           | 993,66            | 151,39           | 123,82           | 305,11           | 1.573,98          | 1.942,73          | 36.457,27           | 5%           |
| 10 Financial costs          | REGIE        | 1.200,00            | 139,95           | 81,37             | 88,46            | 86,71            | 158,65           | 415,19            | 555,14            | 644,86              | 46%          |
|                             | REGIE        | 2.000.000,00        | 10.629,55        | 112.948,96        | 68.851,17        | 82.327,52        | 92.386,36        | 356.514,01        | 367.143,56        | 1.632.856,44        | 18,00        |
|                             | COGEST       | 0,00                | 0,00             |                   |                  |                  |                  |                   | 0,00              | 0,00                | ??           |
|                             | <b>TOTAL</b> | <b>2.000.000,00</b> | <b>10.629,55</b> | <b>112.948,96</b> | <b>68.851,17</b> | <b>82.327,52</b> | <b>92.386,36</b> | <b>356.514,01</b> | <b>367.143,56</b> | <b>1.632.856,44</b> | <b>18,00</b> |

Budget vs Actuals (Year to Month, by Quarter) of UGA0902111 Printed on 24/01/2013

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## Budget vs Actuals (Year to Month, by Quarter) of UGA0902111

Project Title : **Clean Development Mechanism Capacity Development Project**

Budget Version : **C03** Year to month : 31/12/2012

Currency : **EUR**

YtM : **Report includes all closed transactions until the end date of the closed closing**

|                                  | Status | Fin Mode | Amount            | 2012            |                 |               |                 |    | Total           | Total Exp.      | Balance           | % Exec    |
|----------------------------------|--------|----------|-------------------|-----------------|-----------------|---------------|-----------------|----|-----------------|-----------------|-------------------|-----------|
|                                  |        |          |                   | 2011            | Q1              | Q2            | Q3              | Q4 |                 |                 |                   |           |
| 11 Other functioning             |        | REGIE    | 12.000,00         | 0,00            | 1.294,25        | 2.500,48      | 224,74          |    | 4.019,47        | 4.019,47        | 7.980,53          | 33%       |
| <b>04 Audit &amp; monitoring</b> |        |          | <b>120.000,00</b> | <b>1.345,83</b> | <b>1.676,44</b> | <b>629,89</b> | <b>2.878,34</b> |    | <b>5.184,68</b> | <b>6.530,51</b> | <b>113.469,49</b> | <b>5%</b> |
| 01 Monitoring & evaluation       |        | REGIE    | 60.000,00         | 0,00            |                 |               |                 |    |                 | 0,00            | 60.000,00         | 0%        |
| 02 Financial audits              |        | REGIE    | 30.000,00         | 25,15           |                 |               |                 |    |                 | 25,15           | 29.974,85         | 0%        |
| 03 Backstopping                  |        | REGIE    | 30.000,00         | 1.320,68        | 1.676,44        | 629,89        | 2.878,34        |    | 5.184,68        | 6.505,36        | 23.494,64         | 22%       |
| 99 Conversion rate adjustment    |        |          | 0,00              | 0,00            |                 |               |                 |    |                 | 0,00            | 0,00              | ??        |
| 98 Conversion rate adjustment    |        | REGIE    | 0,00              | 0,00            |                 |               |                 |    |                 | 0,00            | 0,00              | ??        |

|              |                     |                  |                   |                  |                  |                  |                   |                   |                     |              |
|--------------|---------------------|------------------|-------------------|------------------|------------------|------------------|-------------------|-------------------|---------------------|--------------|
| REGIE        | 2.000.000,00        | 10.629,55        | 112.948,96        | 68.851,17        | 82.327,52        | 92.386,36        | 356.514,01        | 367.143,56        | 1.632.856,44        | 18,00        |
| COGEST       | 0,00                | 0,00             |                   |                  |                  |                  |                   | 0,00              | 0,00                | ??           |
| <b>TOTAL</b> | <b>2.000.000,00</b> | <b>10.629,55</b> | <b>112.948,96</b> | <b>68.851,17</b> | <b>82.327,52</b> | <b>92.386,36</b> | <b>356.514,01</b> | <b>367.143,56</b> | <b>1.632.856,44</b> | <b>18,00</b> |



Budget vs Actuals (Year to Month, by Quarter) of UGA0902111 Printed on 24/01/2013

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## 5.5 Resources

Not applicable for the CDM Capacity Development Project

## 5.6 Decisions taken by the JLCB and follow-up

Provide an overview of the important strategic decisions taken by the JLCB and the follow-up of those decisions.

| Decision to take   |                          |        |        |                  | Action   |       |          | Follow-up   |             |
|--|--------------------------|--------|--------|------------------|--|-------|----------|---|-------------|
| Decision to take   | Period of identification | Timing | Source | Actor            | Action(s)  | Resp. | Deadline | Progress  | Status      |
| The Project Indicators needed to be revised                        | Q2 2012                  |        |        | Project team     | Revision of Project Indicators                         |       |          | The Project Indicators were revised and presented to the Project Steering Committee. The Project Steering Committee approved the revised indicators at its third session in Q4 of 2012. | completed   |
| Application and selection procedures for CDM Trainees and Projects | Q4 2011                  |        |        | Project team     | Prepare application and selection procedures           |       |          | The application and selection procedures were finalized in Q1 of 2012 and were presented to and approved the Project Steering Committee at its second session in Q2 of 2012.            | completed   |
| Installation of solar PV system at CCU offices                     | Q2 2012                  |        |        | Project Team/BTC | Prepare tender documentation to source solar PV system |       |          | The tender documentation has been prepared but is waiting for final inputs and approval by BTC.   | In progress |