



CTB



Republic of Uganda
MINISTRY OF WATER & ENVIRONMENT
CLIMATE CHANGE UNIT

ANNUAL REPORT 2011

CDM CAPACITY DEVELOPMENT PROJECT

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Acronyms

AF	Afforestation
BTC	Belgian Technical Cooperation
CCU	Climate Change Unit
CDM	Clean Development Mechanism
CERs	Certified Emission Reductions
CME	Coordinating and Managing Entity
COP	Conference of Parties
CPAs	CDM Programme Activities
DNA	Designated National Authority
EB	Executive Board
ERPA	Emission Reduction Purchase Agreement
FIT	Financial Information Tool
FPS	Belgian Federal Public Service
GEF	Global Environment Facility
GIZ	The Deutsche Gesellschaft für Internationale Zusammenarbeit
ITA	International Technical Advisor
KfW	Kreditanstalt für Wiederaufbau
M&E	Monitoring and Evaluation
MFPEd	Ministry of Finance, Planning and Economic Development
NAMAs	Nationally Appropriate Mitigation Actions
NEMA	National Environment Management Authority
NTA	National Technical Advisor
PDD	Project Design Document
PINs	Project Idea Notes
PoAs	Programme of Activities
TA	Technical Advisors
TFF	Technical and Financial File
UIA	Uganda Investment Authority
UNFCCC	United Nations Framework Convention on Climate Change

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1 Project form

Project name	CDM CAPACITY DEVELOPMENT PROJECT
Project Code	UGA 0902111
Location	Uganda
Budget	Euro 2,000,000
Key persons	<p>Government of Uganda – Paul Isabirye-Coordinator, Climate Change Unit; Tel: +256772592032; Email: paul_isabirye@yahoo.com – Chebet Maikut-Principal Programme Officer-Mitigation, Climate Change Unit; Tel: +256752609414; Email: chmaikut@yahoo.com</p> <p>BTC Uganda – Nebeyu Shone-Resident Representative, Tel: +256414230543; Email: nebeyu.shone@btctb.org – Rose Athieno Kato-Senior Programme Officer, Tel: +256414230543; Email: rose.kato@btctb.org – Daniel Lubanga, APO/SPOC; Tel: +256414230543; Email: daniel.lubanga@btctb.org</p> <p>Project Technical Team – Stephen Mutimba- Managing Director, Camco Advisory Services (Kenya) Ltd; Tel: +254736721680; Email: Stephen.Mutimba@camcoglobal.com – Adriaan Tas-International Technical Advisor; Tel: +256756449854 +254-726385657; Email: adriaan@carbonafrica.co.ke – Bernard Namanya - National Technical Advisor; Tel: +256772438417; Email: bernard@twesigyenamanya.com</p>
Partner Institution	Ministry of Water and Environment, Climate Change Unit
Date of implementation Agreement	24 th November 2010
Date of starting project activities	1 st October 2011
Duration (months)	38 months
Target groups	Government and private institutions/individuals in the sectors of Environment, Climate, Industry
Global Objective	“To enable Uganda to benefit from the Clean Development Mechanism of the Kyoto Protocol”.
Specific Objective	“To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions”.
Results	<p>Result 1: The capacity of the main stakeholders on CDM project formulation strengthened.</p> <p>Result 2: Accessibility and quality of information on CDM possibilities in Uganda improved.</p> <p>Result 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported.</p>

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2 Summary

2.1 Analysis of the intervention¹

Intervention logic	Efficiency	Effectiveness	Sustainability
Specific objective	X	X	X
Result 1	X	X	X
Result 2	X	X	X
Result 3	X	X	X

Budget	Expenditure per year	Total expenditure year N (31/12/2011)	Balance of the budget	Execution rate
€2.000.000,00	€10.086,48	€10.086,48	€1.989.913,52	1%

2.2 Key elements

Overall progress of the project

Actual project implementation started on the 1st October 2011. The Project Steering Committee meeting was held on the 24th October 2011. The Steering Committee approved the project's budget and work plan subject to the project team addressing some of the concerns that were raised at the same meeting. The concerns of the Government that were raised at the said steering committee related to the need by the project to place more emphasis on public institutions/individuals rather than focusing most of the activities on the private sector.

Following the raising of these concerns, the project team undertook further consultations with various Government agencies in order to generate consensus on the issues raised. This pre-occupied the project team during most of Q4 2011.

In summary, the project has undertaken the following activities since its start:

- The project team has developed elaborate details on the implementation of the capacity building component given that this was not well explained in the TFF.

¹ The criterion cannot be assessed because the intervention has just started. Implementation of the project began on 1st October 2011 and no concrete project activities have been implemented as yet.

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- The project team has formulated the following activities to enhance the capacity of government in the development and promotion of CDM and low-carbon projects in Uganda:
 - Development of a Policy PoA;
 - Development of one or more standardized baselines;
 - Development of a national Grid Emission Factor;
 - Development of national benchmarks for investment analysis;
 - Training of a representative from UIA in finance raising activities for CDM project activities.
- The project team has initiated the process of drafting a call for applications for CDM consultant trainees.
- The project is undertaking discussions with the CCU to determine avenues through which the existing website that has recently been developed (<http://www.ccu.go.ug/>) can be improved with a special focus on the CDM pages.
- The project is currently conducting a review of existing DNA procedures.
- The project team has commenced preparation of the screening report on CDM potential in Uganda and will build on a number of reports on the CDM potential in Uganda that are already in existence.
- The project team designed a project brochure outlining the project activities that was exhibited at the Ugandan stand at the United Nations Climate Change Conference in Durban in November/December 2011.
- The project is exploring the possibility of providing technical and financial support to the following:
 - World Bank/NEMA Programme of Activities on composting of municipal waste. This Programme of Activities has already been registered by the CDM Executive Board but is currently struggling with the monitoring of the actual emission reductions.
 - CO2 Logic, a Belgium based carbon project developer, has approached the project to ask for assistance in the development of a cook stove programme of activities in Uganda.

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- The project has had discussions with a 2 MW, grid-connected solar PV project.
- The project has had discussions with the KfW Carbon Fund for a potential collaboration on the development of CDM projects in Uganda.
- The project is considering two PINs for PoAs that have been prepared by the Belgian government.
- The project has had initial discussions with a number of players in the sugar sector for the development of bagasse-based cogeneration projects.

Office space for project operations

The project requires additional office space to accommodate 6 fulltime CDM trainees that will be recruited as part of the capacity building component of the project. The currently allocated office space for the CDM school trainees (CCU Boardroom) is inadequate.

Public sector participation in the project

CDM is a private sector driven activity and because of this, the majority of project activities as described in the TFF are heavily focused the private sector. Since the start of the project, the Government has expressed concern about the focus of the project on the private sector and requested the project team to involve public institutions/individuals in the activities of the project. The project team is addressing this difficulty through undertaking wide consultations with key Government players on avenues through which public institutions/individuals can participate in project activities. Modalities of engaging the various Government stakeholders including the exact number of government officers to involve will be determined in due course after further engagement.

Economic uncertainties-high inflation rates

The Ugandan economy continues to face high inflation rates. The high inflation rates are likely to have a substantial impact on budget performance of the project.

Uncertainties regarding the future international legal regime for CDM

The international community is yet to agree on a concrete legal regime for CDM after the expiry of the first commitment period of the Kyoto Protocol. Indeed countries such as Canada have announced a withdrawal from the Kyoto Protocol. As a result of the uncertainties in the carbon market,

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current prices for CDM credits are at a historic low which makes it less attractive for investors to invest in the development of the CDM component (especially for small projects).

2.3 Key Risks

- There is a risk that the project may not realise a sufficient number of bankable projects that are eligible for CDM in Uganda. While there are a lot of project ideas, very few of them are actually feasible.
- There is a risk the project will compete with existing initiatives
- There is a risk the project will not be able to attract proper trainees.
- The main partner in the project (i.e. CCU) is greatly understaffed given the amount of work they are currently confronted with. This could impact on the project in terms of failing to get timely input of CCU on requests from the project team.
- There is a risk that the project will fail to get adequate office space for CDM school trainees.

2.4 Key lessons learned and recommendations

Regular consultations/communication with the main project partner (CCU) on implementation of project activities is essential for the overall success of the project (there is need to institutionalize regular meetings).

Collaborate with existing initiatives and projects (both public and private) on the implementation of CDM projects. This will increase the probability of achieving the target of registering 7 CDM projects.

Select projects on the basis of non-competition. The project should support/catalyze the establishment of a mature CDM market in Uganda, not compete in it.

Develop a competitive selection procedure and an attractive compensation package for trainees to ensure highly qualified/motivated individuals are selected who can deliver on the job.

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3 Analysis of the intervention

3.1 Context

3.1.1 Evolution of the context

Public sector participation in the project

CDM is a private sector driven activity and because of this, the majority of project activities as described in the TFF are focused the private sector. Since the start of the project on the 1st October 2011, the Government has expressed concern about the focus of the project and requested the project team to involve public institutions/individuals in the activities of the project.

The project team is addressing this difficulty through undertaking wide consultations with key Government players on avenues through which public institutions can participate in project activities. Discussions are underway to determine ways through which Government institutions can participate in the project and the following potential areas are being explored:

- Development of the Grid Emission Factor: a person from the Ministry of Energy and Mineral Development could be involved and thereafter be responsible for the yearly updates to the Grid Emission Factor.
- Setting-up standardised baselines to enable the up-take of CDM in the country: This will require heavy Government involvement. Representatives from selected Ministries and public universities (e.g. Makerere University) can participate in setting up standardised baselines.
- Policy PoA in the context of the project: a public entity may take up the role of Coordinating and Managing Entity (CME) for such a project, and will, in that capacity, be responsible for several stages in the life of the project such as Monitoring, Reporting and Verification, inclusion of new CPAs, ERPA negotiations. A Policy PoA can be considered a precursor for a NAMA and it that sense the project will prepare Uganda for uptake of NAMAs.

Economic uncertainties-high inflation rates

The Ugandan economy continues to face high inflation rates. The core inflation rate stood at 29.2% in December 2011². The high inflation rates are likely to have a substantial impact on budget performance of the project. This is already putting pressure on some budget lines at the very

² See <http://www.bou.or.ug/bou/home.html>

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start of the project. For example, the project car turned out to be more expensive than originally planned.

Uncertainties regarding the future international legal regime for CDM

In the absence of a successor agreement to the Kyoto Protocol with clear and binding emission reduction targets, some uncertainties exist regarding the future of the CDM within the international climate change context. As a result of the uncertainties in the carbon market, current prices for CDM credits are at a historic low which makes it less and less attractive for projects to invest in the development of the CDM component (especially small projects).

Even if the CDM might become less important as a mechanism under future climate regimes, the principles behind the CDM (monitoring, reporting, verification) will remain very relevant for other, future mechanisms such as Nationally Appropriate Mitigation Actions (NAMAs).

To respond to these developments at the international level, the project has decided to include the development of a Policy Programme of Activities and a Standardized Baseline. These could be used as stepping stones towards the development of NAMAs in the future.

3.1.2 Institutional Anchoring

Score: Appropriate

The project is anchored in the Climate Change Unit (CCU) located within the Ministry of Water and Environment. Content-wise, the project team believes that the institutional location of the project is very appropriate. The CCU as the UNFCCC Focal Point for Uganda coordinates all climate change adaptation and mitigation activities by all stakeholders and is responsible for both national and international reporting on the progress of implementation. In line with the requirements of the Kyoto Protocol, the Minister of Water and Environment is the Designated National Authority (DNA) for the CDM, and the CCU is the secretariat of the DNA. The regular contact between the project team and the CCU will facilitate smooth implementation of most of the project activities including improvement of DNA approval procedures, website improvement, and capacity building of the CCU.

Even though the CCU is considered a very appropriate anchorage point for the project in terms of scope and content, it does pose some logistical and management challenges.

According to Article 5.3.1 of the TFF, the Ministry of Water and Environment will provide the office space for the project staff and for academia/consultants receiving capacity building as needed. However, since the start of the project, difficulties have been faced in getting

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adequate office space for trainees/consultants that will receive capacity building.

While the Ministry was able to allocate office space for technical advisors, it was found that there would be need to partition the office space that was allocated by the Government. Given that no budget was initially allocated for this item, the project had to seek approval from the Government of Uganda for budget modification but this took more time than anticipated.

The currently allocated office space for the CDM School is inadequate. This problem is being exacerbated by the demand for office space at CCU by technical advisors and consultants recruited by the Government and donors relating to other programmes such as the on-going climate change policy development.

In addition, the CCU is highly understaffed and is facing difficulties in managing the various climate change initiatives and programmes that are currently implemented in the country.

3.1.3 Execution Modalities

Score: (Appropriate)

The project team is of the opinion that the current execution modalities are appropriate. There is some degree of concern about the efficiency of the project steering committee established under the TFF in terms of being able to quickly resolve technical issues that might arise during project implementation, considering that some members of the committee are not technical experts on CDM. However, the project team is generally satisfied that any such emerging issues will be quickly resolved through consultations between BTC Uganda and the Ministry of Water and Environment.

3.1.4 Harmo-dynamics

There are potential opportunities for collaboration that will enable the project to register progress on some of the result areas. For example, KfW has established the "Future of the Carbon Market" foundation that intends to provide the necessary start-up capital for CDM projects right at the beginning, when private investors and banks are reluctant to invest any capital into projects. Given that the lack of capital to invest in CDM projects is one of the identified barriers, it is the belief of the project team that initiatives such as that of KfW may help in overcoming some of the barriers. Besides KfW, there are other development partners that are active in the area of CDM, including Bio Invest, GIZ that will soon launch a new programme on CDM, and the World Bank that has successfully

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supported the registration of some CDM projects. Accordingly, the project team will seek to proactively engage such partners with a view of identifying opportunities for collaboration. Once such opportunities have been identified, they will be discussed with BTC so as to determine the modalities of collaboration.

The project team however, notes that there seems to be too many players in Uganda's young carbon market which may create unnecessary competition and duplication of activities thereby impeding the achievement of some of the intended results of the project. In order to avoid new projects duplicating activities that are already being implemented by this project, it may be worthwhile for BTC to closely follow discussions on new projects in the donor Thematic Group on Climate Change.

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3.2 Specific objective

3.2.1 Indicators

Specific objective: To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions.						
Indicators	Baseline value	Progress year N-1	Progress year N	Target year N	End Target	Comments
Number of potential CDM projects identified.	25	X	N/A	N/A	45	<p>The end target in the TFF is 20 potential CDM projects but it does not take into account the baseline value which stood at 25 potential CDM projects at the start of the project (UIA database). If the project successfully identifies 20 potential CDM projects over and above those already identified (baseline value), then the revised end target will be 45 potential CDM projects.</p> <p>The source of this data is the Uganda Investment Authority that maintains a database of CDM projects at various stages of the registration process. The database does not seem to be regularly updated and as such may not reflect an accurate picture of the status quo.</p> <p>The project only started recently. No progress has been made on the indicator.</p>

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Specific objective: To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions.						
Indicators	Baseline value	Progress year N-1	Progress year N	Target year N	End Target	Comments
Number of PINs developed.	25	X	N/A	N/A	45	<p>The end target in the TFF is 20 PINs developed but it does not take into account the baseline value which stood at 25 PINs at the start of the project (UIA database). If the project successfully develops 20 PINs over and above those already developed (baseline value), then the revised end target will be 45 PINs developed.</p> <p>The source of this data is the Uganda Investment Authority that maintains a database of CDM projects at various stages of the registration process. The database does not seem to be regularly updated and as such may not reflect an accurate picture of the status quo.</p> <p>The project only started recently. No progress has been made on the indicator.</p>
Number of PDDs developed.	11	X	N/A	N/A	19	<p>The end target in the TFF is 8 PDDs developed but it does not take into account the baseline value which stood at 11 PDDs at the start of the project (UNFCCC database). If the project successfully develops 8 PDDs over and above those already developed (baseline value), then the revised end target will be 19 PDDs developed.</p> <p>The source of this data is http://cdm.unfccc.int. As of the date of writing this report, 7 CDM projects and 10 PoAs have developed PDDs and are at validation stage. The number of CDM projects can go up due to other factors other than the intervention of the project. For instance, at the start of this project, the</p>

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Specific objective: To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions.						
Indicators	Baseline value	Progress year N-1	Progress year N	Target year N	End Target	Comments
						<p>number of CDM projects at validation stage stood at 11 and by the end of Q4 2011, the number had increased to 17-this increase is not attributable to this intervention.</p> <p>The project only started recently. No progress has been made on the indicator.</p>
Number of ERPAs signed.	X	X	N/A	N/A	8	<p>Information on signed ERPAs is kept confidential by most participants. It is therefore not possible to ascertain the baseline value of signed ERPAs.</p> <p>The project only started recently. No progress has been made on the indicator.</p>
The number of Ugandan CDM projects that appear on www.unfccc.int as registered CDM projects.	9	X	N/A	N/A	16	<p>The end target in the TFF is 7 CDM projects but it does not take into account the baseline value which stood at 9 CDM projects at the start of the project. If the project successfully adds 7 CDM projects over and above those already registered (baseline value), then the revised end target will be 16 CDM projects.</p> <p>The number of CDM projects registered on http://cdm.unfccc.int can go up due to other factors other than the intervention of the project. For instance, although the number of registered projects has increased from 9 to 10 since the start of the project, the increase in the number has nothing to do with the intervention of this project.</p> <p>The project only started recently. No progress has been made on the indicator.</p>
Number of CERs for all registered CDM projects supported by the project.	0	X	N/A	N/A	Highest possible figure above 25.000 CERs per year with 2.000.000 over CDM project crediting time as an indicator for a fully successful intervention.	The source of this data is http://cdm.unfccc.int . At the start of the intervention, registered projects had the potential to generate 221,886

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Specific objective: To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions.						
Indicators	Baseline value	Progress year N-1	Progress year N	Target year N	End Target	Comments
						metric tonnes of CO ₂ equivalent per annum. This went up significantly to 1,080,059 metric tonnes of CO ₂ equivalent per annum by end of the year due to the registration of Bujagali project. The increase in the number of CERs has nothing to do with the intervention of this project. The project only started recently. No progress has been made on the indicator.
Number of Ugandan consultants trained in PIN writing.	X	X	N/A	N/A	4-5	Due to the absence of a database of CDM consultants, it is not possible to ascertain the baseline value and the changes in the value over time. The project only started recently. No progress has been made on the indicator.
Number of Ugandan consultants trained in PDD writing.	X	X	N/A	N/A	4-5	Due to the absence of a database of CDM consultants, it is not possible to ascertain the baseline value and the changes in the value over time. The project only started recently. No progress has been made on the indicator.
Number of Ugandan consultants trained in ERPA negotiations writing.	X	X	N/A	N/A	2-4	Due to the absence of a database of CDM consultants, it is not possible to ascertain the baseline value and the changes in the value over time. The project only started recently. No progress has been made on the indicator.

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Specific objective: To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions.						
Indicators	Baseline value	Progress year N-1	Progress year N	Target year N	End Target	Comments
Number of CDM projects financed by financial institutions.	X	X	N/A	N/A	5-8	Due to the absence of a database of CDM consultants, it is not possible to ascertain the baseline value and the changes in the value over time. The project only started recently. No progress has been made on the indicator.

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3.2.2 Analysis of progress made

Relation between the results and the Specific Objective

The project started on the 1st October 2011, with the first quarter dedicated towards establishing an operational framework for project implementation. In this section the project team is required to describe how the achieved results foster change among the beneficiaries of the Specific Objective or not, bearing in mind that the achievement of results does not necessarily lead up to the attainment of the Specific Objective. Given that the project is yet to achieve any results, it is not possible to describe the information required in this section.

However, the project has so far undertaken the following activities since its start:

- The project team has developed elaborate details on the implementation of the capacity building component given that this was not well explained in the TFF. Capacity building activities will be carried out on three levels: (1) The project will create a CDM Hub (referred to as the “de facto CDM School” in the TFF) where aspirant carbon

developers and service providers will receive on-the-job training in all

the aspects of CDM project development and ERPA negotiations; (2) The project will provide capacity building through a series of dedicated training sessions and courses focusing on particular topics; and (3) The project will provide capacity building for CCU and UIA through day-to-day interaction and will mainly focus on the development and implementation of efficient DNA procedures, participation in national and international fora and regular updates on the developments in the CDM.

- The project team has formulated the following activities to enhance the capacity of government in the development and promotion of CDM and low-carbon projects in Uganda:
 - Development of a Policy PoA;
 - Development of one or more standardized baselines;
 - Development of a national Grid Emission Factor;
 - Development of national benchmarks for investment analysis;
 - Training of a representative from UIA in finance raising activities for CDM project activities.
- The project team has initiated the process of drafting a call for

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applications for CDM Hub consultant trainees. Applicants will be interviewed by a committee consisting of CCU, UIA, BTC and the project management.

- The project is undertaking discussions with the CCU to determine avenues through which the existing website that has recently been developed (<http://www.ccu.go.ug/>) can be improved with a special focus on the CDM pages.
- The project is currently conducting a review of existing DNA procedures. As part of this review, comparative studies will be undertaken referring to DNA procedures in other countries, with a view of improving the current DNA procedures in Uganda.
- The project team has commenced preparation of the screening report on CDM potential in Uganda and will build on a number of reports on the CDM potential in Uganda that are already in existence.
- The project team designed a project brochure outlining the project activities that was exhibited at the Ugandan stand at the United Nations Climate Change Conference in Durban in November/December 2011.
- The project is exploring the possibility of providing technical and financial support to the following:
 - World Bank/NEMA Programme of Activities on composting of municipal waste. This Programme of Activities has already been registered by the CDM Executive Board but is currently struggling with the monitoring of the actual emission reductions.
 - CO2 Logic, a Belgium based carbon project developer, has approached the project to ask for assistance in the development of a cook stove programme of activities in Uganda.
 - The project has had discussions with a 2 MW, grid-connected solar PV project.
 - The project has had discussions with the KfW Carbon Fund for a potential collaboration on the development of CDM projects in Uganda.
 - The project is considering two PINs for PoAs that have been prepared by the Belgian government.
 - The project has had initial discussions with a number of players in the sugar sector for the development of bagasse-based

cogeneration projects.

Sensitive (success or failure) factors and influencing factors

In this section, the project team is expected to describe the elements that fostered change – or not – with regards to the Specific Objective on the basis of the results. It is not possible to describe the required information given that the project is yet to achieve any results.

Unexpected results

No unexpected changes have occurred at the level of the Specific Objective.

3.2.3 Risks and Assumptions

Risk (describe)	Probability (score)	Potential implications		Risk Level (score)
		Describe	Score	
A major risk for the project is the lack of bankable projects that are eligible for CDM in Uganda. While there are a lot of project ideas, very few of them are actually feasible.	High	The potential implication is that the project will fail to meet the target of 7 registered CDM projects by the end of the intervention.	Medium	C
The CDM is very dynamic field and, in the absence of a successor agreement to the Kyoto Protocol, some uncertainties exist regarding the future of the CDM within the international climate change context. At the same time, new mechanisms are being negotiated that could complement, and in some cases partly replace the CDM.	Medium	The continued lack of an agreement at the international level regarding CDM could mean that the market will become less and less attractive to investors.	Medium	B
The CDM is primarily a private sector driven process and most of the project activities in the TFF focus on the private sector. This has drawn some criticism from the Government which wants a balance of activities between the public and private sector.	Low	The potential implication is that the Government may not fully support the project if it continues to place emphasis on the private sector.	Low	A
The main partner in the project (i.e. CCU) is greatly understaffed given the amount of work they are currently confronted with. The CCU has found it difficult to meet the demands of many stakeholders and have been forced to	Medium	The potential implication of this risk is that some project activities might be delayed due lack of timely response from CCU.	Low	B

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spend a disproportionate amount of time fulfilling external demands.				
The Ugandan economy continues to face high inflation rates. The core inflation rate stood at 29.2% in December 2011. The high inflation rates are likely to have a tremendous impact on budget performance of the project. This is already putting pressure on some budget lines at the very start of the project. For example, the project car turned out to be more expensive than originally planned.	Medium	The potential implication is that some project activities might not be fully covered by the budget.	Medium	B
The office space that has been availed by the Government is to accommodate technical advisors. It is not yet clear if the Government will provide adequate office space for the trainees.	Low	The potential implication is the lack of adequate office space to accommodate the CDM school participants.	Low	A
The project might not be able to attract a sufficient number of properly qualified Trainees to be trained at the CDM School.	Medium	The potential implication is that the project may not fully achieve the intended result of on-the-job training.	Medium	B

3.2.4 Quality criteria

Criteria	Score	Comments
Effectiveness	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011).
Efficiency	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011).
Sustainability	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011).
Relevance	A	On the basis of feedback received from potential project beneficiaries, the project team believes that the project is very relevant.

3.2.5 Potential Impact

The project has just started and is yet to move into the operational phase. Consequently, it is not possible to measure the potential impact of the project at this material time.

3.2.6 Recommendations

Recommendations	Source	Actor	Deadline

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Amend indicators in the TFF to reflect the realities of the carbon market in Uganda.	Sub-chapter 3.2.3	Project Technical Team BTC	Q1 of Year 2.
Closely follow developments regarding the CDM and the international climate change negotiations, and adapt the implementation of the project accordingly.	Sub-chapter 3.2.3	Technical Team	Throughout the life of the project.
Explore avenues through which the participation of government/public stakeholders can be guaranteed.	Sub-chapter 3.2.3	Technical Team	Q1 & Q2 of Year 2.
Obtain adequate office space for project operations.	Sub-chapter 3.2.3	Ministry of Water & Environment Climate Change Unit.	Q1 & Q2 of Year 2.
Collaborate with other interventions from development partners to increase the chances of achieving the target of 7 registered CDM projects by the end of intervention. This will require collaboration with partners such as KfW, GIZ and Bio Invest.	Sub-chapter 3.2.3	Technical Team BTC	Q1 to Q4 of Year 2 & 3.
Modify the budget to fit into the economic realities of the day.	Sub-chapter 3.2.3	Technical Team Project Steering Committee	Q1 of Year 2.
Identify project activities that have the potential to evolve into new mechanisms currently being considered at the international level-such as NAMAs.	Sub-chapter 3.2.3	Technical Team	Q1 to Q4 of Year 2 & 3.
Closely follow deliberations of the Donor Thematic Group on Climate Change to avoid duplication of project activities by new projects/programmes.	Sub-chapter 3.1.4	BTC	Q1 to Q4 of Year 2 & 3
Initiate/continue discussions on putting in place a more effective Project Steering Committee.	Sub-chapter 3.1.3	BTC Climate Change Unit	Q1 & Q2 of Year 2
Project should offer a competitive remuneration package to attract highly qualified trainees.	Sub-chapter 3.2.3	BTC	Q1 of Year 2

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3.3 Result 1: The capacity of the main stakeholders on CDM project formulation strengthened.

3.3.1 Indicators

Result 1: The capacity of the main stakeholders on CDM project formulation strengthened.						
Indicators	Baseline value	Progress year N-1	Progress year N	Target year N	End Target	Comments
CCU staff able to promote CDM in Uganda	CCU is currently not engaged in direct promotional activities for CDM.				CCU able to effectively promote CDM in Uganda.	This activity is yet to be implemented.
Public, private and civil society stakeholders engaged in identification of potential CDM projects	Private and public stakeholders are already engaged in identification of CDM projects. There seems to be less involvement of civil society stakeholders.				Public, private and civil society stakeholders are fully engaged in identification of potential CDM projects.	This activity is yet to be implemented.
Public, private and civil society stakeholders engaged in drafting PINs, PDDs, ERPAs and monitoring reports.	Private and public stakeholders are already engaged in identification of CDM projects. There seems to less involvement of civil society stakeholders.				Public, private and civil society stakeholders are fully engaged in drafting PINs, PDDs, ERPAs and monitoring reports.	This activity is yet to be implemented.

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3.3.2 Evaluation of activities

Activities (See guidelines for interpretation of scores)	Progress:				Comments (only if the value is C or D)
	A	B	C	D	
1. Support capacity building on all stages of the CDM project cycle in the CCU, UIA and at project proponents and Ugandan CDM consultants through contracting of business oriented, managerial/financial TA.		X			Major project activities are expected to commence after projects that will receive capacity building support are selected.
2. Support program management and all stages of CDM project development through own and short term inputs as well as managing other consultancy services provided by the project.		X			The advertisement for projects to be supported technically and financially is expected to go out by the 1 st April 2012.
3. Create de facto CDM "School" through capacity development of Ugandan experts to be involved in drafting of PINs and PDDs and participating in ERPA negotiations and a potential for expanding activities to other EA countries as potential market.			X		The call for trainees of the CDM school is expected to be published by the 1 st April 2012.
4. Include monitoring capacity development for existing and new CDM projects in Uganda to increase CER value and enhance subsidy basis for developed experts over beyond PDD writing and create confidence among buyers that monitoring will be according to requirements.		X			This activity will start after trainees in the CDM school have been selected.

3.3.3 Analysis of progress made

There has been a slight delay in launching the call for applications for trainees in the CDM school. The call for trainees of the CDM school is expected to be published by the 1st April 2012.

3.3.4 Risks and Assumptions

Risk (describe)	Probability (score)	Potential implications		Risk Level (score)
		Describe	Score	
There is a risk that CDM school trainees will not complete the training due to other job opportunities that may arise during the course of the training.	High	The potential implication of this is that the project will have to recruit a replacement, and then start the training afresh.	High	C
There is a risk that the trained CDM experts could change occupations, and not work in the CDM area as expected.	Medium	The potential implication is that the project will not achieve its target of creating a pool of CDM experts to provide services to CDM project developers.	Medium	B
There is a risk of recruiting CDM school participants that have no prior	Medium	The potential implication of this is that training of	Medium	B

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knowledge in CDM.		the CDM school participants will take longer than expected.		
There is a risk that Government officers that are expected to take part in capacity building activities of the project may not participate in the activities due to pressing demands of their current jobs.	Medium	The potential implication is that there will be limited capacity building in Government stakeholders.	Medium	B

3.3.5 Quality criteria

Criteria	Score	Comments
Effectiveness	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011 and no activities have been implemented in this result area).
Efficiency	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011 and no activities have been implemented in this result area).
Sustainability	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011 and no activities have been implemented in this result area).

3.3.6 Budget execution

The budget for this result area is €690.400, 00, and no expense has been incurred so far.

3.3.7 Recommendations

Recommendations	Source	Actor	Deadline
Ensure that committed trainees are recruited into the CDM school.	Sub-chapter 3.3.4	Project management team	Q2 Year 2
Ensure that trainees are paid an attractive remuneration package to keep them on the job till the end of training.	Sub-chapter 3.3.4	Project management team BTC	Q1 Year 2
Ensure that CDM school participants have the right skills and educational background to participate in the	Sub-chapter 3.3.4	Project management team	Q2 Year 2

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training.			
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3.4 Result 2: Accessibility and quality of information on CDM possibilities and procedures in Uganda improved

3.4.1 Indicators

Result 2: Accessibility and quality of information on CDM possibilities and procedures in Uganda improved						
Indicators	Baseline value	Progress year N-1	Progress year N	Target year N	End Target	Comments
Establish Website on the Designated National Authority as a sub-page of the website of the Ministry of Water and Environment with state-of-the-art front page and links.	The Climate Change Unit has a website in place with limited technical capabilities.				-Availability of a fully operational website. -Access to required information on the website. -CCU IT staff trained on website maintenance.	This activity implemented
Describe and upload information on national institutional setup, procedures and eligibility criteria for issuing of Letters of No Objection and Letters of Approval to inform buyers and project developers hereof.	Letters of Approval procedures are not uploaded on the website.				Improved and transparent DNA approval procedures in place.	This activity implemented
Uploading 2 nd National Communication of Uganda to the UNFCCC on emissions of greenhouse gases to supplement the present communication which is based on figures from 1993/96 when it is available from the ongoing UNEP-GEF project on this issue.	2 nd National Communication is not uploaded.				2 nd National Communication of Uganda uploaded on the website.	This activity implemented team has been that the prep 2 nd National Communication stalled.
Uploading of screening report on CDM potential in Uganda	Several screening reports conducted by other development partners are in existence.				Screening report uploaded on the website.	This activity implemented team will build existing report a screening CDM potential
Uploading of latest Grid Emission Factor for the Ugandan National Power Grid	Report on calculation of the Grid Emission Factor for Uganda (July 2008) is in existence. The report was done by Energy Changes GmbH under a contract with the Austrian Ministry of Environment.				Grid Emission Factor uploaded on the website.	This activity implemented
Support participation by project staff, CCU staff and UIA staff in Carbon Expo and/or other international carbon market exhibitions/conferences with a national stand for Uganda.	UIA currently participates in carbon expos.				Project staff and CCU/UIA staff have participated in carbon expo and the Africa	This activity implemented

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					Carbon Forum.	
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3.4.2 Evaluation of activities

Activities (See guidelines for interpretation of scores)	Progress:				Comments (only if the value is C or D)
	A	B	C	D	
1. Establish Website on the Designated National Authority as a sub-page of the website of the Ministry of Water and Environment with state-of-the-art front page and links.			X		Implementation of this activity is expected to start in Q1 (Year 2) and end in Q2 (Year 2).
2. Describe and upload information on national institutional setup, procedures and eligibility criteria for issuing of Letters of No Objection and Letters of Approval to inform buyers and project developers hereof.			X		Implementation of this activity is expected to start in Q1 (Year 2) and end in Q2 (Year 2).
3. Uploading 2 nd National Communication of Uganda to the UNFCCC on emissions of greenhouse gases to supplement the present communication which is based on figures from 1993/96 when it is available from the ongoing UNEP-GEF project on this issue.			X		This activity is likely to be delayed because the UNEP project that was supporting the preparation of the 2 nd National Communication is encountering major delays.
4. Uploading of screening report on CDM potential in Uganda.			X		Implementation of this activity is expected to start in Q1 (Year 2) and end in Q2 (Year 2).
5. Uploading of latest Grid Emission Factor for the Ugandan National Power Grid			X		Implementation of this activity is expected to start in Q1 (Year 2) and end in Q3 (Year 2).
6. Support participation by project staff, CCU staff and UIA staff in Carbon expo and/or other international carbon market exhibitions/conferences with a national stand for Uganda.			X		Implementation of this activity is expected to start in Q2 (Year 2).

3.4.3 Analysis of progress made

Most of the project activities in this result area have been delayed due to the need to put in place an operational framework for the project that addresses the concerns of the Government that the project should place more emphasis on public institutions/individuals rather than focusing most of the activities on the private sector. Government concerns on this issue were expressed during the Project Steering Committee meeting that took place on the 24th October 2011.

Following the raising of these concerns, the project team undertook wide stakeholder consultations with Government agencies in order to generate consensus on the issues raised. This pre-occupied the project team during most of Q4 2011.

There have also been delays in acquiring adequate office space and equipment for project operations as well as the recruitment of the project

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officer (Finance and Administration Officer) to support the work of the project as required by the TFF.

3.4.4 Risks and Assumptions

Risk (describe)	Probability (score)	Potential implications		Risk Level (score)
		Describe	Score	
There is a risk that the website will not be regularly updated after the end of the project.	Medium	The potential implication of this is that the critical information on CDM will not be easily accessible by the wider public.	Medium	C
There is a risk that the improved DNA approval procedures will not be approved fast enough by the relevant government organs.	Medium	The potential implication is that the project will not achieve its target of making approval procedures transparent and easily accessible.	Medium	B
There is a risk that the project will fail to identify a dedicated person within Government to work with the project in the development of the grid emission factor and thereafter update it regularly.	Medium	The potential implication is that the Grid Emission Factor will not be updated after the project has ended.	Medium	B

3.4.5 Quality criteria

Criteria	Score	Comments
Effectiveness	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011 and no activities have been implemented in this result area).
Efficiency	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011 and no activities have been implemented in this result area).
Sustainability	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011 and no activities have been implemented in this result area).

3.4.6 Budget execution

The budget for this result area is € 41.000,00 and no expense has been incurred so far.

3.4.7 Recommendations

Recommendations	Source	Actor	Deadline
Closely work with the Climate Change Unit to ensure that a dedicated IT person is identified and will be responsible for regularly updating the website.	Sub-chapter 3.4.4	Project management team Climate Change Unit	Q1 to Q4 Year 2
Lobby for the approval of improved DNA approval procedures once they have been submitted by the project team.	Sub-chapter 3.4.4	Climate Change Unit Project management team	Q2 to Q4 Year 2
Closely collaborate with the Ministry of Energy and Mineral	Sub-chapter 3.4.4	Project management	Q2 Year 2

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Development and other relevant Government departments to identify a dedicated Government official that will work with the project in the development of the Grid Emission Factor, and thereafter, be responsible for updating it.		team	
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3.5 Result 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported

3.5.1 Indicators

Result 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported						
Indicators	Baseline value	Progress year N-1	Progress year N	Target year N	End Target	Comments
Screening Report on CDM potential in Uganda incl. list of project proponents with name, address, telephone number, resume of contacts made of at least 50+ potential CDM projects deemed financially viable and totalling not less than yearly total of 500.000 tons CO ₂ -e, or document that this is not possible using existing methodologies,(excl. AF, but incl. PoA)	Several screening reports conducted by other development partners are in existence.				Screening report on CDM potential in Uganda.	Implementation activity is expected in Q1 (Year 2) and Q2 (Year 2).
Update existing national grid emissions factor for the Ugandan national grid	Report on calculation of the Grid Emission Factor for Uganda (July 2008) is in existence. The report was done by Energy Changes GmbH under a contract with the Austrian Ministry of Environment.				Updated grid emissions factor.	Implementation activity is expected in Q2 (Year 2) and Q3 (Year 2).
Establish funding mechanism for CDM project support (capacity issues, all stages of transaction + other capacity).	No funding mechanism for CDM projects in Uganda exists.				Funding mechanism for CDM project support in place.	A review on how to implement this will be carried out. Selection of CDM projects that will be financially supported. The financial support will vary from case to case. This activity is likely to be completed in Q2 & Q3 of Year 2.
Funding of all steps of CDM project development (PIN, PDD; validation; support to ERPA negotiations and monitoring) validation; support to ERPA negotiations and monitoring).	Investors face serious barriers relating to financing CDM projects.				Funding provided for all all steps of CDM project development.	This activity will be implemented after the selection of projects. Investors will receive technical support. This activity is likely to be completed in Q2 & Q3 of Year 2.
Relevant financial institutions trained in understanding how they can contribute to making a potential CDM project bankable.	Limited awareness in financial institutions on opportunities presented by CDM.				Training provided to financial institutions on available opportunities in CDM projects.	This activity is expected to take place in Q3 of Year 2.

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3.5.2 Evaluation of activities

Activities (See guidelines for interpretation of scores)	Progress:				Comments (only if the value is C or D)
	A	B	C	D	
1. Screening Report on CDM potential in Uganda incl. list of project proponents with name, address, telephone number, resume of contacts made of at least 50+ potential CDM projects deemed financially viable and totalling not less than yearly total of 500.000 tons CO2-e, or document that this is not possible using existing methodologies, (excl. AF, but incl. PoA).		X			Implementation of this activity is expected to start in Q1 (Year 2) and end in Q2 (Year 2).
2. Update existing national grid emissions factor for the Ugandan national grid.		X			Implementation of this activity is expected to start in Q2 (Year 2) and end in Q3 (Year 2).
3. Establish funding mechanism for CDM project support (capacity issues, all stages of transaction + other capacity).		X			This activity is likely to take place in Q2 & Q3 of Year 2.
4. Funding of all steps of CDM project development (PIN, PDD; validation; support to ERPA negotiations and monitoring) validation; support to ERPA negotiations and monitoring).		X			This activity will be implemented after the selection of projects that will receive technical and financial support. This activity is likely to take place in Q2 & Q3 of Year 2.
5. Relevant financial institutions trained in understanding how they can contribute to making a potential CDM project bankable.		X			This activity is likely to take place in Q3 Year 2

3.5.3 Analysis of progress made

Most activities are on schedule.

3.5.4 Risks and Assumptions

Risk (describe)	Probability (score)	Potential implications		Risk Level (score)
		Describe	Score	
There is a risk that the project will fail to identify a dedicated person within Government to work with the project in the development of the grid emission factor and thereafter update it regularly	Medium	The potential implication is that the Grid Emission Factor will not be updated after the project has ended.	Medium	B
There is a risk that few potential CDM projects will be identified.	High	The potential implication is that the project will not achieve its target of registering 7 CDM projects by the end of the intervention.	Medium	C
There is a risk that the continued lack of an international agreement on emissions targets will make the carbon market less attractive for investors.	Medium	The potential implication is that investors will be reluctant to invest in CDM.	Medium	B
There is a risk of competing with existing stakeholders and initiatives.	Medium	The potential implication is that some end targets may not be		B

		achieved.		
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3.5.5 Quality criteria

Criteria	Score	Comments
Effectiveness	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011 and no activities have been implemented in this result area).
Efficiency	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011 and no activities have been implemented in this result area).
Sustainability	X	The criterion cannot be assessed because the intervention has just started (implementation of the project began on 1 st October 2011 and no activities have been implemented in this result area).

3.5.6 Budget execution

The budget for this result area is € 860.000,00 and no expense has been incurred so far.

3.5.7 Recommendations

Recommendations	Source	Actor	Deadline
Closely collaborate with the Ministry of Energy and Mineral Development and other relevant Government departments to identify a dedicated Government official that will work with the project in the development of the Grid Emission Factor, and thereafter, be responsible for updating it.	Sub-chapter 3.5.4	Project management team	Q2 Year 2
Collaborate with other initiatives to increase the likelihood of selecting sufficient projects.	Sub-chapter 3.5.4	Project management team BTC	Q2 Year 2
Observe principle of non-competition in selection of projects.	Sub-chapter 3.5.4	Project management team	Q2 Year 2

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4 Transversal Themes

4.1 Gender

The project team will aim at ensuring that the gender balance is considered in the selection of trainees for the CDM School.

4.2 Environment

The successful implementation of this intervention will result in reduction of greenhouse gas emissions thus making an important contribution to the global fight against climate change.

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5 Decisions taken by the Project Steering Committee and follow-up

Decisions	Source	Actor	Time of decision	Status
There is need to put in place mechanisms and strategies to ensure that the beneficiaries of the CDM school continue to provide consultancy services even after the end of the project.	Minutes of the meeting held on 24 th October 2011.	Project management team	Q4 of Year 1	The project team reviewed the concerns of the Project Steering Committee and arrived at the conclusion that the availability of the trained experts after the end of the project can only be guaranteed by the demand for CDM development services. This is an issue that is largely regulated by the market forces of demand and supply.
There is need to ensure that Government stakeholders benefit from technical and financial support to be provided by the project.	Minutes of the meeting held on 24 th October 2011	Project management team	Q4 of Year 1	The project team held a series of consultative meetings with Government departments and agreed on the avenues through the participation of Government can be ensured. These avenues include development of a Grid Emission Factor, standardized baselines, and policy PoAs. The project team will work with Government stakeholders in implementing the above activities.

6 Lessons Learned

Lessons learned	Target audience
Regular consultations/communication with the main project partner (CCU) on implementation of project activities is essential for the overall success of the project ((there is need to institutionalize regular meetings).	Project team BTC
Collaborate with existing initiatives and projects (both public and private) on the implementation of CDM projects. This will increase the probability of achieving the target of registering 7 projects.	Project team BTC
Select projects on the basis of non-competition. The project should support/catalyze the establishment of a mature CDM market in Uganda, not compete in it.	Project team
Develop a competitive selection procedure and an attractive compensation package for trainees to ensure highly qualified/motivated individuals are selected who can deliver on the job.	Project team

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7 Annexes

7.1 Logical framework

	Indicators	Source of Verification	Assumptions
Overall Objective: To enable Uganda to benefit from the Clean Development Mechanism of the Kyoto Protocol.	Final Report referring to and elaborating on project achievements approved by Steering Committee	Final Report	<ul style="list-style-type: none"> Registration regime for CDM projects at CDM EB is in place also after 2012.
Specific Objective: To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including financing institutions.	A number of Ugandan CDM project appear on www.unfccc.int as registered CDM projects	www.unfccc.int Final Report	<ul style="list-style-type: none"> Modalities for project management and disbursement of funds are implemented according to the agreement with MWE
Result 1: The capacity of the main stakeholders on CDM project formulation strengthened	<ul style="list-style-type: none"> CCU staff able to promote CDM in Uganda Public, private and civil society stakeholders engaged in identification of potential CDM projects and Public, private and civil society stakeholders engaged in drafting PINs, PDDs, ERPA and monitoring reports 	<ul style="list-style-type: none"> Project Progress report Evaluation reports Meeting minutes Interviews 	<ul style="list-style-type: none"> Approval by the top and senior management of the MoWE to conduct the activities required Availability and interest and willingness by MoWE top managers and assigned staff to participate and cooperate Availability of adequate office facilities and staff to participate in the project
Result 2: Accessibility and quality of information on CDM possibilities and procedures in Uganda improved	<ul style="list-style-type: none"> Web site established with uptime above 90% Staff able to design, update and maintain a website Satisfaction of users Website used (number of hits) Interested stakeholders use website to communicate 	<ul style="list-style-type: none"> Website established including <ul style="list-style-type: none"> 2nd national communication Eligibility criteria for LON Eligibility criteria for LOA Procedures for 	<ul style="list-style-type: none"> Availability of website in continuously operation for the MWE. Access to needed information to be included in the website. Cooperation from IT staff of MWE concerning

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	<ul style="list-style-type: none"> Website positively evaluated during ATR 	<ul style="list-style-type: none"> application of LON/LOA <ul style="list-style-type: none"> Report on screening of Uganda for potential CDM projects Evaluation report Progress reports 	<ul style="list-style-type: none"> establishment of a DNA part of the website Cooperation from CCU staff of MWE concerning establishment of a DNA part of the website
Result 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported	<ul style="list-style-type: none"> A number of potential CDM projects in Uganda identified Number of PINs, PDDs, ERPAs on CDM projects supported Number of CDM projects registered Number of ERPAs signed with buyers Number of monitoring reports verified by Designated Operational Entities Number of Certified Emission Reductions (CER) issued 	<ul style="list-style-type: none"> Project Progress reports Evaluation reports Minutes of meetings PINs submitted for issuing of LoN LON issued by DNA PDDs submitted for LOA LOA issued by DNA PDD submitted for validation Validation reports available ERPAs signed with buyers Registration by EB CDM and projects are listed in UNFCCC website for registered CDM projects 	<ul style="list-style-type: none"> Cooperation of CCU, UIA on project implementation when participating in the management of the project Physical availability of CDM potential in Uganda Financing available for CDM project implementation Successful tendering of international consultants for PDD etc. writing and project validation

N°	Activities	Means	Costs (Euro) See 4.3
Result 1: The capacity of the main stakeholders on CDM project formulation strengthened	<ol style="list-style-type: none"> Support capacity building on all stages of the CDM project cycle in the CCU, UIA, financial institutions and at project proponents and Ugandan CDM consultants through contracting of business oriented, managerial/financial ITA. Support program management and all stages of CDM project development through own and ST inputs as well as managing other consultancy services provided by the project. Create de facto CDM "School" through cap. dev. of Ugandan experts to be involved in drafting of PINs and PDDs and participating in ERPA negotiations and a potential for expanding activities to other EA countries as potential market. Include monitoring capacity development for existing and new CDM projects 	International and national consultants CCU Staff UIA Staff Ugandan consultants/academia Transport Stationeries	

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	in Uganda to increase CER value and enhance subsidy basis for developed experts over beyond PDD writing and create confidence among buyers that monitoring will be according to requirements.		
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<p>Result 2: Accessibility and quality of information on CDM possibilities and procedures in Uganda improved</p>	<ol style="list-style-type: none"> 1. Establish Website on the Designated National Authority as a sub page of the website of the Ministry of Water and Environment with state-of-the-art front page and links. 2. Describe and upload information on national institutional setup, procedures and eligibility criteria for issuing of Letters of No Objection and Letters of Approval to inform buyers and project developers hereof. 3. Uploading 2.nd National Communication of Uganda to the UNFCCC on emissions of greenhouse gases to supplement the present communication which is based on figures from 1993/96 when it is available from the ongoing UNEP-GEF project on this issue. 4. Uploading of screening report on CDM potential in Uganda 5. Uploading of latest Grid Emission Factor for the Ugandan National Power Grid 6. Support participation by project staff, CCU staff and IUA staff in Carbon expo and/or other international carbon market exhibitions/conferences with a national stand for Uganda. 	<p>International and national consultants CCU Staff UIA Staff Transport 4 years hosting fee for website of MWE Stationaries Carbon Expo etc.: Travel, accommodation, entrance fees, exhibition fees etc.</p>	
<p>Result 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported</p>	<ol style="list-style-type: none"> 1. Screening Report on CDM potential in Uganda incl. list of project proponents with name, address, telephone number, resume of contacts made of at least 50+ potential CDM projects deemed financially viable and totalling not less than yearly total of 500.000 tons CO₂-e, or document that this is not possible using existing methodologies,(excl. AF, but incl. PoA) 2. Update existing national grid emissions factor for the Ugandan national grid 3. Establish funding mechanism for CDM project support (capacity issues, all stages of transaction + other capacity) 4. Funding of all steps of CDM project development (PIN, PDD; validation; support to ERPA negotiations and monitoring). 5. Relevant financial institutions trained in understanding how they can contribute to making a potential CDM project bankable. 	<p>International and national consultants CCU Staff UIA Staff MEMD Ugandan consultants/academia Project proponents Financial Institutions Transport Stationeries Funding of CDM project development</p>	

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	Logical of the intervention	Indicators	Sources of verification	Hypotheses
GO	Overall Objective: “To enable Uganda to benefit from the mechanisms for mitigation of Climate Change”	Final Report approved by Steering Committee	Final Report	<ul style="list-style-type: none"> Registration regime for CDM projects at CDM EB is in place also after 2012.
SO	Specific Objective: To strengthen technical capacity on CDM project formulation and create awareness of investment opportunities under the CDM among governmental institutions, project developers, including project-financing institutions.	A number of Ugandan CDM project appear on www.unfccc.int as registered CDM projects	www.unfccc.int Final Report	<ul style="list-style-type: none"> Modalities for project management and disbursement of funds are implemented according to the agreement with MWE
R 1	Result 1: The capacity of the main stakeholders on CDM project formulation strengthened	<ul style="list-style-type: none"> CCU staff able to promote CDM in Uganda Public, private and civil society stakeholders engaged in identification of potential CDM projects and Public, private and civil society stakeholders engaged in drafting PINs, PDDs, ERPAs and monitoring reports 	<ul style="list-style-type: none"> Project Progress report Evaluation reports Meeting minutes Interviews 	<ul style="list-style-type: none"> Approval by the top and senior management of the MoWE to conduct the activities required Availability and interest and willingness by MoWE top managers and assigned staff to participate and cooperate Availability of adequate office facilities and staff to participate in the project
R 2	Result 2: Accessibility and quality of information on CDM possibilities and procedures in Uganda improved	<ul style="list-style-type: none"> Web site established with uptime above 90% Staff able to design, update and maintain a website Satisfaction of users Website used (number of hits) Interested stakeholders use website to communicate Website positively evaluated during ATR 	<ul style="list-style-type: none"> Website established including <ul style="list-style-type: none"> 2nd national communication Eligibility criteria for LON Eligibility criteria for LOA Procedures for application of LON/LOA Report on screening of Uganda for potential CDM projects Evaluation report Progress reports 	<ul style="list-style-type: none"> Availability of website in continuously operation for the MWE. Access to needed information to be included in the website. Cooperation from IT staff of MWE concerning establishment of a DNA part of the website Cooperation from CCU staff of MWE concerning establishment of a DNA part of the website

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R 3	Result 3: The process for registration by the EB CDM of Ugandan CDM projects has been supported	<ul style="list-style-type: none"> Number of potential CDM projects in Uganda identified Number of PINs, PDDs, ERPAs on CDM projects supported Number of cdm projects registered Number of ERPAs signed with buyers Number of CDM projects financed by financial institutions Number of monitoring reports verified by Designated Operational Entities Number of Certified Emission Reductions (CER) issued 	<ul style="list-style-type: none"> Project Progress reports Evaluation reports Minutes of meetings PINs submitted for issuing of LoN LON issued by DNA PDDs submitted for LOA LOA issued by DNA PDD submitted for validation Validation reports available ERPAs signed with buyers Registration by EB CDM and projects are listed in UNFCCC website for registered CDM projects 	<ul style="list-style-type: none"> Cooperation of CCU, UIA on project implementation when participating in the management of the project Physical availability of CDM potential in Uganda Financing available for CDM project implementation Successful tendering of international consultants for PDD etc. writing and project validation
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	Activities to reach Result 1	Means	Belgian Contribution
R 1	<u>Result 1</u> Result 1: The capacity of the main stakeholders on CDM project formulation strengthened		Costs in Euros
A 1.1	1. Support capacity building on all stages of the CDM project cycle in the CCU, UIA and at project proponents and Ugandan CDM consultants through contracting of business oriented, managerial/financial TA.	International and national consultants CCU Staff UIA Staff Ugandan consultants/academia Transport Stationaries	678400
A 1.2	2. Support program management and all stages of CDM project development through own and ST inputs as well as managing other consultancy services provided by the project.	International and national consultants CCU Staff UIA Staff Ugandan consultants/academia Transport Stationaries	0
A 1.3	3. Create de facto CDM "School" through cap. dev. of Ugandan experts to be involved in drafting of PINs and PDDs and participating in ERPA negotiations and a potential for expanding activities to other EA countries as potential market.	International and national consultants UIA Staff Ugandan consultants/academia	0

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	potential market.	Transport Stationaries	
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A 1.4	4. Include monitoring capacity development for existing and new CDM projects in Uganda to increase CER value and enhance subsidy basis for developed experts over beyond PDD writing and create confidence among buyers that monitoring will be according to requirements.	International and national consultants UIA Staff Ugandan consultants/academia Transport Stationaries	0
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	Activities to reach Result 2	Means	Belgian Contribution
R 2	Result 2		Costs in Euros
A 2.1	1. Establish Website on the Designated National Authority as a sub page of the website of the Ministry of Water and Environment with state-of-the-art front page and links.	International and national consultants CCU Staff Stationaries	25000
A 2.2	2. Describe and upload information on national institutional setup, procedures and eligibility criteria for issuing of Letters of No Objection and Letters of Approval to inform buyers and project developers hereof.	International and national consultants CCU Staff Stationaries	0
A.2.3	3. Uploading 2.nd National Communication of Uganda to the UNFCCC on emissions of greenhouse gases to supplement the present communication which is based on figures from 1993/96 when it is available from the ongoing UNEP-GEF project on this issue.	International and national consultants CCU Staff Stationaries	0

A.2.4	4. Uploading of screening report on CDM potential in Uganda	International and national consultants CCU Staff UIA Staff	0
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		Stationaries	
A.2.5	5. Uploading of latest Grid Emission Factor for the Ugandan National Power Grid	International and national consultants CCU Staff Stationaries	0
A.2.6	6. Support participation by project staff, CCU staff and IUA staff in Carbon expo and/or other international carbon market exhibitions/conferences with a national stand for Uganda	International and national consultants CCU Staff UIA Staff Transport Stationaries	16000

	Activities to reach Result 3	Means	Belgian Contribution
R 3	Result 3		Costs in Euros
A.3.1	1. Screening Report on CDM potential in Uganda incl. list of project proponents with name, address, telephone number, resume of contacts made of at least 50+ potential CDM projects deemed financially viable and totalling not less than yearly total of 500.000 tons CO ₂ -e, or document that this is not possible using existing methodologies,(excl. AF, but incl. PoA)	International and national consultants CCU Staff UIA Staff Transport Stationaries	0
A.3.2	2. Update existing national grid emissions factor for the Ugandan national grid	International and national consultants UIA Staff Transport Stationaries	20000
A.3.3	3. Establish funding mechanism for CDM project support (capacity issues, all stages of transaction + other capacity)	International and national consultants CCU Staff UIA Staff Stationaries	20000

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A 3.4	4. Funding of all steps of CDM project development (PIN, PDD; validation; support to ERPA negotiations and monitoring).	International and national consultants UIA Staff Ugandan consultants/academia Transport, Stationaries	820000
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7.2 M&E activities

- Backstopping Mission by Patricia Grobden, FPS Health, Food Safety and Environment took place on the 21 – 30 October 2011
- Project Steering Committee took place on the 24th October 2011.

7.3 “Budget versus current (y – m)” Report

Budget vs Actuals (Year to Month) of UGA0902111

Project Title : **Clean Development Mechanism Capacity Development Project**

Budget Version: **C02** Year to month : **31/12/2011**

Currency : **EUR**

YTM : **Report includes all closed transactions until the end date of the chosen closing**

	Status	Fin Mode	Amount	Start to 2010	Expenses 2011	Total	Balance	% Exec
A TO STRENGTHEN TECHNICAL CAPACITY ON CDM PROJECT			1.591.400,00	0,00	0,00	0,00	1.591.400,00	0%
01 The capacity of the main stakeholders on CDM project			690.400,00	0,00	0,00	0,00	690.400,00	0%
01 Support capacity building on all stages of the CDM project		REGIE	690.400,00	0,00	0,00	0,00	690.400,00	0%
02 Accessibility and quality of information on CDM			41.000,00	0,00	0,00	0,00	41.000,00	0%
01 Establish Website on the Designated National Authority		REGIE	25.000,00	0,00	0,00	0,00	25.000,00	0%
02 Support participation in Carbon expo, etc		REGIE	16.000,00	0,00	0,00	0,00	16.000,00	0%
03 The process for registration by the EB CDM of Ugandan			860.000,00	0,00	0,00	0,00	860.000,00	0%
01 Update existing national grid emissions factor		REGIE	20.000,00	0,00	0,00	0,00	20.000,00	0%
02 Establish funding mechanism for CDM project support		REGIE	20.000,00	0,00	0,00	0,00	20.000,00	0%
03 Funding of all steps of CDM project development		REGIE	820.000,00	0,00	0,00	0,00	820.000,00	0%
X BUDGETARY RESERVE (MAX 5% * TOTAL ACTIVITIES)			25.655,00	0,00	2,08	2,08	25.652,92	0%
01 Budgetary reserve			25.655,00	0,00	2,08	2,08	25.652,92	0%
01 Budgetary reserve COGESTION		COGES	0,00	0,00	0,00	0,00	0,00	??%
02 Budgetary reserve REGIE		REGIE	25.655,00	0,00	2,08	2,08	25.652,92	0%
Z GENERAL MEANS			382.945,00	0,00	10.084,40	10.084,40	372.860,60	3%
01 Human resources			55.200,00	0,00	5.654,53	5.654,53	49.545,47	10%
01 Technical assistance		REGIE	43.200,00	0,00	5.654,53	5.654,53	37.545,47	13%
02 Other staff costs		REGIE	12.000,00	0,00	0,00	0,00	12.000,00	0%
02 Investments			65.095,00	0,00	0,00	0,00	65.095,00	0%
01 Vehicules		REGIE	42.500,00	0,00	0,00	0,00	42.500,00	0%
02 Office equipment		REGIE	13.950,00	0,00	0,00	0,00	13.950,00	0%
03 IT equipment		REGIE	8.645,00	0,00	0,00	0,00	8.645,00	0%
		REGIE	2.000.000,00	0,00	10.086,48	10.086,48	1.989.913,52	1%
		COGEST	0,00	0,00	0,00	0,00	0,00	??%
		TOTAL	2.000.000,00	0,00	10.086,48	10.086,48	1.989.913,52	1%



Budget vs Actuals (Year to Month) of UGA0902111 Printed on dinsdag 21 februari 2012

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Budget vs Actuals (Year to Month) of UGA0902111

Project Title : **Clean Development Mechanism Capacity Development Project**
 Budget Version: **C02**
 Currency : **EUR** Year to month : **31/12/2011**
 YTM : **Report includes all closed transactions until the end date of the chosen closing**

	Status	Fin Mode	Amount	Start to 2010	Expenses 2011	Total	Balance	% Exec
03 Recurrent costs (fonctionnement)			172.850,00	0,00	3.084,04	3.084,04	189.565,96	2%
01 Office maintenance		REGIE	2.840,00	0,00	0,00	0,00	2.840,00	0%
02 IT maintenance		REGIE	4.320,00	0,00	123,51	123,51	4.196,49	3%
03 Functioning vehicules & maintenance		REGIE	21.800,00	0,00	2.298,50	2.298,50	19.301,50	11%
04 Telecommunications		REGIE	9.800,00	0,00	89,64	89,64	9.510,36	1%
05 Internet		REGIE	24.000,00	0,00	0,00	0,00	24.000,00	0%
06 Stationnary		REGIE	28.840,00	0,00	63,69	63,69	28.576,31	0%
07 Missions in Uganda		REGIE	11.250,00	0,00	0,00	0,00	11.250,00	0%
08 International Missions		REGIE	21.000,00	0,00	0,00	0,00	21.000,00	0%
09 Representation & communication costs		REGIE	38.400,00	0,00	368,75	368,75	38.031,25	1%
10 Financial costs		REGIE	1.200,00	0,00	139,95	139,95	1.060,05	12%
11 Other functioning		REGIE	12.000,00	0,00	0,00	0,00	12.000,00	0%
04 Audit & monitoring			90.000,00	0,00	1.345,83	1.345,83	88.654,17	1%
01 Monitoring & evaluation		REGIE	60.000,00	0,00	0,00	0,00	60.000,00	0%
02 Financial audits		REGIE	18.000,00	0,00	25,15	25,15	17.974,85	0%
03 Backstopping		REGIE	12.000,00	0,00	1.320,68	1.320,68	10.679,32	11%
		REGIE	2.000.000,00	0,00	10.086,48	10.086,48	1.989.913,52	1%
		COGEST	0,00	0,00	0,00	0,00	0,00	??%
		TOTAL	2.000.000,00	0,00	10.086,48	10.086,48	1.989.913,52	1%



7.4 Beneficiaries

Effects of the intervention on the beneficiaries cannot be assessed because the project is yet to implement core activities.

7.5 Operational planning Q1-2012

OPERATIONAL PLANNING YEAR 2012 UGA 09 021 11 CDM CAPACITY DEVELOPMENT PROJECT



ANNUAL PLANNING OF THE ACTIVITIES –2012

CDM Capacity Development Project (Navision code: UGA 09 021 11)

Result 1: The capacity of the main stakeholders on CDM project formulation strengthened

Activities	Sub-activities	Q1	Q2	Q3	Q4	Person in charge
<i>Support capacity building on all stages of the CDM project cycle in the CCU, UIA and at project proponents and Ugandan CDM consultants through contracting of business oriented, managerial/financial TA.</i>	Preparation for the short course					ITA/NTA
	Implementation of the first short course					ITA/NTA
<i>Support program management and all stages of CDM project development through own and ST inputs as well as managing other consultancy services provided by the project</i>	Launch of the project					ITA/NTA/CCU/BTC
	Amendment of TFF Outputs/Indicators and budget modification.					ITA
	Preparation for and holding of 2 nd Project Steering Committee					ITA/NTA/CCU/BTC
<i>Create de facto CDM “School” through cap. dev. of Ugandan experts to be involved in drafting of PINs and PDDs and participating in ERPA negotiations and a potential for expanding activities to other EA countries as potential market.</i>	Drafting of application form for the trainees.					ITA
	Launch call for applications for trainees.					ITA
	Drafting of standard contract between the trainees and BTC.					NTA
	Draft selection criteria/scoring for assessment of the applicants for trainee positions.					ITA

	Prepare assignments for CDM trainees to facilitate selection process.					ITA
	Set up selection committee for CDM school trainees.					ITA/NTA/CCU
	Recruit CDM school trainees					ITA/NTA/CCU/BTC
	CDM school trainees receive induction training					ITA/NTA
	CDM school trainees start work on CDM projects					ITA/NTA
<i>Include monitoring capacity development for existing and new CDM projects in Uganda to increase CER value and enhance subsidy basis for developed experts over beyond PDD writing and create confidence among buyers that monitoring will be according to requirements.</i>	Development of monitoring plan					ITA/NTA/Trainees
	Support to development of monitoring database (if applicable)					ITA/NTA/Trainees
	Support to data collection during the monitoring process					ITA/NTA/Trainees
	Support to compilation of the monitoring report					ITA/NTA/Trainees

Result 2: Accessibility and quality of information on CDM possibilities and procedures in Uganda improved

Activities	Sub-activities	Q1	Q2	Q3	Q4	Person in charge
<i>Establish Website on the Designated National Authority as a sub page of the website of the Ministry of Water and Environment with state-of-the-art front page and links.</i>	Formulation of Terms of Reference for website design					NTA/CCU
	Procurement of website design consultant					NTA/CCU
	Development of website					NTA/CCU
	Launch of website					NTA/CCU

	Provide training for maintenance of website					NTA/CCU
<i>Describe and upload information on national institutional setup, procedures and eligibility criteria for issuing of Letters of No Objection and Letters of Approval to inform buyers and project developers hereof.</i>	Assessment of existing Approval procedures for issuing Letter of No Objection					NTA/CCU
	Revision of Approval procedures and submission of recommendations to CCU.					NTA/CCU
	Assessment of existing sustainable development criteria and monitoring procedures					NTA/CCU
	Revision of sustainable development criteria and monitoring procedures and submission of recommendations to CCU.					NTA/CCU
<i>Uploading 2.nd National Communication of Uganda to the UNFCCC on emissions of greenhouse gases to supplement the present communication which is based on figures from 1993/96 when it is available from the ongoing UNEP-GEF project on this issue.</i>						NTA/CCU
<i>Uploading of screening report on CDM potential in Uganda</i>						NTA/CCU
<i>Uploading of latest Grid Emission Factor for the Ugandan National Power Grid</i>						ITA/NTA/CCU
<i>Support participation by project staff, CCU staff and IUA staff in Carbon expo and/or other international carbon market exhibitions/conferences with a national stand for Uganda</i>						ITA/NTA/CCU/UIA
<i>Development and circulation of Quarterly Newsletter</i>	Formulation of concept for quarterly newsletter					ITA/NTA/CCU/UIA
	Development and distribution of the quarterly newsletter					ITA/NTA/CCU/UIA/Trainees

R3: The process for registration by the EB CDM of Ugandan CDM projects has been supported.

Activities	Subactivities	Q1	Q2	Q3	Q4	Person in charge
<i>Screening Report on CDM potential in Uganda incl. list of project proponents with name, address, telephone number, resume of contacts made of at least 50+ potential CDM projects deemed financially viable and totalling not less than yearly total of 500.000 tons CO2-e, or document that this is not possible using existing methodologies,(excl. AF, but incl. PoA)</i>	Compilation of existing reports and documentation on CDM potential in Uganda					NTA
	Consultation meetings with relevant stakeholders in Uganda regarding CDM potential in Uganda					NTA/ITA
	Meetings and discussions with potential project proponents					NTA/ITA
	Meetings and discussions with relevant government stakeholders for the development of public sector CDM projects					ITA/NTA/
	Development of a number of proposals for the implementation of public sector CDM projects (including policy PoAs)					ITA/NTA/CCU/UIA
	Finalization of screening report					ITA/NTA
<i>Update existing national grid emissions factor for the Ugandan national grid</i>	Identification of relevant government partner					ITA/NTA/CCU
	Stakeholder workshop					ITA/NTA/CCU
	Development of Terms of Reference for GEF consultant					TA/NTA/CCU
	Procurement of consultant to develop grid emission factor tool and do training					ITA/NTA/CCU
	Development of grid emission factor tool and training					ITA/NTA/CCU/trainees
	Presentation of grid emission factor tool and procedures for updating and accessing the grid emission factor information					ITA/NTA/CCU/trainees

<i>Establish funding mechanism for CDM project support (capacity issues, all stages of transaction + other capacity)</i>						ITA/NTA/CCU
<i>Funding of all steps of CDM project development (PIN, PDD; validation; support to ERPA negotiations and monitoring).</i>	Drafting of application form for projects.					ITA
	Drafting of selection criteria for projects (scoring).					ITA
	Drafting of contracts between projects to be supported and BTC.					NTA
	Drafting of advertisement for projects.					ITA
	Set up selection committee for projects.					ITA/NTA/CCU
<i>Relevant financial institutions trained in understanding how they can contribute to making a potential CDM project bankable.</i>						ITA/NTA/CCU/Trainees

General management activities

Personnel

Activities	Subactivities	Q1	Q2	Q3	Q4	Person in charge
Recruitment (started up or in case of resignation)	Project Officer					BTC
	Replacement of ITA (Kimberly van Niekerk)					Camco/CCU/BTC

Investment

Activities	Subactivities	Q1	Q2	Q3	Q4	Person in charge
Vehicles						BTC
IT Equipment						ITA/NTA/BTC
Office supplies and equipment	Office partitioning					ITA/NTA/CCU
	Other office supplies and equipment					ITA/NTA/BTC

Quality (Monitoring & Evaluation)

Activities	Subactivities	Q1	Q2	Q3	Q4	Person in charge
Backstopping	Backstopping mission					Patricia Grobden of FPS Health, Food Safety and Environment
Mid-term Evaluation						Planned for 2013
Final Evaluation						Planned for 2014
Audit					Planned for 2013 and 2014	