



BTC



MPI

RESULTS REPORT 2017

GREEN GROWTH STRATEGY FACILITY

INTERVENTION CODE: VIE 11 041 11

ACRONYMS	4
1 INTERVENTION AT A GLANCE	5
1.1 INTERVENTION FORM	5
1.2 BUDGET EXECUTION	6
1.3 SELF-ASSESSMENT PERFORMANCE	6
1.3.1 <i>Relevance</i>	6
1.3.2 <i>Efficiency</i>	7
1.3.3 <i>Effectiveness</i>	7
1.3.4 <i>Potential sustainability</i>	7
1.4 CONCLUSIONS	7
2 RESULTS MONITORING.....	9
2.1 EVOLUTION OF THE CONTEXT	9
2.1.1 <i>General context</i>	9
2.1.2 <i>Institutional context</i>	9
2.1.3 <i>Management context: execution modalities</i>	9
2.1.4 <i>Harmo context</i>	10
2.2 PERFORMANCE OUTCOME	11
2.2.1 <i>Progress of indicators</i>	11
2.2.2 <i>Analysis of progress made</i>	12
2.2.3 <i>Potential Impact</i>	12
2.3 PERFORMANCE OUTPUT 1	13
2.3.1 <i>Progress of indicators</i>	13
2.3.2 <i>Progress of main activities</i>	14
2.3.3 <i>Analysis of progress made</i>	14
2.4 PERFORMANCE OUTPUT 2	18
2.4.1 <i>Progress of indicators</i>	18
2.4.2 <i>Progress of main activities</i>	19
2.4.3 <i>Analysis of progress made</i>	19
2.5 PERFORMANCE OUTPUT 3	21
2.5.1 <i>Progress of indicators</i>	21
2.5.2 <i>Progress of main activities</i>	22
2.5.3 <i>Analysis of progress made</i>	22
2.6 PERFORMANCE OUTPUT 4	24
2.6.1 <i>Progress of indicators</i>	24
2.6.2 <i>Progress of main activities</i>	25
2.6.3 <i>Analysis of progress made</i>	25
2.7 PERFORMANCE OUTPUT 5	27
2.7.1 <i>Progress of indicators</i>	27
2.7.2 <i>Progress of main activities</i>	28
2.7.3 <i>Analysis of progress made</i>	28
2.8 TRANSVERSAL THEMES	30
2.8.1 <i>Gender</i>	30
2.8.2 <i>Environment</i>	30
2.8.3 <i>Other</i>	30
2.9 RISK MANAGEMENT	31
3 STEERING AND LEARNING	35
3.1 STRATEGIC RE-ORIENTATIONS.....	35

3.2	RECOMMENDATIONS.....	35
3.3	LESSONS LEARNED	35
4	ANNEXES.....	36
4.1	QUALITY CRITERIA	36
4.2	DECISIONS TAKEN BY THE STEERING COMMITTEE AND FOLLOW-UP	39
4.3	UPDATED LOGICAL FRAMEWORK.....	52
4.4	MORE RESULTS AT A GLANCE	52
4.5	“BUDGET VERSUS CURRENT (Y – M)” REPORT.....	53
4.6	COMMUNICATION RESOURCES	57

Acronyms

BTC	Belgian Technical Cooperation, the Belgian Development Agency
COP21	UNFCCC Conference of Parties #21 – UN International climate summit held in Paris in Nov.2015
DSENRE	Department of Science, Environment and Natural Resources
FOM	Facility Operation Manual
GCF	Green Climate Fund
GGSF	Green Growth Strategy Facility
INDC	Intended Nationally Determined Contribution (to global GHG mitigation effort, submitted prior to the COP21)
M&E	Monitoring and Evaluation
MPI	Ministry of Planning and Investment
MIE	Multi Implementing Entity (of the Green Climate Fund)
NIE	National Implementing Entity (of the Green Climate Fund)
PDP	Power Development Plan
POM	Project Operation Manual
VNGGAP	Viet Nam Green Growth Action Plan
VNGGS	Viet Nam Green Growth Strategy

1 Intervention at a glance

1.1 Intervention form

Intervention title	Green Growth Strategy Facility (GGSF)
Intervention code	VIE 11 041 11
Location	Hanoi Provinces: Ha Tinh, Binh Thuan and Ninh Thuan
Total budget	5,500,000 Euro, which includes: + Non-refundable aid of Belgian Government: 5,000,000 Euro; + Contribution of the Partner country: 500,000 Euro.
Partner Institution	Ministry of Planning and Investment (MPI), Department of Science, Education, Natural Resources and Environment (DSENRE)
Start date Specific Agreement	14th August 2013
Date intervention start /Opening Steering Committee	14th August 2013/ 14 th October, 2013
Planned end date of execution period	30th June 2019
End date Specific Agreement	14th August 2019
Target groups	+ MPI, and in particular DSENRE; + Members of the GGS Steering Board; + Related Government agencies and selected provinces + NGOs, including Vietnamese business associations and sector associations; + Management of business associations, chambers of commerce, industrial parks, industrial clusters, and crafts villages, for instance those concerned by actions funded through the project and/or Facility.
Impact ¹	The Vietnamese Green Growth Strategy is implemented
Outcome	A Facility to support the implementation of the Vietnamese Green Growth Strategy enables Green initiatives
Outputs	1. A support facility is created and developed 2. Green growth capacity & MPI leadership increased 3. Piloting activities in three provinces are supported 4. The Facility supports, through a call for proposals, green growth interventions throughout the country 5. Good practices disseminated and replicated
Year covered by the report	2017

¹ Impact refers to global objective, Outcome refers to specific objective, output refers to expected result

1.2 Budget execution

Currency: EUR

	Budget	Expenditure					Total	Disbursement rate at the end of year 2017
		2013	2014	2015	2016	2017		
Total	5,000,000	1,996	234,370	481,533	442,372	855,598	2,015,869	40%
Output 1	380,000	0	449	140,880	13,582	19,418	174,329	46%
Output 2	200,000	0	211	8,366	17,649	25,692	51,918	26%
Output 3	920,000	0	0	23,056	75,715	91,468	190,242	21%
Output 4	1,440,000	0	0	209	11,416	395,488	407,113	28%
Output 5	175,000	0	149	14,576	2,562	13,059	30,346	17%
General means	1,817,200	1,996	233,561	294,443	321,448	310,473	1,161,921	64%
Contingencies	67,800	0	0	0	0	0	0	0%

1.3 Self-assessment performance

1.3.1 Relevance

	Performance
Relevance	A

For the MPI, the VNGGS and its implementation are still of primary importance and the target facility is still considered a key element enabling financing the necessary investments.

The relevance of the GGSF and the project has been reconfirmed by the Mid-term review (MTR) which clearly emphasized its capability to bridge an institutional void to unlock financing the VN Green Growth Action Plan (VNGGAP). The MTR also confirmed the high relevance and added value of the provincial GG action plans supported by the project as well as the pilot investments initiated.

However, growing attention for climate finance and other important climate policies (such as the NDC to the Paris agreement) could increase the likelihood of a few identified risks in the future such as having overlapping or competing climate finance initiatives in the country.

1.3.2 Efficiency

	Performance
Efficiency	B

The GGSF Project has made a good progress and achievements. The total of cumulative disbursement (from the beginning to the end of 2017) has reached 40% out of the total of project budget (EUR 2,015,869 vs EUR 5,000,000).

However, a large share of the committed budget relates to 06 pilot projects in 3 provinces which have not yet led to significant actual disbursement.

1.3.3 Effectiveness

	Performance
Effectiveness	A

Most of the activities of the approved annual work-plan 2017 has been implemented and achieved good progresses and achievements. The direction and guidance of the GGSF PMU and BTC professional team have been clear and timely. The PMU and BTC professional team have spent their significant time and efforts for the Project when needed. The operation of the PMU Office has remained effective and responsive.

However, the progress of activities using the Seed Fund has been slow. The implementation of the 6 pilot projects has been delayed and extended to the end of 2018 respectively and consequently the disbursement was not up to the satisfactory level.

A point of attention in 2018 will be the implementation of these pilot projects and proposed activities of the Seed fund.

1.3.4 Potential sustainability

	Performance
Potential sustainability	A

The long term financial sustainability of the GGSF is not yet confirmed and remains a key point of attention. Several actions have been identified to address this and will be planned for 2018 such as PMU will approach potential donors such as the EU and GCF in mobilizing resources for the GGSF.

One risk is that other potentially competing facilities or initiatives under other line ministries prevent the GGSF from being fully sustainable (e.g. by attracting international donors' contributions or having monopoly on specific funding instruments or activities). Another risk is the lack of focus of the GGSF in terms of instruments to facilitate climate finance which could end in blurring the GGSF strengths for potential donors.

1.4 Conclusions

- The Mid-term review (January, 2017) confirmed the relevance and political expectations about the facility as well as its impact potential.
- The implementation of 06 pilot projects and activities of the Seed fund in 2017 was a point of attention.

- Important decisions must be taken at Steering Committee level to ensure long-term sustainability of the GGSF.
- Risks that were initially identified are still relevant even though their likelihood is not increased.

Pham Hoang Mai



GGSF PMU Director

Krista Verstraelen



Resident Representative of BTC

2 Results Monitoring²

2.1 Evolution of the context

2.1.1 General context

- The COP23 momentum continued to raise worldwide awareness about climate change risks and GHG mitigation necessity as countries continued to negotiate the finer details of how the agreement will work from 2020 onwards.
- In Viet Nam, the Prime Minister signed the National Action Plan to implement the Sustainable Development Goals (SDGs) on 5th May, 2017. This Plan serves as the first legal foundation showing Vietnam's commitment to the common international effort for sustainable development.
- Priorities on green growth are likely to change over time. Thus, a flexible demand driven approach is called for, in which the Vietnamese partner is in the driver's seat. Capacities enforcement of the MPI and related stakeholders in the field of management and decision-making will be key to the success of GGSF project.

2.1.2 Institutional context

- MPI continued leading both the coordination of the national GGAP and the way towards accessing the GCF support in 2017.
- A key achievement of the VNGGAP in 2017 was the elaboration of several provincial Green Growth Action Plans which helps identifying priorities at local level though in line with national priorities.
- Other line ministries played an important role in GHG mitigation policy in particular MONRE (coordinating the response and implementation to the Vietnam INDC at the Paris conference) and MOIT (leading the on-going revision of the Power Development Plan which defines the future national electricity production mix).

2.1.3 Management context: execution modalities

- The Provincial People Committees of Ha Tinh, Ninh Thuan and Binh Thuan are now responsible for implementing the pilot projects contracted through the Grant Agreements.
- Given the GGSF Project will be coming to the end of 30 June 2019, earlier than original project design document, this has led to the significant changes of the design of activities in terms of time and budget modifications.

² Impact refers to global objective, Outcome refers to specific objective, output refers to expected result

2.1.4 Harmo context

- With the growing interest of donors for supporting the implementation of the Paris Climate Agreement and Climate Finance, there is a risk to be seen ever more donors involved in numerous parallel and potentially overlapping or competing activities under various line ministries.

2.2 Performance Outcome



2.2.1 Progress of indicators

The GGSF Baseline report was approved by BTC and MPI through the exchange letter dated 7 December, 2015. Since then, the set of M&E indicators in the Baseline has been officially applied by the Project.

OUTCOME: A Facility to support the implementation of the Vietnamese Green Growth Strategy enables Green initiatives					
Indicators	Baseline Value	Value at the end of 2016	Value 2017	Target 2018	Final Target
1 (Cumulated) Number of green growth investment projects to be implemented or under implementation with the Facility support (incl. BTC pilot projects).	0	6	6	3	4
2 Number of funding sources other than BTC contributing or due (having pledged) to contribute to the Green Growth Strategy Facility.	0	0	0	0	1
3 The facility has a financial plan spanning beyond the BTC project termination	No	No	No	No	Yes

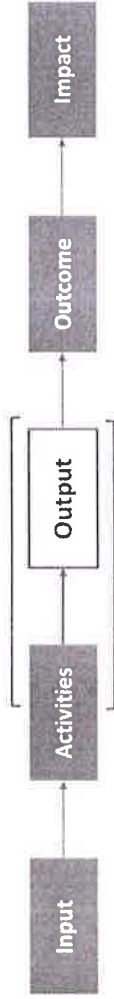
2.2.2 Analysis of progress made

- In order to achieve the outcome, the project managed to recruit qualified consultants to contribute to necessary outputs of the project. In that view, the project is in line with the schedule.
- The Facility is still considered as a key element to enable the VNGGS implementation, in particular to channel funding towards relevant green initiatives and unlock existing barriers.
- The raising partner's expectation about the Facility is a key (positively) influencing factor of the project during the reporting period.
- In general, the project management has been good and effective. The direction and guidance of the PMU and BTC professional team have been clear and timely.
- The implementation of work plan of 2018 and 2019 require great effort of all stakeholders. Given the project would be closed earlier than original project design document, this has made pressure on implementation and management of project, especially the new pilot projects using the seed funds scheduled to implement in 2018.

2.2.3 Potential Impact

- In case the VGGSF is ever accredited as NIE for the GCF, it will then become (one of) the central tools of the implementation of the green growth national strategy. This would mean a very high impact, potentially overpassing the one identified in the formulation.
- This however raises new risks (collaboration/competition with other development agencies, competition with other financial institutions etc.).

2.3 Performance Output 1



2.3.1 Progress of indicators

OUTPUT 1: A support Facility is created and developed						
Indicators	Baseline Value	Value at the end of 2016	Value 2017	Target 2018	Final Target	
1.1 Publication of the Facility Operational Manual (FOM)	0	1	1	1	1	
1.2 Monitoring and Evaluation Tools (M&E tools) of the Facility's operation launched and followed-up.	0	1	1	1	1	

2.3.2 Progress of main activities

Progress of <u>main</u> activities ³	Progress:			
	A	B	C	D
A.1.1 Studies to develop the Facility		x		
A.1.2 Development of the different tools for the call for proposals		x		
A.1.3 Set-up of the monitoring and evaluation strategy		x		
A.1.4 Facility operational manual (FOM) and Project operator manual (POM)		x		
A.1.5 Publicity and workshops to explain the application process and documentation requirements			x	

2.3.3 Analysis of progress made

A.1.1 Studies to develop the Facility

A0101.a&b: Identifying sectors at national level for action and conducting a Baseline report

The consultant team has been mobilized in December, 2014 to conduct the Study on “identifying sectors at national level for actions” with the aim at presenting the state of the art in relation with green growth in Vietnam; suggesting the priority sectors for actions (according to environmental, economic and social criteria and the willingness of the sector to participate to the call for proposals) and developing relevant Monitoring and Evaluation indicators in the concerned sectors. The final draft report was submitted to the PMU in June, 2015.

After the support of international and local consultants, GGSF PMU has been conducted the Baseline report. It was then approved by BTC and the Steering Committee in the Exchange Letter dated 7th December, 2015. The findings and recommendation of the report have been used by the PMU for making the planning of the GGSF Project.

Status: Completed in June, 2015 (*for identifying sectors*) and December, 2015 (*for the Baseline*)

A0101.c. Elaboration of Green Procurement Plan of the Government of Vietnam:

The consultant team was mobilized to elaborate the “green procurement policy for Government of Vietnam”. The objective of the assignment was: (i) to develop a Green Procurement Policy (GPP) of the Viet Nam, including both GPP guidelines, recommendations; (ii) a GPP roadmap that will form the basis for a future regulatory document. The final report was submitted to PMU for approval in December, 2015.

The findings and recommendations of the report have been reviewed by the PMU Management and circulated to relevant departments of MPI that in charge of policy-making and legal framework relating to green procurement for their information.

Status: Completed in December, 2015.

A0101.d. Workshops to inform stakeholders about selected sectors:

³ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

02 related workshops have been conducted in April, 2015 in Ha Tinh and Binh Thuan province to inform GGSF stakeholders about selected sectors.

Status: Completed in April, 2015.

A0101.e. Studying on the remaining gap analysis between GGSF and international expectation:

The local consultant for this activity was mobilized in August, 2015 to do the work and the final report was prepared and submitted to PMU management in September, 2015 for review and approval. The objective of the exercise was to propose options for finalization of the FOM.

Status: Completed in September, 2015.

A0101.f. Elaboration of Project Operational Manual

The local consultant was selected in August 2015 to elaborate the POM. The POM was designed and developed for submission to the PMU management in December, 2015 for review and approval. The POM is now in place for use of the GGSF Project. At the time of writing this report the MTR report has given highly appreciation to the POM.

Status: Completed in December, 2015.

A0101.g. Development of 5-year business plan for Facility (fund mobilization, action plan etc...)

The local consultant team were mobilized in October, 2016 to implement the analytical work and develop the 5-year business plan for the Facility. The objective of the work was to study, analyses the existing facilities operations and propose options on 5 year business plan relating to fund mobilization, action plan etc... after 2019. The final report was prepared and submitted to PMU for review and approval.

Status: Completed in January, 2017.

A0101.h: Research the best practices from Regional and International Conferences

This activity will be conducted in 2018. The objective of this activity is to further explore the best practices of the operation of the relevant facilities and improve the GGSF accordingly. In addition, participation of the PMU staff in the international conference on green growth and climate change would be useful for issuing decision and direction of the GGSF.

Status: To be implemented in 2018

A0101.i: Research potential development of environmental services businesses (ESB) in Vietnam, identify and propose mechanisms to assist them in accessing appropriate financing sources for development

The national consultant team has been mobilized in November 2017 to conduct a study on "Research potential development of environmental services businesses (ESB) in Vietnam, identify and propose mechanisms to assist them in accessing appropriate financing sources for development".

Specific objectives of the study are (i) to study international experience on development of environmental service enterprises and mobilize financial resources for the operation of environmental service enterprises; (ii) to review the legal basis related to environmental services and assess the current situation, identify difficulties and obstacles in accessing financial sources for environmental service enterprises in Vietnam; (iii) to study the potential for development of environmental service enterprises and propose a mechanism for

supporting environmental service enterprises to access appropriate financial resources in Vietnam.

This activity will be completed in the first quarter of 2018.

Status: On-going

A.1.2 Development of the different tools for the call for proposals:

A0102.a&b: Development of Call for Proposal

In the 2014 procurement plan, the international and local consultants would be mobilized to do the work. The GGSF Project launched the call for Expression of Interest of the above-mentioned package but there was no EOI for the international candidates submitted but only national candidates. Given the nature of work, the GGSF Project considered the national consultant could do the work and the offer was given to the local consultant in December 2014 for elaborating the call for proposals. The complete set of call for proposals was submitted to the PMU for review and approval.

The completed set of the call for proposal instruments were finalized and integrated in the FOM.

Status: Completed in June, 2015.

A0102.c. 02 workshops with donors and stakeholders to disseminate the tools:

The workshops presenting the call for proposal tools were conducted later in July, 2015 in Nha Trang city, Khanh Hoa province to relevant stakeholders.

Status: Completed in July, 2015.

A.1.3. Set-up of the monitoring and evaluation strategy

The M&E Officer has been mobilized in the 2nd quarter of 2014 to support the implementation of the Project, especially development of an efficient M&E system required by the Project and BTC M&E policy.

The Monitoring and Evaluation Strategy has been completed shortly after the Baseline Report. A set of M&E tools was developed accordingly and has been applied from quarter IV, 2015 in line with regulations of GoV and BTC.

The Monitoring and Evaluation Strategy has been updated in December 2016 in line with changes in ODA regulations of GoV (the Decree 16/2016/ND-CP of GoV and the Circular 12/2016/TT-BKHDT of MPI). The M&E tools were updated accordingly for GGSF and 06 pilot projects.

Status: Completed in December 2016.

A.1.4. Development of the Project Operation Manual (POM) and Facility Operational Manual (FOM)

A0104.a&b: Design and Development of Facility Operation Manual

The consultant team has been mobilized in late 2014 to elaborate the Facility Operation Manual (FOM). The objective of the task is (i) to clearly establish all the governance, administration, financial and operational framework of the facility and (ii) to mitigate some of the risks compromising the facility sustainability.

The final Facility Operation Manual has broadly been consulted with different stakeholders including representatives from other key ministries, related stakeholders and directors, technical staff of three PCUs of Ha Tinh, Ninh Thuan and Binh Thuan through a series of consultation workshops, seminars and meetings.

The final draft FOM was finalised and submitted to the PMU in December 2015 for review and approval. The FOM has been designed in a flexible manner for easy update to meet the emerging requirements.

Status: Completed in December 2015.

A0104.c. 03 workshops to disseminate FOM:

At the time of writing this report, the recommendation on update of the FOM by the Mid Term Review Consultant proposed, this requires further revisions of the FOM and also the consultation meetings and workshops to be conducted in 2018.

Status: On-going

A.1.5. Publicity and workshops to explain the application process and documentation requirements

A number of consultation workshops conducted in 2015 and 2016 to introduce the operation, procedures and processes of the GGSF with the aim to make the GGSF visible to different stakeholders and awareness raising.

Also, under this activity, GGSF PMU has issued an Official Letter to People's Committees of Ha Tinh, Ninh Thuan, Binh Thuan, Khanh Hoa, Binh Dinh, Phu Yen requesting to prepare the project proposals. GGSF has received 22 project proposals, of which 01 project proposals submitted by Ha Tinh PPC, 05 project proposals submitted by Khanh Hoa PPC, 06 project proposals submitted by Binh Dinh PPC, 05 project proposals submitted by Phu Yen PPC and 05 project proposals submitted by Binh Thuan PPC.

Status: Completed in June, 2017

2.4 Performance Output 2

2.4.1 Progress of indicators

OUTPUT 2: Green growth capacities & MPI leadership increased						
Indicators	Baseline Value	Value at the end of 2016	Value 2017	Target 2018	Final Target	
2.1 Share of MPI members of the facility which have followed the GGSF training workshops or activities	0	79%	86%	50%	60%	
2.2 Number of participants to training activities	0	11	12	10	10	
2.3 Project Planned Studies and consultancies have been completed	0%	89%	100%	80%	80%	

2.4.2 Progress of main activities

Progress of <u>main</u> activities ⁴	Progress:			
	A	B	C	D
A.2.1 Training needs assessment for MPI and capacity building		x		
A.2.2 Coordination activities with other actors	x			
A.2.3 Capacity building activities for the other actors	x			

2.4.3 Analysis of progress made

A.2.1. Training needs assessment for MPI and capacity building

A0201.a: Training Needs Assessment and Capacity Building

The consultant has been mobilised in December 2014 to carry out the study on training needs assessment and capacity building for Ministry of Planning and Investment's staff. The objective of the report was to assess the training needs and capacity building which is to incorporate into the training program of the Facility.

The final report on training needs assessment especially focusing on identifying skills needed and appropriate training strategy and activities. The consultant has finalised the report and submitted to the PMU for review and approval at the end of June, 2015. The findings of the report would help PMU to prepare a number of capacity building activities for MPI staff as well as other relevant stakeholders.

Status: Completed in June, 2015.

A0201.b: Consultation Workshop, Seminar, Meetings

A number of training and consultation workshops were conducted in June 2016 and July 2017. The objective of the workshop was on capacity building for MPI and other stakeholders' staff. These activities are expected to be implemented throughout in 2018.

Status: On-going.

A.2.2. Coordination activities with other actors

A0202.a: Providing support to local provinces and relevant agencies

The launching of the National Green Growth Strategy by the Prime Minister of Vietnam requires huge coordination efforts. The GGSF Project has played an important role in coordinating the work among stakeholders. In the last few years, the Project intervention team has been working hard to meet and exchange views on the green growth topic with different development partners, donors and other related stakeholders through meetings, workshops, roundtables etc. The effort will continue in the upcoming time.

Status: On-going

⁴

A	The activities are ahead of schedule
B	The activities are on schedule
C	The activities are delayed, corrective measures are required.
D	The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

A.2.3. Capacity building activities for the other actors

A0203.a: Research for activities aiming to green growth

The national consultant has been mobilised to conduct a study on how to integrate green growth into public investment on environment protection & strategic environment assessment (SEA) policy framework. The final report was completed and submitted to GGSF PMU in June 2017.

Status: Completed in June 2017.

A0203.b: Training, Workshop

These capacity building activities are connected to other activities under the framework of the GGSF Project and therefore these activities will continue be implemented in 2018.

Status: To be implemented in 2018.

A0203.c: Research and study the legal framework for regulating the level of investment on the environment in various types of investment projects

The national consultants have been mobilised in November 2017 to conduct a study on "Research and study the legal framework for regulating the level of investment on the environment in various types of investment projects".

Specific objectives are: (i) To conduct survey on the current status of investment in environmental pollution treatment for some types of investment projects in the field of industrial production in Vietnam; (ii) To review, assess and compare the international experience on investment for environmental pollution treatment for various types of investment projects; (iii) To review and propose policies specifying the percentage of investment capital for environmental pollution treatment for various types of investment projects in the field of industrial production; to develop proposal for MPI to submit to the Government for decision.

This activity will be completed in the first quarter of 2018.

Status: On-going

2.5 Performance Output 3

2.5.1 Progress of indicators

OUTPUT 3: Piloting activities in three provinces are supported						
Indicators	Baseline Value	Value at the end of 2016	Value 2017	Target 2018	Final Target	
3.1 Supported piloting activities for 03 provinces are implemented	0	6	6	3	3	
3.2 GG Benefits of pilot projects are assessed and aligned with VNGGS objectives	0	0	6	3	3	
3.3 Disbursement and implementation plan of pilot projects is followed by provinces	0	0	1	3	3	

2.5.2 Progress of main activities

Progress of <u>main</u> activities ⁵	Progress:			
	A	B	C	D
A.3.1 Pilot Province green strategy action plan	x			
A.3.2 Short Term Actions (STA)		x		

2.5.3 Analysis of progress made

A.3.1 Pilot Province green strategy action plan:

A0301.a: Benchmark and analyse local GGAP in other provinces

A0301.a1. The consultant team has been mobilised and commenced their work from 15 July 2015. The objective of the consultancy is to elaborate the GGAP of the 3 provinces of Ha Tinh, Binh Thuan and Ninh Thuan, based on the marginal cost abatement curve (MACC) approach and templates provided by the Ministry of Planning and Investment. The consultant team has been worked closely with PMU and three provinces to support them in elaborating the draft GGAP of the said provinces and has completed their assignment in June 2016. The final draft GGAPs have been appraised and approved by people's committees of Ha Tinh, Ninh Thuan and Binh Thuan in July and August 2016.

Status: Completed in June, 2016

A0301.a2. Following the success of technical provision to Ha Tinh, Binh Thuan and Ninh Thuan provinces in development of their GGAPs, the GGSF Project decided to provide technical support to three new provinces including: (a) Khanh Hoa, (b) Binh Dinh; and (c) Phu Yen for development of their GGAPs. The consultant firm was hired in November 2016 to elaborate the GGAPs of the 3 provinces. The final draft GGAPs have been appraised and approved by people's committees of Khanh Hoa, Binh Dinh and Phu Yen in August and September 2017.

Status: Completed in March, 2017

A0301.a3. The national consultants have been mobilised in November 2017 to conduct a study on "Support MARD to develop measures and list of investment projects for emission reduction in forestry business in Vietnam".

General objective of the consulting assignment is to identify mitigation options of greenhouse gases in development and business operation of forestry activities in Vietnam. The specific objectives are: (i) Analysis of existing strategies, action plans and policies relating to forest development and business for a period 2016-2020; (ii) Evaluate potentials for emission reduction in forestry sectors for 2016-2020; (iii) Propose a list of investment projects for emission reduction in forestry sector for 2018-2025.

This activity will be completed in the first quarter of 2018.

Status: On-going

A0301.b: Pilot support to provinces green strategy action plans

⁵ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

A number of workshops, meetings had been conducted in August 2015 and November 2016 in Khanh Hoa, Phu Yen and Binh Dinh to introduce the GGSF Project objectives and possible technical support to provinces in terms of development of provincial GGAPs.

Training courses on GGAPs had been conducted for 06 provinces in October (Phu Yen, Khanh Hoa, Binh Dinh), November (Binh Thuan, Ha Tinh) and December (Ninh Thuan) of 2017.

Status: Completed in December, 2017.

A.3.2 Short Term Actions (STA):

A0302.a: Three Short Term Actions to fund

The project has a small actions budget line (seed fund) that can be used for a variety of quick actions (relatively small amounts, short-term activities) on green growth, increasing the capacity of the counterparts to act quickly when needed or supporting pilot projects that may be used to show case greening actions at the province level. Use of the funds will be decided by the project within restrictions set during the pilot phase, and with post-hoc reporting by BTC.

The planning meetings have been conducted between PMU management and project intervention team to discuss on ways to deliver these activities in an efficient manner. It was agreed to put the seed fund to be carried out in 2017 and 2018 and ways of delivery will be determined by PMU management and BTC and approved by the SC by exchange letter.

GGSF has received 22 project proposals, of which 01 project proposals submitted by Ha Tinh PPC, 05 project proposals submitted by Khanh Hoa PPC, 06 project proposals submitted by Binh Dinh PPC, 05 project proposals submitted by Phu Yen PPC and 05 project proposals submitted by Binh Thuan PPC. At the technical committee meeting on 15 September 2017, members of the technical committee have appraised, assessed, reviewed and proposed the selection of 03 possible project proposals of Binh Dinh, Khanh Hoa and Phu Yen, as well as recommended to further improve the aforesaid project proposals to meet the requirements and criteria of the GGSF project. The revised proposals had been submitted to GGSF in the late of November 2017. Members of the technical committee reviewed and recommended those proposals in the meeting on 12th December 2017.

Status: On-going.

2.6 Performance Output 4

2.6.1 Progress of indicators

OUTPUT 4: The Facility supports, through a call for proposals, green growth investments throughout the country						
Indicators	Baseline Value	Value at the end of 2016	Value 2017	Target 2018	Final Target	
4.1 The FOM includes the call for proposal mechanism (procedures, templates, responsibilities...)	No	Yes	Yes	Yes	Yes	
4.2 The facility has the necessary qualified staff to operate the calls for proposals	No	No	Yes	Yes	Yes	
4.3 The Facility has a technical committee with required GG expertise to assess project proposals	No	Yes	Yes	Yes	Yes	

2.6.2 Progress of main activities

Progress of <u>main</u> activities ⁶	Progress:			
	A	B	C	D
A.4.1 Launch of 3 calls for proposals	x			
A.4.2 Screening against eligibility criteria and selection criteria	x			
A.4.3 Contracting & Implementation		x		
A.4.4 Vn contribution	x			
A.4.5 Support and advice to activities on the field	x			

2.6.3 Analysis of progress made

A.4.1 Launch of 3 calls for proposals

A0401.a: Workshop to present the target call for pilot projects

Reference to the TFF, the call for proposals can be launched after the tools and related materials are available. Given the activity of “Development of Calls for proposals mechanism” was completed in June, 2015 and the “Development of Facility Operation Manual” was finalised in December, 2015, the GGSF Project expects to organise calls for proposals in 2017 and 2018. Given the limited resources under the GGSF project, any calls for proposals in terms of scope, substance etc. should be proposed by the PMU together with BTC and approved by the SC respectively.

Also under this, in 2015, based on the selection criteria, requirements set out in the FOM, GGSF Project initiated a first call for proposals during summer 2015 by requesting 3 provinces to prepare a few project proposals meeting the selection criteria and requirements relating to green growth for submission to GGSF Project for review and consideration for funding. The project proposals have been reviewed, appraised and selected by the Technical Committee under the GGSF Project and approved by the Steering Committee for funding. The list of the 6 selected pilot projects with clear target groups, impact, expected outcomes, outputs, and funding attached as Annex 5.

A number of workshops conducted in 2015 and 2016. GGSF PMU has issued an Official Letter to People’s Committees of Ha Tinh, Ninh Thuan, Binh Thuan, Khanh Hoa, Binh Dinh, Phu Yen requesting to prepare the project proposals using the Seed fund. GGSF has received 22 project proposals, of which 01 project proposals submitted by Ha Tinh PPC, 05 project proposals submitted by Khanh Hoa PPC, 06 project proposals submitted by Binh Dinh PPC, 05 project proposals submitted by Phu Yen PPC and 05 project proposals submitted by Binh Thuan PPC.

Status: On-going

A.4.2 screening against eligibility criteria and selection criteria

A0402.a: Working team to screen against eligibility criteria and section criteria

⁶:
A The activities are ahead of schedule
B The activities are on schedule
C The activities are delayed, corrective measures are required.
D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

Based on the demand and requirements of the TFF, the Technical Committee of GGSF Project has been formed under the Decision 1698/QD-BKHDT of the Ministry of Planning and Investment dated 16th November 2015. The mandate of the Technical Committee is to technically assess and appraise the project proposals submitted by local provinces based on the selection criteria, eligibility and requirements set out in the FOM. A number of meetings were conducted to appraise the submitted project proposals and the technical assessment conclusions prepared by the Technical Committee was submitted to the Steering Committee for review and approval.

Status: Completed in June, 2016

A.4.3 Contracting and implementation

A0403.a: 02 Proposals for each selected province

With the agreement and approval by the 4th Steering Committee meeting, the new delivery was applied for pilot projects in the form of the Grant Agreement signed between BTC, GGSF Project and beneficiary People's Committees. Consequently, the Grant Agreement on 2 pilot projects for Ninh Thuan has been signed on 12 May, 2016 with the effective date of 1 June 2016. The Grant Agreement on 2 pilot projects for Ha Tinh and the Grant Agreement on 2 pilot projects for Ninh Thuan have been officially signed on 01 July, 2016 with the effective date of the signing date. The implementation timeline of two pilot projects of Ninh Thuan and Ha Tinh will be for 18 months, while other two pilot projects of Binh Thuan will be for 15 months, including three months for project start-up and three months for closure.

Representatives of BTC, GGSF project and PPCs of beneficiary provinces have signed the extension agreements for 06 pilot projects to 31st December 2018.

Status: On-going

A.4.4 Vietnam contribution

This activity has been determined in the GGSF Project TFF that the Government will provide its counterpart fund in terms of human resource for management and implementation during the whole life of project.

Status: On-going

A.4.5 Support and advice to activities on the field

As determined in the result 4 of the project, the GGSF project has continuously provided technical support in terms of organising of consultation workshops, field missions for PMU management, MPI related staff, project consultants, project team and other involved partners based on the demands of the GGSF project.

Status: On-going

2.7 Performance Output 5

2.7.1 Progress of indicators

OUTPUT 5: Good practices disseminated and replicated						
Indicators	Baseline Value	Value at the end of 2016	Value 2017	Target 2018	Final Target	
5.1 Workshops nationwide, introducing green results of typical pilot projects supported by the Facility, promotion material, disseminate information and/or encourage replication of the green growth project activities	0	0	0	3	6	
5.2 Facility website is online and provides information about the GGSF context and activities	Yes	Yes	Yes	Yes	Yes	

2.7.2 Progress of main activities

Progress of <u>main</u> activities ⁷	Progress:			
	A	B	C	D
A.5.1 Elaboration and updating of a communication strategy for the Facility	x			
A.5.2 Awareness campaign towards provinces and actors of selected sector(s)	x			
A.5.3 Capitalization		x		

2.7.3 Analysis of progress made

A.5.1 Elaboration and updating of a communication strategy for the Facility:

A0501.a: Development of Communication Strategy for GGSF

The local consultant was hired in December, 2014 for doing this assignment. The aim of this work was; (a) to ensure the implementation of communication operational strategies on GGSF Project; (b) to ensure public awareness raising on the GGSF Project; (c) to support communication and partnership with media and stakeholders on GGSF Project; to support communication management of VGGSF related issues. The final draft of the communication strategy was submitted to the PMU for approval in July, 2015.

The communication strategy has been used by the GGSF Project for communication purpose to raise awareness on the GGSF Project.

Status: Completed in July, 2015.

A0501.b: Development of Website for GGSF

As scheduled in the Annual plan 2015, the national consultant has been mobilised for development of the Facility Website. The Website has been designed and uploaded in October, 2015. All related information on GGSF Project has now uploaded on the website.

Status: On-going.

A.5.2 Awareness campaign towards provinces and actors of selected sector(s):

A0502.a: National Consultant for assessment on the implementation of project proposals in 3 pilot provinces

The national consultant was mobilised in May, 2017 for assessment of the implementation of the pilot projects in the three provinces Ha Tinh, Ninh Thuan and Binh Thuan. The final report was completed and submitted in November, 2017.

Status: Completed in November, 2017.

⁷

A	The activities are ahead of schedule
B	The activities are on schedule
C	The activities are delayed, corrective measures are required.
D	The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

A0502.b: Workshops, Training Courses

Under the GGSF project, there have been some activities so far such as 01 international exhibitions on environment conducted in September 2015, 01 "Belgian Day" event relating to energy saving organised in November 2016, 01 "EU Climate Diplomacy Fair" in October, 2017, and 01 workshop in Lao Cai in November, 2017 on updating the assessment of the implementation of the national green growth strategy. The GGSF Project will actively participate any events relating to climate changes and green growth in 2018.

Status: On-going

A.5.3. Capitalization:

As designed, this activity will be carried out after the implementation review of first pilot proposals.

Status: To be discussed.

2.8 Transversal Themes

2.8.1 Gender

So far, the project took gender stakes into account during the recruitment of its own staff and consultants by making sure candidate has been evaluated on a fair and transparent way.

A specific section on gender stakes was also included in the target table-of-content of the Facility operation manual, so as to make sure this is taken into account in both its management framework and green project selection mechanism.

2.8.2 Environment

Environment is at the heart of the VNGGSF. Consequently, the Facility is by mission aiming at preserving natural resources and mitigating the environmental impact of the Vietnam economy.

A specific section on environmental impact was also included in the target table-of-content of the FOM.

2.8.3 Other

Beyond gender and environment, the table-of-content of the FOM also includes sections about "socio-environmental safeguards" that the Facility must consider in the future for both its management procedures and project selection criteria.

The list of safeguards will most probably be inspired by the current international requirements of the Green Climate Fund.

2.9 Risk management

Identification of risk or issue			Analysis of risk or issue			Deal with risk or issue		
Risk description	Period of identification	Category	Likelihood	Potential impact	Total	Action(s)	Resp.	Deadline
Lack of timeliness risk	TFF preparation (2013)	OPS	Low	Medium	Low Risk	Timely recruitment of lead ITA and supporting ITA and local TA Frame work for core elements for POM in draft in the TFF	PMU	30/06/2014
Bypassing rules risk	TFF preparation (2013)	OPS	Low	Low	Low Risk	Active monitoring by MPI, ITA and BTC Clear definition of the call for proposal and other financial mechanisms	MPI, BTC, PMU	(project life) (project life)
Lack of project management capacities risk	TFF preparation (2013)	OPS	Low	Low	Low Risk	Ample project management experience and strong buy in of MPI leadership Have ITA/NTA identify possible knowledge, experience and exposure gaps and suggest capacity building activities	PMU	(project life) (project life)
Lack of call participation risk	TFF preparation (2013)	OPS	Medium	Low	Low Risk	Dedicated communication officer Use of a focused communication plan identifying the target group and means to communicate with this group	PMU	After 2019
Third party contractor risk	TFF preparation (2013)	OPS	Medium	Medium	Medium Risk	Defining selective eligibility criteria to reduce risk of picking inappropriate organizations as third-party contractors	PMU	31/12/2018

							Regular contact with facility staff in action development process gives insight in capacity of contractors	PMU	31/12/2018
							Application of a stringent M&E framework	PMU	31/12/2018
Risk of incompatibility between Vietnamese and Belgian regulations	TFF preparation (2013)	JUR	Low	Low	Low Risk		Explore and use room provided in ODA law to define co-management modality in agreement with MPI	BTC, PMU	(project life)
Risk of un-transparent Short Term Action/seed fund activities disbursements	TFF preparation (2013)	OPS	Medium	Low	Low Risk		Define clear procedure for use of the budget in the POM	BTC, PMU	31/12/2018
Lack of facility transparency risk	TFF preparation (2013)	DEV	Low	Low	Low Risk		All regulations, guidelines, criteria are published on website web site which allow downloading of key documents	PMU	(project life)
Lack of inter-departmental collaboration risk	TFF preparation (2013)	REP	Low	Low	Low Risk		All key information and news on the facility and the calls is published	PMU	(project life)
Lack of interest in green actions	TFF preparation (2013)	DEV	Low	Low	Low Risk		Use integrative mandate of MPI to disseminate experience and method developed through the project to other ministries and other sectors	PMU	Project life + Facility life
Lack of (projects) relevancy risk	TFF preparation (2013)	DEV	Low	Low	Low Risk		Use call mechanism allowing respondent to shape intervention relevant to deal with barriers they face	PMU	31/12/2018
							Ensure Seed Fund pilots are aligned with recommendation from ITA/NTA to demonstrate the added value of the facility to VNGGS and VNGGAP	PMU	31/12/2018

Macro issues beyond the project control in relation to limited capacity and miss allocation of resources	TFF preparation (2013)	DEV	Low	Low	Low Risk	Hard to control issue but best dealt with by using a realistic approach of ability of people and organizations.		PMU	(project life)
						Putting the needed controls in place to prevent corruption			
Lack of sustainability of Facility funded activities risk	TFF preparation (2013)	DEV	Medium	Medium	Medium Risk	Demonstration of win-win of green initiatives and barrier removal make follow up/replication investments easier		PMU	6/30/2017
						Ensure seed fund pilots are aligned with ITA/NTA recommendations			
Lack of sustainability of experiences risk	TFF preparation (2013)	DEV	Low	Low	Low Risk	Experience and methodologies gained and developed on facilitating investments feed into the core mandate of MPI related to investment planning and improvement		PMU	31/12/2018
Lack of sustainability of the Facility <ul style="list-style-type: none"> • Due to low interest by donors • Due to an unfavorable environment that the GGSF and Facility would not be able to sufficiently change. 	TFF preparation (2013) Updated during Baseline Elaboration	DEV	High	High	Very High Risk	Proof performance of facility as a well-managed mechanism towards donor and users		PMU	30/06/2015
						Focused support to facility to remove barriers will improve possible impact of facility overtime in terms of facilitating investment in green growth			
						Ensure coordination of the donors and communication between them and coherence between their interventions			

									Legalize facility officially Ensure seed fund pilots are aligned with ITA/NTA recommendations Communicate about VGSF results Elaborate a strategy to access funding sources	PMU	31/12/2018
Risk of an ineffective GGSF and Facility governance framework	TFF preparation (2013)	DEV	Low	Medium	Low Risk	Mutual agreement of governing frame work and good communication and mutual understanding of controlling requirement of Belgium and Vietnam		PMU	30/06/2015		
						Emphasis on process control and efficient administrative procedures		PMU	30/06/2015		
						Use of controller mandated to monitor used selection and administrative processes	BTC & PMU	(project life)			
Risk of inconsistent use of agreed governing processes	TFF preparation (2013)	OPS	Medium	Medium	Medium Risk	Controller tasked to advise on effective use of POM and FOM to ensure timely project delivery and good oversight by BTC at the same time		BTC & PMU	(project life)		
						Controller asked to identify short coming in facility governing framework and advise on improvements		BTC & PMU	(project life)		
Risk of inconsistent use of contracting and administrative standard on all project levels	TFF preparation (2013)	OPS	Low	Medium	Low Risk	Ensure clear guidelines for subcontracting as part of the Facility rules		PMU	(project life)		

3 Steering and Learning

3.1 Strategic re-orientations

The Grant Agreements for implementing the pilot projects with three provinces have been signed and close monitoring of the implementation by the PMU and BTC should be taken into consideration to accelerate the progress.

3.2 Recommendations

Recommendations	Actor	Deadline
Timely strategic guidance and assistance to implementation.	MPI, BTC.	Regular
To simplify the procedures and processes and skip unnecessary steps on implementation and management of new pilot projects.	PMU, BTC, provinces.	As occurred when preparing GAs.
To joint ad-hoc meetings between PMU and BTC to address the emerging issues through written procedures.	PMU, BTC.	Regular

3.3 Lessons Learned

Lessons learned	Target audience
<p>It is noted that lessons learnt during the implementation of the project is close collaboration between the intervention/project team, PMU and BTC; more interactions and timely information sharing is also extremely essential.</p> <p>If there have been problems and issues faced during the implementation phase, meetings would be conducted between the PMU Members, BTC program officers, staff and PMU Office Intervention Team to timely address the problems, and more importantly the follow-up actions with clear responsibilities, division of labour etc. should be set up.</p> <p>Clear precedures, steps with clear guidance should help and reduce time consuming; updating on new policies, regulations would be shared timely.</p>	Interventions, Representation, BTC HQ department, partner department...

4 Annexes

4.1 Quality criteria

1. RELEVANCE: The degree to which the intervention is in line with local and national policies and priorities as well as with the expectations of the beneficiaries				
<i>In order to calculate the total score for this quality criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D</i>				
Assessment RELEVANCE: total score	A	B	C	D
	X			
1.1 What is the present level of relevance of the intervention?				
X	A	Clearly still embedded in national policies and Belgian strategy, responds to aid effectiveness commitments, highly relevant to needs of target group.		
	B	Still fits well in national policies and Belgian strategy (without always being explicit), reasonably compatible with aid effectiveness commitments, relevant to target group's needs.		
	C	Some issues regarding consistency with national policies and Belgian strategy, aid effectiveness or relevance.		
	D	Contradictions with national policies and Belgian strategy, aid efficiency commitments; relevance to needs is questionable. Major adaptations needed.		
1.2 As presently designed, is the intervention logic still holding true?				
X	A	Clear and well-structured intervention logic; feasible and consistent vertical logic of objectives; adequate indicators; Risks and Assumptions clearly identified and managed; exit strategy in place (if applicable).		
	B	Adequate intervention logic although it might need some improvements regarding hierarchy of objectives, indicators, Risk and Assumptions.		
	C	Problems with intervention logic may affect performance of intervention and capacity to monitor and evaluate progress; improvements necessary.		
	D	Intervention logic is faulty and requires major revision for the intervention to have a chance of success.		

2. EFFICIENCY OF IMPLEMENTATION TO DATE: Degree to which the resources of the intervention (funds, expertise, time, etc.) have been converted into results in an economical way				
<i>In order to calculate the total score for this quality criterion, proceed as follows: 'At least two 'A', no 'C' or 'D' = A; Two times 'B', no 'C' or 'D' = B; at least one 'C', no 'D' = C; at least one 'D' = D</i>				
Assessment EFFICIENCY: total score	A	B	C	D
		X		
2.1 How well are inputs (financial, HR, goods & equipment) managed?				
	A	All inputs are available on time and within budget.		
X	B	Most inputs are available in reasonable time and do not require substantial budget adjustments. However there is room for improvement.		
	C	Availability and usage of inputs face problems, which need to be addressed; otherwise results may be at risk.		
	D	Availability and management of inputs have serious deficiencies, which threaten the achievement of results. Substantial change is needed.		
2.2 How well is the implementation of activities managed?				
	A	Activities implemented on schedule		

X	B	Most activities are on schedule. Delays exist, but do not harm the delivery of outputs
	C	Activities are delayed. Corrections are necessary to deliver without too much delay.
	D	Serious delay. Outputs will not be delivered unless major changes in planning.
2.3 How well are outputs achieved?		
	A	All outputs have been and most likely will be delivered as scheduled with good quality contributing to outcomes as planned.
X	B	Output delivery is and will most likely be according to plan, but there is room for improvement in terms of quality, coverage and timing.
	C	Some outputs are/will be not delivered on time or with good quality. Adjustments are necessary.
	D	Quality and delivery of outputs has and most likely will have serious deficiencies. Major adjustments are needed to ensure that at least the key outputs are delivered on time.

3. EFFECTIVENESS TO DATE: Degree to which the outcome (Specific Objective) is achieved as planned at the end of year N

In order to calculate the total score for this quality criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D

Assessment EFFECTIVENESS: total score	A	B	C	D
	X			

3.1 As presently implemented what is the likelihood of the outcome to be achieved?

	A	Full achievement of the outcome is likely in terms of quality and coverage. Negative effects (if any) have been mitigated.
X	B	Outcome will be achieved with minor limitations; negative effects (if any) have not caused much harm.
	C	Outcome will be achieved only partially among others because of negative effects to which management was not able to fully adapt. Corrective measures have to be taken to improve ability to achieve outcome.
	D	The intervention will not achieve its outcome unless major, fundamental measures are taken.

3.2 Are activities and outputs adapted (when needed), in order to achieve the outcome?

X	A	The intervention is successful in adapting its strategies / activities and outputs to changing external conditions in order to achieve the outcome. Risks and assumptions are managed in a proactive manner.
	B	The intervention is relatively successful in adapting its strategies to changing external conditions in order to achieve its outcome. Risks management is rather passive.
	C	The intervention has not entirely succeeded in adapting its strategies to changing external conditions in a timely or adequate manner. Risk management has been rather static. An important change in strategies is necessary in order to ensure the intervention can achieve its outcome.
	D	The intervention has failed to respond to changing external conditions, risks were insufficiently managed. Major changes are needed to attain the outcome.

4. POTENTIAL SUSTAINABILITY: The degree of likelihood to maintain and reproduce the benefits of an intervention in the long run (beyond the implementation period of the intervention).

In order to calculate the total score for this quality criterion, proceed as follows: At least 3 'A's, no 'C' or 'D' = A; Maximum two 'C's, no 'D' = B; At least three 'C's, no 'D' = C; At least one 'D' = D

Assessment POTENTIAL SUSTAINABILITY : total score	A	B	C	D
	X			

4.1 Financial/economic viability?

	A	Financial/economic sustainability is potentially very good: costs for services and maintenance are covered or affordable; external factors will not change that.
X	B	Financial/economic sustainability is likely to be good, but problems might arise namely from changing external economic factors.
	C	Problems need to be addressed regarding financial sustainability either in terms of institutional or target groups costs or changing economic context.
	D	Financial/economic sustainability is very questionable unless major changes are made.
4.2 What is the level of ownership of the intervention by target groups and will it continue after the end of external support?		
X	A	The steering committee and other relevant local structures are strongly involved in all stages of implementation and are committed to continue producing and using results.
	B	Implementation is based in a good part on the steering committee and other relevant local structures, which are also somewhat involved in decision-making. Likelihood of sustainability is good, but there is room for improvement.
	C	The intervention uses mainly ad-hoc arrangements and the steering committee and other relevant local structures to ensure sustainability. Continued results are not guaranteed. Corrective measures are needed.
	D	The intervention depends completely on ad-hoc structures with no prospect of sustainability. Fundamental changes are needed to enable sustainability.
4.3 What is the level of policy support provided and the degree of interaction between intervention and policy level?		
X	A	Policy and institutions have been highly supportive of intervention and will continue to be so.
	B	Policy and policy enforcing institutions have been generally supportive, or at least have not hindered the intervention, and are likely to continue to be so.
	C	Intervention sustainability is limited due to lack of policy support. Corrective measures are needed.
	D	Policies have been and likely will be in contradiction with the intervention. Fundamental changes needed to make intervention sustainable.
4.4 How well is the intervention contributing to institutional and management capacity?		
X	A	Intervention is embedded in institutional structures and has contributed to improve the institutional and management capacity (even if this is not an explicit goal).
	B	Intervention management is well embedded in institutional structures and has somewhat contributed to capacity building. Additional expertise might be required. Improvements in order to guarantee sustainability are possible.
	C	Intervention relies too much on ad-hoc structures instead of institutions; capacity building has not been sufficient to fully ensure sustainability. Corrective measures are needed.
	D	Intervention is relying on ad hoc and capacity transfer to existing institutions, which could guarantee sustainability, is unlikely unless fundamental changes are undertaken.

4.2 Decisions taken by the steering committee and follow-up

Decision			Action			Follow-up			
N°	Decision	Identification period (mmm.yy)	Source*	Actor	Action(s)	Resp.	Deadline	Progress	Status
1	Decision No. 01/QĐ-KHGDTNMT	Jul-14	Operational documents	SC	Approval of the Action Plan and Budget 2014	PMU		Done	CLOSED
				SC	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
2	Decision No. 01/QĐ-BQLDA	Sep-14	Operational documents	PMU	Establishment of the Tender Evaluation Panel for evaluation of the package " Local Tender Specialist" Members: Ms. Nguyen Thai Phuong; Mr. Truong Anh Son; Ms. Vu Thi Hoang Thanh; Mr. Phung Van Quan	PMU		Done	CLOSED
				PMU	The Tender Evaluation Panel has duties and responsibilities: - Evaluating Tender documents - Reporting to PMU - Implementing the Decision in line with the Article 76 of the Procurement Law	The Panel		Done	
3	Decision No. 02-1/QĐ-KHGDTNMT	Sep-14	Operational documents	DSENRE	Approval of the TORs and Consultant Lists for the Package No. 9 "Local Tender Specialist"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
4	Decision No. 02-2/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of selection results of the Package No. 09 "Local Tender Specialist"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Ngo Ngoc Quy. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

5	Decision No. 03/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for 08 packages of the Procurement Plan 2014	PMU	31 Dec.2014	Done	CLOSED
				DSENRE	Implementing the Decision accordingly				
6	Decision No. 02/QĐ-BQLDA	Oct-14	Operational documents	SC	Establishment of the Tender Evaluation Panel for evaluation of consultants. Members: Ms. Nguyen Thai Phuong; Mr. Truong Anh Son; Ms. Vu Thi Hoang Thanh; Mr. Phung Van Quan	PMU		Done	CLOSED
				SC	The Tender Evaluation Panel has duties and responsibilities: - Evaluating Tender documents - Reporting to PMU - Implementing the Decision in line with the Article 76 of the Procurement Law				
7	Decision No. 04/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 05 "International Consultant for FOM"	PMU	31 Dec.2014	Done	CLOSED
				DSENRE	Implementing the Decision accordingly				
8	Decision No. 05/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 06 "Local Consultant for FOM"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly				
9	Decision No. 06/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 03 "International Consultant for Development of the different tools for the call for proposals"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly				

				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
10	Decision No. 07/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No 04 "Local Consultant for development of the different tools for the call for proposals"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
11	Decision No. 08/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 01 "International Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
12	Decision No. 09/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 02 "Local Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
13	Decision No. 10/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 07 "Local Consultant for training needs assessment and capacity building for MPI"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
14	Decision No. 11/QĐ-KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the revised TORs and advertisement information on close time for Packages No. 01, 02, 03, 04, 05 and 06 of the Procurement Plan 2014	PMU	31 Dec.2014	Done	CLOSED

				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
15	Decision No. 12/QĐ-KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the revised TORs and advertisement information on close time for Packages No. 07 and 08 of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
16	Decision No. 13/QĐ-KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the Consultant Short Lists for Packages No 01, 02, 04, 05, 06, 07 and 08 of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
17	Decision No. 14/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 1 "International Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Mark Fogarty. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
18	Decision No. 15/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 02 "Local Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Le Thanh Tung. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
19	Decision No. 16/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 04 "Local Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mrs. Hoang Thi Thuy Nguyet. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

20	Decision No. 17/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 07 "Local Consultant for training needs assessment and capacity building for MPI"	PMU	Done	CLOSED
				DSENRE	Successful Bidder: Mr. Do Huan. Implementing the Decision accordingly			
21	Decision No. 18/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 08 "Local Consultant for communication strategy for the Facility"	PMU	Done	CLOSED
				DSENRE	Successful Bidder: Mrs. Bui Thi Thanh Thuy. Implementing the Decision accordingly			
22	Decision No. 19/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 05 "International Consultant for FOM"	PMU	Done	CLOSED
				DSENRE	Successful Bidder: Carola Menzel. Implementing the Decision accordingly			
23	Decision No. 20/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 06 "Local Consultant for FOM" of the Procurement Plan 2014	PMU	Done	CLOSED
				DSENRE	Successful Bidder: Mr. Vu Cuong. Implementing the Decision accordingly			
24	Decision No. 21/QĐ-KHGDTNMT	Jan-15	Operational documents	SC	Approval of the Action Plan and Budget 2015	PMU	Done	CLOSED
				SC	Implementing the Decision accordingly			
25	Decision No. 61/QĐ-BKHĐT	Jan-15	Operational documents	MPI	Approval of the Regulation on organization and operation of the SC	SC	Done	ONGOING
				MPI	Implementing the Decision accordingly			

26	Decision No. 22/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Extension of Contracts for Package No. 05 (international consultant for FOM) and Package No. 06 (local consultant for FOM) of the Procurement Plan 2014	PMU	30 Aug.2015	Done	CLOSED
				DSENRE	Implementing the Decision accordingly				
27	Decision No. 23/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 05 "Benchmark and analyse local GGAP in 3 provinces: Ha Tinh, Ninh Thuan and Binh Thuan" of the Procurement Plan 2015	PMU	31 Dec.2015	Done	CLOSED
				DSENRE	Implementing the Decision accordingly				
28	Decision No. 24/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 06 "Development of Facility Website" of the Procurement Plan 2015	PMU	31 Dec.2015	Done	CLOSED
				DSENRE	Implementing the Decision accordingly				
29	Decision No. 25/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 01 "Elaborate a Green procurement plan for GoV" of the Procurement Plan 2015	PMU	31 Dec.2015	Done	CLOSED
				DSENRE	Implementing the Decision accordingly				
30	Decision No. 26/QĐ-KHGDTNMT	Jun-15	Operational documents	DSENRE	Approval of the Consultant Short Lists for Packages No. 01, 05 and 06 of the Procurement Plan 2015	PMU	31 Dec.2015	Done	CLOSED
				DSENRE	Implementing the Decision accordingly				

31	Decision No. 27/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of selection results of the Package No. 1 "National consultants for elaborating a Green procurement plan for GOV" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Successful Bidder: Mr. Le Duc Chung; Mr. Nguyen Van Hai. Implementing the Decision accordingly			
32	Decision No. 28/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of selection results of the Package No. 05 "National consultants (03) to benchmark and analyse local GGAP in 3 provinces: Ha Tinh, Ninh Thuan and Binh Thuan" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Successful Bidder: Mr. Ha Dang Son; Ms. Nguyen Thi Kim Dung; Mr. Nguyen Thanh Ha. Implementing the Decision accordingly			
33	Decision No. 29/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of selection results of the Package No. 06 "Development of Facility Website" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Successful Bidder: Ms. Nguyen Thi Thuan. Implementing the Decision accordingly			
34	Decision No. 30/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of TORs and the Consultant Short Lists for Packages No. 03 "National Consultant for studying on the remaining gap analysis between GGSF and international expectations" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			

35	Decision No. 31/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 04 "National Consultant for elaborating the Project operation manual (POM)" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			
36	Decision No. 32/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 07 "Local communication specialist" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			
37	Decision No. 33/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of selection results of the Package No. 03 "National Consultant for studying on the remaining gap analysis between GGSF and international expectations" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Successful Bidder: Mr. Ho Quang Minh. Implementing the Decision accordingly			
38	Decision No. 34/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of the National Consultant Short Lists for Packages No. 04 and 07 of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			
39	Decision No. 35/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of selection results of the Package No. 04 "National Consultant for elaborating the Project operation manual (POM)" of the Procurement Plan 2015	PMU	Done	CLOSED

				DSENRE	Successful Bidder: Ms. Hoang Thi Thuy Nguyet. Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
40	Decision No. 36/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of selection results of the Package No. 07 "Local communication specialist" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Ms. Do Thu Nga. Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
41	Decision No. 37/QĐ-KHGDTNMT	Nov-15	Operational documents	DSENRE	Extension of Contracts for Package No. 01 (Green procurement plan for GOV) and Package No. 05 (GGAP in 3 provinces) of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Mar.2016	Done	
42	Decision No. 1698/QĐ-BKHĐT	Nov-15	Operational documents	MPI	Establishment of the Technical Working Group to evaluate calls of proposal	PMU		Done	ONGOING
				MPI	Implementing the Decision accordingly	PMU	(project life)	Implementing process	
43	Decision No. 03/QĐ-BQLDA	Nov-15	Operational documents	MPI	Operational budget for the Technical Working Group to evaluate calls of proposal	PMU		Done	ONGOING
				MPI	Implementing the Decision accordingly	PMU	(project life)	Implementing process	
44	Decision No. 368/QĐ-BKHĐT	Mar-16	Operational documents	MPI	Personnel change of the Technical Working Group (Mr. Nguyen Hoang Lam to replace Ms. Nguyen Lan Huong)	PMU		Done	ONGOING
				MPI	Implementing the Decision accordingly	PMU	(project life)	Implementing process	

45	Decision No. 38/QĐ-KHGDTNMT	Jul-16	Operational documents	DSENRE	Approval of the Action Plan and Budget 2016	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			
46	Decision No. 1091/QĐ-BKHDT	Jul-16	Operational documents	MPI	Approval of the Procurement Plan 2016	PMU	Done	CLOSED
				MPI	Implementing the Decision accordingly			
47	Decision No. 04/QĐ-BQLDA	Aug-16	Operational documents	PMU	Establishment of the Tender Group for the Package No.3 of the Procurement Plan 2016.	PMU	Done	CLOSED
				PMU	Implementing the Decision accordingly			
48	Decision No. 39/QĐ-KHGDTNMT	Aug-16	Operational documents	DSENRE	Establishment of the Tender Evaluation Panel for the Package No.3 of the Procurement Plan 2016.	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			
49	Decision No. 40/QĐ-KHGDTNMT	Aug-16	Operational documents	DSENRE	Approval of the Bidding Documents of the Package No.3 of the Procurement Plan 2016.	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			
50	Decision No. 41/QĐ-KHGDTNMT	Sep-16	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 01 of the Procurement Plan 2016.	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			
51	Decision No. 42/QĐ-KHGDTNMT	Oct-16	Operational documents	DSENRE	Approval of the List of contractors of the Package No.3 of the Procurement Plan 2016.	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			

52	Decision No. 43/QĐ-KHGDTNMT	Oct-16	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 1 of the Procurement Plan 2016.	PMU	31 Dec.2016	Done	CLOSED
				DSENRE					
53	Decision No. 44/QĐ-KHGDTNMT	Oct-16	Operational documents	DSENRE	Approval of selection results of the Package No. 01 of the Procurement Plan 2016.	PMU	31 Dec.2016	Done	CLOSED
				DSENRE					
54	Decision No. 45/QĐ-KHGDTNMT	Nov-16	Operational documents	DSENRE	Approval of selection results of the Package No. 03 of the Procurement Plan 2016.	PMU		Done	CLOSED
				DSENRE					
55	Decision No. 05/QĐ-BQLDA	Mar-17	Operational documents	PMU	Funding allocation in 2017 for pilot projects in Ha Tinh.	PMU		Done	CLOSED
				PMU					
56	Decision No. 06/QĐ-BQLDA	Mar-17	Operational documents	PMU	Funding allocation in 2017 for pilot projects in Ninh Thuan	PMU		Done	CLOSED
				PMU					
57	Decision No. 07/QĐ-BQLDA	Mar-17	Operational documents	PMU	Funding allocation in 2017 for pilot projects in Binh Thuan	PMU		Done	CLOSED
				PMU					
58	Decision No. 51/QĐ-KHGDTNMT	Mar-17	Operational documents	PMU	Approval of the Action Plan and Budget 2017	PMU		Done	CLOSED
				PMU					
59	Decision No. 54/QĐ-KHGDTNMT	May-17	Operational documents	DSENRE	Approval of selection results of the Package No. 04 of the Procurement Plan 2016.	PMU		Done	CLOSED
				DSENRE					

60	Decision No. 55/QĐ- KHGDTNMT	Jun-17	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 05 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
61	Decision No. 56/QĐ- KHGDTNMT	Jul-17	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 05 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
62	Decision No. 57/QĐ- KHGDTNMT	Jul-17	Operational documents	DSENRE	Approval of selection results of the Package No. 05 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
63	Decision No. 58/QĐ- KHGDTNMT	Sep-17	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 01 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
64	Decision No. 59/QĐ- KHGDTNMT	Sep-17	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 03 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
65	Decision No. 60/QĐ- KHGDTNMT	Sep-17	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 04 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	

66	Decision No. 61/QĐ- KHGDTNMT	Oct-17	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 01 of the Procurement Plan 2017.	PMU	31 Dec.2017	Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
67	Decision No. 62/QĐ- KHGDTNMT	Oct-17	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 03 of the Procurement Plan 2017.	PMU	31 Dec.2017	Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
68	Decision No. 63/QĐ- KHGDTNMT	Oct-17	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 04 of the Procurement Plan 2017.	PMU	31 Dec.2017	Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
69	Decision No. 64/QĐ- KHGDTNMT	Nov-17	Operational documents	DSENRE	Approval of selection results of the Package No. 01 of the Procurement Plan 2017.	PMU	31 Dec.2017	Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
70	Decision No. 65/QĐ- KHGDTNMT	Nov-17	Operational documents	DSENRE	Approval of selection results of the Package No. 03 of the Procurement Plan 2017.	PMU	31 Dec.2017	Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
71	Decision No. 66/QĐ- KHGDTNMT	Nov-17	Operational documents	DSENRE	Approval of selection results of the Package No. 04 of the Procurement Plan 2017.	PMU	31 Dec.2017	Done	CLOSED
				DSENRE				Implementing the Decision accordingly	

4.3 Updated Logical framework

No change in the Logical Framework of GGSF.

4.4 MoRe Results at a glance

Logical framework's results or indicators modified in last 12 months?	A set of indicators was developed under the Baseline Report in 2015. No change in 2017.
Baseline Report registered on PIT?	The Baseline Report was approved by BTC and MPI through the Exchange Letter dated 7 th December, 2015.
Planning MTR (registration of report)	The MTR mission was conducted in January, 2017.
Planning ETR (registration of report)	Not yet estimated.
Backstopping missions	A backstopping mission was conducted in June, 2016. No backstopping mission in 2017.

4.5 "Budget versus current (y - m)" Report

Budget vs Actuals (Year to Month, Last 5 Years) of VIE1104111

Green Growth Strategy Facility (GGSF)

C4
EUR
Report includes all closed transactions until the end date of the chosen closing

Year to month : 31/12/2017

Project title
 Budget Version
 Currency
 YAM :

Project title	Budget Version	Currency	YAM :	Status	Fin Mode	Amount	Start to					Total	Budget	EACG
							2013	2014	2015	2016	2017			
A SPECIFIC OBJECTIVE : A FACILITY TO						3 037 687,00	809,56	187 089,48	120 924,51	545 125,09	853 948,64	2 183 738,36	28%	
01 R1: A support Facility is created and						430 451,00	449,47	140 880,24	13 581,85	19 418,02	174 329,57	256 121,43	40%	
01 Studies to develop the facility					COGES	350 640,00	149,82	70 988,28	12 962,67	19 418,02	103 518,78	247 121,22	30%	
02 Development of the different tools for the call					COGES	23 552,00	149,82	14 402,45		0,00	14 552,27	8 999,73	62%	
03 Set-up of the monitoring and evaluation					COGES	0,00				0,00	0,00	0,00	??%	
04 Facility operational manual (FOM) and					COGES	48 343,00	149,83	47 791,09	401,16	0,00	48 342,08	0,92	100%	
05 Publicity and workshops to explain the					COGES	7 916,00		7 698,42	216,02	0,00	7 916,44	-0,44	100%	
02 R 2: Green growth capacities & MPI						263 007,00	210,79	8 365,84	17 649,44	25 692,18	51 918,25	211 088,75	20%	
01 Training needs assessment for MPI and					COGES	50 181,00	149,30	5 838,90	11 796,14	2 396,61	20 180,95	30 000,05	40%	
02 Coordination activities					COGES	7 236,00		1 355,17	463,43	179,88	1 998,48	5 237,52	28%	
03 Capacity building activities for the other					COGES	205 590,00	61,49	1 171,77	5 389,87	23 115,69	29 738,82	175 851,18	14%	
03 R 3: Piloting activities in 3 provinces are						840 160,00	23 058,69	75 715,92	91 467,71	190 242,32	649 917,68	23%		
01 Pilot support to provinces green strategy					COGES	240 160,00		23 058,69	75 715,92	91 467,71	190 242,32	49 917,68	79%	
02 Seed Fund for Short Term Actions					COGES	600 000,00				0,00	0,00	600 000,00	0%	
04 R4: 4. The Facility supports, through a call						1 431 693,00	208,92	11 415,54	395 488,23	407 112,70	1 024 580,30	28%		
01 Launch of 3 calls for proposals					COGES	2 096,00				0,00	0,00	2 096,00	0%	
02 Screening against eligibility criteria and					COGES	22 659,00	208,92	2 407,18	202,96	2 819,07	19 639,92		12%	
03 Contracting & Implementation					COGES	1 347 551,00				390 995,41	390 995,41	956 555,59	29%	
TOTAL						1 483 000,00	1 996,05	196 455,07	232 217,21	249 104,57	894 642,63	588 357,37	60%	
COGEST						3 517 000,00	37 915,11	266 663,29	210 155,24	606 493,11	1 121 226,76	2 395 773,24	32%	
TOTAL						5 000 000,00	1 996,05	234 370,18	442 372,46	855 597,68	2 015 869,39	2 984 130,61	40%	



Budget vs Actuals (Year to Month, Last 5 Years) of VIE1104111

Project Title Green Growth Strategy Facility (GGSF)

Budget Version: **C4**
 Currency: **EUR**
 Year to month: **31/12/2017**
Report includes all closed transactions until the end date of the chosen closing

	Status	Fin Mode	Amount	Expenses					Total	Balance	Budget
				2014	2015	2016	2017	2018			
04 Vn contribution		COGES	0,00				0,00	0,00	0,00	7%	
05 Support and advice to activities on the field		COGES	59.387,00			9.008,36	4.289,86	13.298,22	46.088,78	22%	
05 R 5: Good practices disseminated and			72.376,00	149,30	14.575,79	2.561,76	13.058,95	30.345,80	42.030,20	42%	
01 Elaboration and updating of a communication		COGES	11.129,00	149,30	10.739,84	239,09	0,00	11.128,23	0,77	100%	
02 Awareness campaign towards provinces.		COGES	61.247,00		3.835,95	2.322,67	13.058,95	19.217,57	42.029,43	31%	
03 Capitalization		COGES	0,00				0,00	0,00	0,00	7%	
X BUDGETARY RESERVE (MAX 5% * TOTAL			33.800,00				0,00	0,00	33.800,00	0%	
01 Budgetary reserve			33.800,00				0,00	0,00	33.800,00	0%	
01 Budgetary reserve Co-management		COGES	0,00				0,00	0,00	0,00	7%	
02 Budgetary reserve Own-management		REGIE	33.800,00				0,00	0,00	33.800,00	0%	
Z GENERAL MEANS			1.928.513,00	1.996,05	294.443,52	321.447,94	310.472,59	1.161.920,75	766.592,25	60%	
01 Staff expenses			1.418.313,00	1.996,05	277.730,69	298.141,60	239.493,88	1.017.348,32	400.964,68	72%	
01 International Environmental & Development		REGIE	600.000,00	121.969,81	141.573,24	155.777,43	121.242,83	542.559,36	57.440,64	90%	
02 International expert financial match making		REGIE	15.000,00				0,00	0,00	15.000,00	0%	
03 Local controller		REGIE	117.000,00	10.528,18	19.178,27	19.472,01	20.700,56	69.879,02	47.120,98	60%	
04 Local Environmental economist (project		REGIE	207.000,00	30.382,54	37.405,37	33.661,43	36.182,47	137.631,82	69.368,18	66%	
05 Local Tender specialist		COGES	71.282,00	3.998,67	23.601,48	23.988,02	11.136,21	62.722,38	8.559,62	88%	
06 Local communication specialist		COGES	32.946,00		2.887,74	11.991,27	6.066,59	20.945,60	12.000,40	64%	
		REGIE	1.483.000,00	1.996,05	214.869,71	232.217,21	249.104,57	894.642,63	588.357,37	60%	
		COGEST	3.517.000,00	37.915,11	266.663,29	210.155,24	606.493,11	1.121.226,76	2.395.773,24	32%	
TOTAL			5.000.000,00	1.996,05	481.533,00	442.372,46	855.597,68	2.015.869,39	2.984.130,61	40%	



Budget vs Actuals (Year to Month, Last 5 Years) of VIE1104111

Green Growth Strategy Facility (GGSF)

Project Title
 Budget Version
 Currency
 Y/M :

C4
 EUR

Year to month : 31/12/2017

Report includes all closed transactions until the end date of the chosen closing

	States	Pr. Mode	Account	Start to	2014	2015	2016	Expenses	Total	Balance	Var.
07 Accountant	COGES		74 592.00	31/12/2017	7 045.13	13 044.44	12 452.25	14 391.58	46 933.40	27 558.60	63%
08 Administration staff	COGES		119 395.00		16 410.39	21 510.74	21 555.91	11 486.27	70 963.31	48 431.69	59%
09 M&E Officer	COGES		101 898.00		9 651.36	18 529.41	19 245.29	18 287.37	65 713.43	36 184.57	64%
10 Local Technical Consultant	COGES		36 000.00					0.00	0.00	36 000.00	0%
11 Local Policy Adviser	COGES		43 200.00					0.00	0.00	43 200.00	0%
02 Investments			33 000.00		12 556.72	1 540.61	1 674.49	719.07	16 490.90	16 509.10	50%
01 Office equipment & furniture	REGIE		8 000.00		7 020.95	455.48	34.82	463.99	7 975.24	24.76	100%
02 IT equipment	REGIE		20 000.00		5 535.77	1 085.13	1 620.14	255.08	8 496.12	11 503.88	42%
03 Office improvement works	REGIE		5 000.00				19.54	0.00	19.54	4 980.46	0%
03 Operational expenses			275 200.00		18 465.58	14 093.43	20 455.33	24 456.50	77 470.85	197 729.15	28%
01 Office rent	REGIE		108 000.00		8 066.00	9 430.31	12 645.84	4 618.56	34 160.71	73 839.29	32%
02 Services and maintenance costs (incl.	REGIE		36 000.00		195.58	409.44	255.24	11 316.00	12 176.26	23 823.74	34%
03 Transportation costs	REGIE		28 800.00		218.91	1 129.60	2 589.45	1 433.24	5 351.20	23 448.80	19%
04 Telecommunications	REGIE		21 600.00		1 021.12	1 281.58	1 337.33	1 230.89	4 870.91	16 729.09	23%
05 Operation costs	REGIE		46 800.00		8 851.16	1 622.99	4 366.05	4 002.57	19 042.77	27 757.23	41%
06 Representation and external communication	REGIE		24 000.00			19.52		0.00	19.52	23 980.48	0%
07 Legal advice	REGIE		10 000.00					1 855.24	1 855.24	8 144.76	19%
08 Other operational costs (on VN budget)	REGIE		0.00		112.81		118.57	0.00	-5.76	5.76	2%
TOTAL			5 000 000.00		1 996.05	1 996.05	1 996.05	249 104.57	854 642.63	588 357.37	50%
	COGES		3 517 000.00		37 915.11	266 663.29	210 155.24	606 493.11	1 121 226.76	2 395 773.24	32%
	TOTAL		5 000 000.00		1 996.05	1 996.05	1 996.05	855 597.68	2 015 869.39	2 984 130.61	40%



Budget vs Actuals (Year to Month, Last 5 Years) of VIE1104111

Project Title: Green Growth Strategy Facility (GGSF)

Budget Version: C4
 Currency: EUR
 Year to month of: 31/12/2017

Report includes all closed transactions until the end date of the chosen closing

	Start to	2014	2015	2016	Expenditure	Total	Balance	% Paid
	2013	Amount	2014	2015	2016			
04 Audit and Monitoring and Evaluation		202,000.00	2,552.24	1,078.79	1,176.51	50,610.68	151,389.32	25%
01 Monitoring and Evaluation costs		80,000.00	2,552.24		1,176.51	38,279.96	41,720.04	48%
02 Consultancy for quality control of calls		30,000.00				0.00	30,000.00	0%
03 Audit and organisational assessment		68,000.00				10,610.30	57,389.70	15%
04 Monitoring and Backstopping (BTC)		24,000.00		1,078.79		1,720.42	22,279.58	7%

4.6 Communication resources

- The Prime Minister. (September, 2012) Decision No 1393/QĐ-TTg on the Approval of the Vietnam's National Green Growth.
- BTC. (2012) Technical and Financial File - Green Growth Strategy Facility (GGSF).
- Green Growth Strategy Facility (GGSF). (December, 2015) Baseline Report.
- Green Growth Strategy Facility (GGSF). (2017) Annual Work Plan 2017.
- Green Growth Strategy Facility (GGSF). (June, 2016) Progress Report for 6th Steering Committee Meeting.
- Green Growth Strategy Facility (GGSF). (2017) M&E Quarterly Reports.
- Green Growth Strategy Facility (GGSF). (2017) M&E Quarterly Reports of pilot projects.