



BTC



MPI

RESULTS REPORT 2015

GREEN GROWTH STRATEGY FACILITY

INTERVENTION CODE: VIE 11 041 11

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Acronyms

BTC	Belgian Technical Cooperation, the Belgian Development Agency
COP21	UNFCCC Conference of Parties #21 – UN International climate summit held in Paris in Nov.2015
DSENRE	Department of Science, Environment and Natural Resources
FOM	Facility Operation Manual
GCF	Green Climate Fund
GGSF	Green Growth Strategy Facility
INDC	Intended Nationally Determined Contribution (to global GHG mitigation effort, submitted prior to the COP21)
M&E	Monitoring and Evaluation
MPI	Ministry of Planning and Investment
MIE	Multi Implementing Entity (of the Green Climate Fund)
NIE	National Implementing Entity (of the Green Climate Fund)
PDP	Power Development Plan
POM	Project Operation Manual
VNGGAP	Viet Nam Green Growth Action Plan
VNGGS	Viet Nam Green Growth Strategy

1 Intervention at a glance

1.1 Intervention form

Intervention title	Green Growth Strategy Facility (GGSF)
Intervention code	VIE 11 041 11
Location	Hanoi Provinces: Ha Tinh, Binh Thuan and Ninh Thuan
Total budget	5,500,000 Euro, which includes: + Non-refundable aid of Belgian Government: 5,000,000 Euro; + Contribution of the Partner country: 500,000 Euro.
Partner Institution	Ministry of Planning and Investment (MPI), Department of Science, Education, Natural Resources and Environment (DSENRE)
Start date Specific Agreement	14th August 2013
Date intervention start /Opening Steering Committee	14th August 2013/ 14 th October, 2013
Planned end date of execution period	14th August 2019
End date Specific Agreement	14th August 2019
Target groups	+ MPI, and in particular DSENRE; + Members of the GGS Steering Board; + Related Government agencies and selected provinces + NGOs, including Vietnamese business associations and sector associations; + Management of business associations, chambers of commerce, industrial parks, industrial clusters, and crafts villages, for instance those concerned by actions funded through the project and/or Facility.
Impact ¹	The Vietnamese Green Growth Strategy is implemented
Outcome	A Facility to support the implementation of the Vietnamese Green Growth Strategy enables Green initiatives
Outputs	1. A support facility is created and developed
	2. Green growth capacity & MPI leadership increased
	3. Piloting activities in three provinces are supported
	4. The Facility supports, through a call for proposals, green growth interventions throughout the country
	5. Good practices disseminated and replicated
Year covered by the report	2015

¹ Impact refers to global objective, Outcome refers to specific objective, output refers to expected result

1.2 Budget execution

Currency: EUR

	Budget	Expenditure		Balance	Disbursement rate at the end of 2015
		Previous years	2015		
Total	5,000,000	2014: 234,787.39 2013: 1,996.05	481,533.01	4,281,683.55	14%
A support facility is created and developed	430,000	2014: 449.47 2013: 0.00	140,880.24	288,670.29	33%
Green growth capacity & MPI leadership increased	200,000	2014: 210.79 2013: 0.00	8,365.84	191,423.37	4%
Piloting activities in three provinces are supported	920,000	2014: 0.00 2013: 0.00	23,058.69	896,941.31	3%
The Facility supports, through a call for proposals, green growth interventions throughout the country	1,440,000	2014: 0.00 2013: 0.00	208.92	1,439,791.08	0%
Good practices disseminated and replicated	175,000	2014: 149.30 2013: 0.00	14,575.79	160,274.91	8%
Other expenditure (<i>Budgetary reserve, general means</i>)	1,835,000	2014: 233,977.83 2013: 1,996.05	294,443.53	1,304,582.59	29%

1.3 Self-assessment performance

1.3.1 Relevance

	Performance
Relevance	A

For the MPI, the VNGGS and its implementation are still of primary importance and the target facility is still considered a key element enabling financing the necessary investments.

For the VN government more generally, the importance of efficient GHG mitigation and climate change policies increased over the last year, in particular due to the COP21 momentum late 2015. Climate finance specifically was a very important topic among the declarations and attention points of the VN official delegation attending the COP21.

In this context, the project objectives are still totally relevant. However, the growing attention for climate finance could potentially increase the likelihood of a few identified risks such as having multiple overlapping or competing climate finance initiatives in the country.

1.3.2 Effectiveness

	Performance
Effectiveness	A

At this stage, all planned activities have been implemented and the target outcome should be reached by the end of the project. The Steering committee and the project staff

demonstrated to be flexible enough to adapt to changing context.

In particular, it has been decided to decouple the full approval of the facility operation manual (FOM) from the selection and funding of pilot activities in order for the pilots not to be further delayed by the critically important FOM approval process.

1.3.3 Efficiency

	Performance
Efficiency	B

One of the main expected disbursements, namely the support of the pilot projects in 3 provinces, has been slightly delayed. This is due to a pilot selection process longer than expected. At the time of writing this report, it is however confirmed that this selection is being finalized (by the inter-ministerial technical committee established by MPI, and with the support of the project ITA). The funding of the pilot projects should thus start in 2016.

1.3.4 Potential sustainability

	Performance
Potential sustainability	A

The long term financial sustainability of the GGSF is a key requirement addressed in the upcoming Facility Operation Manual in terms of target beneficiaries, funding sources, principles and instruments. One risk however is that other potentially competing facilities or initiatives prevent the GGSF from being fully sustainable (e.g. by attracting international donors' contributions or having monopoly on specific funding instruments or activities).

1.4 Conclusions

- The relevance and political expectations about the facility are still confirmed
- The 2 key tasks of the project, namely publication of the Facility Operation manual and funding of pilot activities in the provinces, are about to be achieved next year.
- The selection of pilot project has however been slightly delayed due to a selection process long than expected.
- Risks that were initially identified are still relevant even though their likelihood is not increased.

Pham Hoang Mai



GGSF PMU Director

Alain Devaux



Resident Representative of BTC

2 Results Monitoring²

2.1 Evolution of the context

2.1.1 General context

- The COP21 momentum increased the worldwide awareness about climate change risks and GHG mitigation necessity.
- Moreover global attention to fair and significant climate finance raised in 2015.
- This is also true for the Viet Nam government and local green growth stakeholders who have increased communication and efforts related to those issues especially late 2015.
- Several projects currently focus on strengthening the readiness of climate finance related institutions of Viet Nam. This includes ODA projects and multilateral support to access GCF first funding windows.
- Though it definitely strengthens the landscape whereto the GGSF will contribute, it also increases the risk of multiplying and scattering initiatives which could result in inefficiency (e.g. because of competition or duplication by initiatives).

2.1.2 Institutional context

- In 2015, the MPI continued leading both the coordination of the national GGAP and the way towards accessing the GCF support.
- Other line ministries played an important role in GHG mitigation policy in particular MONRE (coordinating the Vietnamese delegation to the COP21 and the related INDC) and MOIT (leading the revision of the Power Development Plan which defines the future national electricity production mix).
- The MPI has established an inter-ministerial technical committee for the GGSF. The role of this committee will be defined in the FOM and is primarily to technically evaluate project proposals submitted to the facility.

2.1.3 Management context: execution modalities

- Given the new strategy on development cooperation of the BTC has been changed and more importantly the urgent need for acceleration of the progress and disbursement are required and thus the change of the modality for delivery of activities under the GGSF in the upcoming time should be reviewed. In this regard, the following recommendations of GGSF PMU has been endorsed by the 3rd Steering Committee:
 - a. Application of the Grant Agreement for implementing the budget line A0301 "Pilot support to provinces green strategy action plans" and A0403 "Contracting and Implementation".

² Impact refers to global objective, Outcome refers to specific objective, output refers to expected result

- b. Assigning GGSF PMU to elaborate the contents and principles of Grant Agreement to ensure compliance with the Vietnam regulations and BTC procedures..
- The Steering Committee thereby agreed to speed up the implementation of the provincial pilot projects and to decouple it from the process of elaborating and validating the Facility Operation Manual.
 - Concretely, this means the project will mainly implement one single pilot projects selection and funding window with the BTC funding, with the provincial authorities as beneficiaries, rather than multiple calls for proposals with multiple beneficiary types (process simplification).
 - The MPI initiated the process by asking the provinces to submit pilot project proposals which have been technically assessed by the GGSF staff and which are under assessment by an inter-ministerial committee at the time of writing this document.
 - Though this influences the process and nature of pilot projects (i.e. project's Outputs 3 and 4), the other activities of the project are not impacted.

2.1.4 Harmo context

- With the growing interest of donors about the VGGNS and climate finance, there is a risk to be seen ever more donors involved in numerous parallel and potentially overlapping or competing activities.

2.2 Performance Outcome



2.2.1 Progress of indicators

The GGSF Baseline report was approved by BTC and MPI through the exchange letter dated 7 December, 2015. Since then, the set of M&E indicators in the Baseline has been officially applied by the Project.

OUTCOME: A Facility to support the implementation of the Vietnamese Green Growth Strategy enables Green initiatives						
Indicators	Baseline Value	Value at the end of 2014	Value 2015	Target 2015	Final Target	
1 (Cumulated) Number of green growth investment projects to be implemented or under implementation with the Facility support (incl. BTC pilot projects).	0	0	0	0	4	
2 Number of funding sources other than BTC contributing or due (having pledged) to contribute to the Green Growth Strategy Facility.	0	0	0	0	1	
3 The facility has a financial plan spanning beyond the BTC project termination	No	No	No	No	Yes	

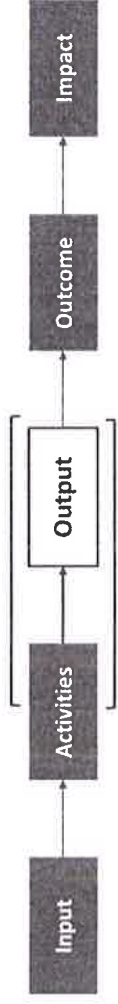
2.2.2 Analysis of progress made

- In order to achieve the outcome, the project managed to recruit qualified consultants to contribute to necessary outputs of the project.
- In that view, the project progressed as planned.
- No issues rose during the reporting period.
- The Facility is still considered as a key element to enable the VNGGS implementation, in particular to channel funding towards relevant green initiatives and unlock existing barriers.
- The raising partner's expectation about the Facility is a key (positively) influencing factor of the project during the reporting period.
- A key element for officially establishing the Facility and attracting additional funding sources is the Facility Operation Manual (FOM). A final draft of FOM has been submitted by the consultants and MPI is currently collecting feedback from other line ministries about it before sharing it with international stakeholders.
- The GGSF PMU and staff managed to ground the upcoming Facility in the climate finance landscape of Viet Nam.

2.2.3 Potential Impact

- In case the VGGSF is ever accredited as NIE for the GCF, it will then become (one of) the central tools of the implementation of the national strategy. This would mean a very high impact, potentially surpassing the one identified in the formulation.
- This however raises new risks (collaboration/competition with other development agencies, competition with other financial institutions etc.).

2.3 Performance Output 1



2.3.1 Progress of indicators

OUTPUT 1: A support Facility is created and developed						
Indicators	Baseline Value	Value at the end of 2014	Value 2015	Target 2015	Final Target	
1.1 Publication of the Facility Operational Manual (FOM)	0	0	0	0	1	
1.2 Monitoring and Evaluation Tools (M&E tools) of the Facility's operation launched and followed-up.	0	0	1	0	1	

2.3.2 Progress of main activities

Progress of <u>main</u> activities ³	Progress:			
	A	B	C	D
A.1.1 Studies to develop the Facility		x		
A.1.2 Development of the different tools for the call for proposals		x		
A.1.3 Set-up of the monitoring and evaluation strategy		x		
A.1.4 Development of the Project Operation Manual (POM) and Facility Operational Manual (FOM)		x		
A.1.5 Development of advertisement and workshops			x	

2.3.3 Analysis of progress made

The main achievement under this output is the release of a final version of the FOM that will serve as cornerstone for the establishment of the facility. Hereafter, we detail the progress along each activity.

A.1.1 Studies to develop the Facility:

A0101.a&b: Identifying sectors at national level for action and conducting a Baseline report

The consultant team has been mobilised in December, 2014 to conduct the Study on "identifying sectors at national level for actions" with the aim at presenting the state of the art in relation with green growth in Vietnam; suggesting the priority sectors for actions (according to environmental, economic and social criteria and the willingness of the sector to participate to the call for proposals) and developing relevant Monitoring and Evaluation indicators in the concerned sectors. The final draft report has been submitted to the PMU in June, 2015.

After the support of internal and local consultants, GGSF PMU has been conducted the Baseline report. It was then approved by BTC and the Steering Committee in the Exchange Letter dated 7th December, 2015.

Status: Completed in June, 2015 (*for identifying sectors*) and December, 2015 (*for the Baseline*)

A0101.c. Elaboration of Green Procurement Plan of the Government of Vietnam:

The consultant team for elaborating a "green procurement plan for GoV" has been mobilised. The objective of the assignment is: (i) to develop a Green Procurement Policy (GPP) of the Viet Nam, including both GPP guidelines, recommendations; (ii) a GPP roadmap that will form the basis for a future regulatory document. The final report has been submitted to PMU in December, 2015.

Status: Completed in December, 2015.

A0101.d. Workshop to inform stakeholders about selected sectors:

02 workshops have been conducted in April, 2015 in Ha Tinh province and Binh Thuan province to inform GGSF stakeholders about selected sectors.

Status: Completed in April, 2015.

A0101.e. Studying on the remaining gap analysis between GGSF and international

³ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

expectation:

The local consultant for this activity was mobilised in August, 2015 and then the final report was submitted to PMU in September, 2015.

Status: Completed in September, 2015.

A0101.f. Elaboration of Project Operational Manual:

The local consultant for the activity of elaboration of POM was mobilised in August, 2015. The final POM has been submitted to PMU in December, 2015 for review and approval.

Status: Completed in December, 2015.

A.1.2 Development of the different tools for the call for proposals:

A0102.a&b: Development of Call for Proposal

In the last year (2014) procurement plan, this activity was planned with recruitment of international and local consultants. However, the package for international consultant did not receive any application. Then, the local consultant was contracted in December 2014 to elaborate the complete set of call for proposal mechanisms.

The objective of the assignment was (i) to design and detail all the call for proposals workflow, from call elaboration to notification, including the proposals selection bodies, procedures and criteria; (ii) to ensure adhesion of relevant stakeholders through consultation workshops and interviews; (iii) to guarantee sustainability and efficiency of the mechanism, potentially by developing any other necessary tool; (iv) to guarantee respect of international standards and Vietnamese regulation; (v) to guarantee the approval of the CFPM by the GGSF Steering committee.

The completed set of the call for proposal instruments has now finalised, integrated in the FOM draft and submitted to the PMU for review and approval.

Status: Completed in June 2015.

A0102.c. 02 workshops with donors and stakeholders to disseminate the tools:

It has planned that 02 workshops conducted in 2015, however these have not been happened due to lack of related contents and materials (FOM, Green Procurement Plan of GoV, GGAPs of 3 provinces...). These will be brought forward to 2016.

Status: On-going

A.1.3. Set-up of the monitoring and evaluation strategy

The M&E Officer has been mobilized in the 2nd quarter of 2014 to support the implementation of the Project, especially development of an efficient M&E system required by the Project and BTC M&E policy.

The Monitoring and Evaluation Strategy has been completed shortly after the Baseline Report. A set of M&E tools was developed accordingly and has been applied from quarter IV, 2015 in line with regulations of GoV and BTC.

Status: Completed in December, 2015.

A.1.4. Development of the Project Operation Manual (POM) and Facility Operational Manual (FOM).

A0104.a&b: Design and Development of Facility Operation Manual

The consultant team has been mobilised in late 2014 to elaborate the Facility Operation Manual (FOM). The objective of the task is (i) to clearly establish all the governance, administration, financial and operational framework of the facility and (ii) to mitigate some of the risks compromising the facility sustainability.

The nature of work between Facility Operational Manual and Project Operational Manual are

different and thus the activity A.1.4 only focuses on development of FOM. The development of POM was included in the annual work-plan 2015. The budget line is used for the development of POM was justified in the annual work-plan 2015 for consideration and approval by the SC.

The final draft FOM has been finalised and submitted to the PMU in December, 2015 for review and approval. The FOM is now under evaluation by other line ministries and will later be shared with international stakeholders involved in the VNGGAP.

Status: On-going

A0101.c. 03 workshops to disseminate FOM:

As the final draft of FOM has been completed in December, 2015, these activities are expected to implement in Q1, 2016.

Status: On-going

A.1.5. Development of advertisement and workshops:

These activities have not been carried out as the tools and procedures are not available. These are remained important and will be brought forward to 2016 workplan.

Status: On-going

2.4 Performance Output 2

2.4.1 Progress of indicators

OUTPUT 2: Green growth capacities & MPI leadership increased						
Indicators	Baseline Value	Value at the end of 2014	Value 2015	Target 2015	Final Target	
2.1 Share of MPI members of the facility which have followed the GGSF training workshops or activities	0	0	0	0	60%	
2.2 Number of participants to training activities	0	0	0	0	10	
2.3 Project Planned Studies and consultancies have been completed	0%	11%	93%	80%	80%	

2.4.2 Progress of main activities

Progress of <u>main</u> activities ⁴	Progress:			
	A	B	C	D
A.2.1 Training needs assessment for MPI and capacity building		x		
A.2.2 Coordination activities with other actors	x			
A.2.3 Capacity building activities for the other actors		x		

2.4.3 Analysis of progress made

A.2.1. Training needs assessment for MPI and capacity building

A0201.a: Training Needs Assessment and Capacity Building

The consultant has been mobilised in December 2014 to carry out the study on training needs assessment and capacity building for Ministry of Planning and Investment's staff. The objective of the report was to assess the training needs and capacity building which is to incorporate into the training program of the Facility .

The consultant has finalised the report and submitted to the PMU for review and approval at the end of June, 2015.

Moreover, it has been asked to all other consultant involved in the GGSF studies to conclude their work with a short briefing of the project PMU about their results (green procurement, provincial GGAP, etc).

Status: Completed in June, 2015.

A.2.2. Coordination activities with other actors

A0202.a: Providing support to local provinces and relevant agencies

The launching of the National Green Growth Strategy will require huge coordination efforts. The Project intervention team has worked hard in the last time to meet and exchange views with different development partners, donors and other related stakeholders through meetings, workshops, roundtables etc. The effort will continue in the upcoming time. In particular, coaching of provincial authorities is expected in the context of the design and launch of pilot activities in 2016.

Status: On-going

A.2.3. Capacity building activities for the other actors

These capacity building activities have not been conducted given they are connected to other activities under the framework of the Facility which are not completed yet. It is expected that a number of training workshops on supporting the proposal formulation be conducted in 2016.

Note that through participation to GG related workshops and via frequent discussions with international stakeholders, the GGSF staff is informally presenting the objectives of the GGSF and raising GG issues or challenges that may not always be taken into account by GG actors.

Status: On-going

⁴ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

2.5 Performance Output 3

2.5.1 Progress of indicators

OUTPUT 3: Piloting activities in three provinces are supported						
Indicators	Baseline Value	Value at the end of 2014	Value 2015	Target 2015	Final Target	
3.1 Supported piloting activities for 03 provinces are implemented	0	0	0	0	3	
3.2 GG Benefits of pilot projects are assessed and aligned with VNGGS objectives	0	0	0	0	3	
3.3 Disbursement and implementation plan of pilot projects is followed by provinces	0%	0%	0%	0%	100%	

2.5.2 Progress of main activities

Progress of <u>main</u> activities ⁵	Progress:			
	A	B	C	D
A.3.1 Pilot Province green strategy action plan			x	
A.3.2 Short Term Actions (STA)		x		

2.5.3 Analysis of progress made

A.3.1 Pilot Province green strategy action plan:

A0301.a: Benchmark and Analyse Local Green Growth Action Plan in three Provinces Ha Tinh, Ninh Thuan and Binh Thuan

The consultant team has been mobilised and commenced their work from 15 July, 2015. The objective of the consultancy is to elaborate the GGAP of the 3 provinces of Ha Tinh, Binh Thuan and Ninh Thuan, based on the marginal cost abatement curve (MACC) approach and templates provided by the Ministry of Planning and Investment.

The consultant team is closely working with PMU and three provinces to support them in elaborating the draft GGAP of the said provinces. It was planned that the consultant team will complete their assignment by mid of November, 2015. However, to ensure the quality and requirements of this, it is extended until the end of January, 2016.

Status: On-going

A.3.2 Short Term Actions (STA):

A0302.a: 02 short term actions to fund

The project has a small actions budget line (seed fund) that can be used for a variety of quick actions (relatively small amounts, short-term activities) on green growth, increasing the capacity of the counterparts to act quickly when needed or supporting pilot projects that may be used to show case greening actions at the province level. Use of the funds will be decided by the project within restrictions set during the pilot phase, and with post-hoc reporting by BTC.

There have been a number of meetings between Project intervention team and PMU to discuss on ways for delivery these activities in an efficient manner. However, the processes and tools for the Facility are being finalised, thus specific cases of those activities will be discussed and defined during the implementation of Q1 and Q2 of 2016.

Status: On-going

⁵ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

2.6 Performance Output 4

2.6.1 Progress of indicators

OUTPUT 4: The Facility supports, through a call for proposals, green growth investments throughout the country						
Indicators	Baseline Value	Value at the end of 2014	Value 2015	Target 2015	Final Target	
4.1 The FOM includes the call for proposal mechanism (procedures, templates, responsibilities...)	No	No	Yes	No	Yes	
4.2 The facility has the necessary qualified staff to operate the calls for proposals	No	No	No	No	Yes	
4.3 The Facility has a technical committee with required GG expertise to assess project proposals	No	No	Yes	No	Yes	

2.6.2 Progress of main activities

Progress of <u>main</u> activities ⁶	Progress:			
	A	B	C	D
A.4.1 Launch of 3 calls for proposals			X	
A.4.2 Screening against eligibility criteria and selection criteria		X		
A.4.3 Contracting & Implementation			X	
A.4.4 Vn contribution		X		
A.4.5 Support and advice to activities on the field		X		

2.6.3 Analysis of progress made

A.4.1 Launch of 3 calls for proposals

A0401.a: Workshop to present the target call for pilot projects

The call for proposals can be launched after the tools and related materials are available. As the activity of "Development of Calls for proposals mechanism" was completed in June, 2015 and the activity of "Development of Facility Operation Manual" was completed in December, 2015, it is expected that a number of workshops will be conducted in the first half of 2016 to launch calls for proposals.

Note that, the MPI initiated a first call for proposals during summer 2015 by asking the 3 provinces to submit proposition of GG projects.

Status: On-going

A.4.2 Screening against eligibility criteria and selection criteria

A0402.a: Working team to screen against eligibility criteria and section criteria

The Technical Working Group of GGSF has been formed under the Decision 1698/QD-BKHDT of the Ministry of Planning and Investment dated 16th November, 2015. This working team is currently conducting a screening of the submitted provincial proposals against the selection criteria and eligibility criteria and based on a technical assessment by the GGSF staff.

Status: On-going

A.4.3 Contracting and implementation

A0403.a: 02 proposals to fund

In the Annual workplan 2015, 2 proposals were expected to be funded in Q3 and Q4, 2015. However, this activity is the next step after activities of A.4.1 and A.4.2. Therefore, these will be brought forward to 2016.

Status: On-going

⁶

A	The activities are ahead of schedule
B	The activities are on schedule
C	The activities are delayed, corrective measures are required.
D	The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

A.4.4 Vietnam contribution

According to the TFF of GGSF project, the Government will provide counterpart fund in terms of human resource for management and implementation during the whole life of project.

Status: On-going

A.4.5 Support and advice to activities on the field

During the implementation of activities under Result 4, GGSF project has provided support in terms of consultation workshops, organizing field missions for PMU and MPI staff, consultants, project team and other involved partners according to GGSF's needs.

Status: On-going

2.7 Performance Output 5

2.7.1 Progress of indicators

OUTPUT 5: Good practices disseminated and replicated						
Indicators	Baseline Value	Value at the end of 2014	Value 2015	Target 2015	Final Target	
5.1 Workshops nationwide, introducing green results of typical pilot projects supported by the Facility, promotion material, disseminate information and/or encourage replication of the green growth project activities	0	0	0	0	6	
5.2 Facility website is online and provides information about the GGSF context and activities	No	No	Yes	No	Yes	

2.7.2 Progress of main activities

Progress of <u>main</u> activities ⁷	Progress:			
	A	B	C	D
A.5.1 Elaboration and updating of a communication strategy for the Facility		x		
A.5.2 Awareness campaign towards provinces and actors of selected sector(s)			x	
A.5.3 Capitalization		x		

2.7.3 Analysis of progress made

A.5.1 Elaboration and updating of a communication strategy for the Facility:

A0501.a: Development of Communication Strategy for GGSF

A local consultant was hired in December, 2014. The aim of the this work was to ensure the implementation of communication operational strategies; to ensure public awareness raising; to support communication and partnership with media and stakeholders; to support communication management of VGGSF related issues. The final draft of the communication strategy has been submitted to the PMU for approval in July, 2015.

Status: Completed in July, 2015.

A0501.b: Development of Website for GGSF

As scheduled in the Annual plan 2015, the national consultant has been mobilised for development of the Facility Website. The Website has been established and was online in October, 2015. It is now in the process of trial operation & testing and is expected to be officially launched in January, 2016.

Status: On-going.

A.5.2 Awareness campaign towards provinces and actors of selected sector(s):

It has planned that a number of awareness raising workshops conducted in 2015, however these have not been happened due to lack of communication content and material. These will be brought forward to 2016

Status: On-going.

A.5.3. Capitalization:

As designed, this activity will carried out at the end of the project, after the first activities have produced results. And thus this activity is planned to take place in year three of the Project (2016).

Status: On-going.

⁷ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

2.8 Transversal Themes

2.8.1 Gender

So far, the project took gender stakes into account during the recruitment of its own staff and consultants by making sure candidate has been evaluated on a fair and transparent way.

A specific section on gender stakes was also included in the target table-of-content of the Facility operation manual, so as to make sure this is taken into account in both its management framework and green project selection mechanism.

2.8.2 Environment

Environment is at the heart of the VNGGSF. Consequently the Facility is by mission aiming at preserving natural resources and mitigating the environmental impact of the Vietnam economy.

A specific section on environmental impact was also included in the target table-of-content of the FOM.

2.8.3 Other

Beyond gender and environment, the table-of-content of the FOM currently under elaboration also includes sections about “socio-environmental safeguards” that the Facility must consider in the future for both its management procedures and project selection criteria.

The list of safeguards will most probably be inspired by the current international requirements of the Green Climate Fund.

2.9 Risk management

Identification of risk or issue			Analysis of risk or issue			Deal with risk or issue			Follow-up of risk or issue		
Risk description	Period of identification	Category	Likelihood	Potential impact	Total	Action(s)	Resp.	Deadline	Progress	Status	
Lack of timeliness risk	TFF preparation (2013)	OPS	Low	Medium	Low Risk	Timely recruitment of lead ITA and supporting ITA and local TA	PMU	30/06/2014	Done	In progress	
						Frame work for core elements for POM in draft in the TFF	PMU	30/06/2014	POM under elaboration		
Bypassing rules risk	TFF preparation (2013)	OPS	Low	Low	Low Risk	Active monitoring by MPI, ITA and BTC	MPI, BTC, PMU	(project life)	unaddressed yet	In progress	
						Clear definition of the call for proposal and other financial mechanisms	PMU	(project life)	unaddressed yet		
Lack of project management capacities risk	TFF preparation (2013)	OPS	Low	Low	Low Risk	Ample project management experience and strong buy in of MPI leadership	PMU	(project life)	Recruited project coordinator has extensive experience	In progress	
						Have ITA identify possible knowledge, experience and exposure gaps and suggest capacity building activities	PMU	(project life)	ITA appears to have required competencies		
Lack of call participation risk	TFF preparation (2013)	OPS	Medium	Low	Low Risk	Dedicated communication officer	BTC	to be defined	unaddressed yet	In progress	
						Use of a focused communication plan identifying the target group and means to communicate with this	PMU	to be defined	unaddressed yet		

Lack of sustainability of experiences risk	TFF preparation (2013)	DEV	Low	Low	Low Risk	Experience and methodologies gained and developed on facilitating investments feed into the core mandate of MPI related to investment planning and improvement	PMU	30/06/2015	To be addressed in the pilot project phase	In progress
Lack of sustainability of the Facility <ul style="list-style-type: none"> • Due to low interest by donors • Due to an unfavorable environment that the GGSF and Facility would not be able to sufficiently change. 	TFF preparation (2013) Updated during Baseline Elaboration	DEV	Low	High	Medium Risk	Proof performance of facility as a well-managed mechanism towards donor and users	PMU	30/06/2015	To be considered during the FOM elaboration	In progress
						Focused support to facility to remove barriers will improve possible impact of facility overtime in terms of facilitating investment in green growth	PMU	30/06/2015	To be considered during the FOM elaboration	
Risk of an ineffective GGSF and Facility governance framework	TFF preparation (2013)	DEV	Medium	Medium	Medium Risk	Ensure coordination of the donors and communication between them and coherence between their interventions	PMU	30/05/2014	New risks that should be addressed asp	In progress
						Mutual agreement of governing frame work and good communication and mutual understanding of controlling requirement of Belgium and Vietnam	PMU	30/06/2015	To be considered during the FOM elaboration	
						Emphasis on process control and efficient administrative procedures	PMU	30/06/2015	To be considered during the FOM elaboration	

Risk of inconsistent use of agreed governing processes	TFF preparation (2013)	OPS	Medium	Medium	Medium Risk	Use of controller mandated to monitor used selection and administrative processes	BTC & PMU	30/06/2015	To be considered during the POM and FOM elaboration	In progress
						Controller tasked to advise on effective use of POM and FOM to ensure timely project delivery and good oversight by BTC at the same time	BTC & PMU	30/06/2015	To be considered during the POM and FOM elaboration	
						Controller asked to identify short coming in facility governing framework and advise on improvements	BTC & PMU	30/06/2015	To be considered during the POM and FOM elaboration	
Risk of inconsistent use of contracting and administrative standard on all project levels	TFF preparation (2013)	JUR	Low	Medium	Low Risk	Ensure clear guidelines for subcontracting as part of the Facility rules	PMU	to be defined	unaddressed yet	In progress

3 Steering and Learning

3.1 Strategic re-orientations

Given the new strategy on development cooperation of the BTC has been changed and more importantly the urgent need for acceleration of the progress and disbursement are required and thus the change of the modality for delivery of activities under the GGSF in the upcoming time had to be reviewed. In this regard, the following recommendations of GGSF PMU have been endorsed by the 3rd Steering Committee:

- Application of the Grant Agreement for implementing the budget line A0301 - Pilot support to provinces green strategy action plans and A0403 - Contracting and Implementation.
- Assigning GGSF PMU to elaborate the contents and principles of Grant Agreement to ensure compliance with the Vietnam regulations and BTC procedures Even though this has significantly affected other BTC projects, our project wasn't impacted by this difficulty during the reporting period.

As a consequence, MPI initiate a call for proposals by asking provincial authorities to submit GG projects propositions. These singles large call; replace the planned iterative calls for proposals initially envisioned in the TFF that would potentially delay the pilot implementation.

3.2 Recommendations

Recommendations	Actor	Deadline
To ensure the Project activities are effectively implemented in the year 2016, it is proposed that more meetings, consultations and interactions would be conducted between the PMU Members, BTC program officers, staff and PMU Office Intervention Team to timely discuss problems faced during the project implementation. The experiences show that timely guidance and instructions would help accelerate the progress of the project.		

3.3 Lessons Learned

Lessons learned	Target audience
<p>It is noted that lessons learnt during the implementation of the project is close collaboration between the intervention/project team, PMU and BTC; more interactions and timely information sharing is also extremely essential.</p> <p>If there have been problems faced during the implementation phase, meetings would be conducted between the PMU Members, BTC program officers, staff and PMU Office Intervention Team to timely address the problems, and more</p>	Interventions, Representation, BTC HQ department, partner department...

<p>importantly the follow-up actions with clear responsibilities, division of labour etc. should be set up.</p> <p>Clear precedures, steps with clear guidance should help and reduce time consuming; updating on new policies, regulations would be shared timely.</p>	
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4 Annexes

4.1 Quality criteria

1. RELEVANCE: The degree to which the intervention is in line with local and national policies and priorities as well as with the expectations of the beneficiaries					
<i>In order to calculate the total score for this quality criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D</i>					
Assessment RELEVANCE: total score		A	B	C	D
		X			
1.1 What is the present level of relevance of the intervention?					
X	A	Clearly still embedded in national policies and Belgian strategy, responds to aid effectiveness commitments, highly relevant to needs of target group.			
	B	Still fits well in national policies and Belgian strategy (without always being explicit), reasonably compatible with aid effectiveness commitments, relevant to target group's needs.			
	C	Some issues regarding consistency with national policies and Belgian strategy, aid effectiveness or relevance.			
	D	Contradictions with national policies and Belgian strategy, aid efficiency commitments; relevance to needs is questionable. Major adaptations needed.			
1.2 As presently designed, is the intervention logic still holding true?					
X	A	Clear and well-structured intervention logic; feasible and consistent vertical logic of objectives; adequate indicators; Risks and Assumptions clearly identified and managed; exit strategy in place (if applicable).			
	B	Adequate intervention logic although it might need some improvements regarding hierarchy of objectives, indicators, Risk and Assumptions.			
	C	Problems with intervention logic may affect performance of intervention and capacity to monitor and evaluate progress; improvements necessary.			
	D	Intervention logic is faulty and requires major revision for the intervention to have a chance of success.			

2. EFFICIENCY OF IMPLEMENTATION TO DATE: Degree to which the resources of the intervention (funds, expertise, time, etc.) have been converted into results in an economical way					
<i>In order to calculate the total score for this quality criterion, proceed as follows: 'At least two 'A', no 'C' or 'D' = A; Two times 'B', no 'C' or 'D' = B; at least one 'C', no 'D' = C; at least one 'D' = D</i>					
Assessment EFFICIENCY : total score		A	B	C	D
			X		
2.1 How well are inputs (financial, HR, goods & equipment) managed?					
	A	All inputs are available on time and within budget.			
X	B	Most inputs are available in reasonable time and do not require substantial budget adjustments. However there is room for improvement.			
	C	Availability and usage of inputs face problems, which need to be addressed; otherwise results may be at risk.			
	D	Availability and management of inputs have serious deficiencies, which threaten the achievement of results. Substantial change is needed.			
2.2 How well is the implementation of activities managed?					
	A	Activities implemented on schedule			

X	B	Most activities are on schedule. Delays exist, but do not harm the delivery of outputs
	C	Activities are delayed. Corrections are necessary to deliver without too much delay.
	D	Serious delay. Outputs will not be delivered unless major changes in planning.
2.3 How well are outputs achieved?		
	A	All outputs have been and most likely will be delivered as scheduled with good quality contributing to outcomes as planned.
X	B	Output delivery is and will most likely be according to plan, but there is room for improvement in terms of quality, coverage and timing.
	C	Some outputs are/will be not delivered on time or with good quality. Adjustments are necessary.
	D	Quality and delivery of outputs has and most likely will have serious deficiencies. Major adjustments are needed to ensure that at least the key outputs are delivered on time.

3. EFFECTIVENESS TO DATE: Degree to which the outcome (Specific Objective) is achieved as planned at the end of year N

In order to calculate the total score for this quality criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D

Assessment EFFECTIVENESS : total score	A	B	C	D
	X			

3.1 As presently implemented what is the likelihood of the outcome to be achieved?

	A	Full achievement of the outcome is likely in terms of quality and coverage. Negative effects (if any) have been mitigated.
X	B	Outcome will be achieved with minor limitations; negative effects (if any) have not caused much harm.
	C	Outcome will be achieved only partially among others because of negative effects to which management was not able to fully adapt. Corrective measures have to be taken to improve ability to achieve outcome.
	D	The intervention will not achieve its outcome unless major, fundamental measures are taken.

3.2 Are activities and outputs adapted (when needed), in order to achieve the outcome?

X	A	The intervention is successful in adapting its strategies / activities and outputs to changing external conditions in order to achieve the outcome. Risks and assumptions are managed in a proactive manner.
	B	The intervention is relatively successful in adapting its strategies to changing external conditions in order to achieve its outcome. Risks management is rather passive.
	C	The intervention has not entirely succeeded in adapting its strategies to changing external conditions in a timely or adequate manner. Risk management has been rather static. An important change in strategies is necessary in order to ensure the intervention can achieve its outcome.
	D	The intervention has failed to respond to changing external conditions, risks were insufficiently managed. Major changes are needed to attain the outcome.

4. POTENTIAL SUSTAINABILITY: The degree of likelihood to maintain and reproduce the benefits of an intervention in the long run (beyond the implementation period of the intervention).

In order to calculate the total score for this quality criterion, proceed as follows: At least 3 'A's, no 'C' or 'D' = A ; Maximum two 'C's, no 'D' = B; At least three 'C's, no 'D' = C ; At least one 'D' = D

Assessment POTENTIAL SUSTAINABILITY : total score	A	B	C	D
	X			

4.1 Financial/economic viability?

	A	Financial/economic sustainability is potentially very good: costs for services and maintenance are covered or affordable; external factors will not change that.
X	B	Financial/economic sustainability is likely to be good, but problems might arise namely from changing external economic factors.
	C	Problems need to be addressed regarding financial sustainability either in terms of institutional or target groups costs or changing economic context.
	D	Financial/economic sustainability is very questionable unless major changes are made.
4.2 What is the level of ownership of the intervention by target groups and will it continue after the end of external support?		
X	A	The steering committee and other relevant local structures are strongly involved in all stages of implementation and are committed to continue producing and using results.
	B	Implementation is based in a good part on the steering committee and other relevant local structures, which are also somewhat involved in decision-making. Likelihood of sustainability is good, but there is room for improvement.
	C	The intervention uses mainly ad-hoc arrangements and the steering committee and other relevant local structures to ensure sustainability. Continued results are not guaranteed. Corrective measures are needed.
	D	The intervention depends completely on ad-hoc structures with no prospect of sustainability. Fundamental changes are needed to enable sustainability.
4.3 What is the level of policy support provided and the degree of interaction between intervention and policy level?		
X	A	Policy and institutions have been highly supportive of intervention and will continue to be so.
	B	Policy and policy enforcing institutions have been generally supportive, or at least have not hindered the intervention, and are likely to continue to be so.
	C	Intervention sustainability is limited due to lack of policy support. Corrective measures are needed.
	D	Policies have been and likely will be in contradiction with the intervention. Fundamental changes needed to make intervention sustainable.
4.4 How well is the intervention contributing to institutional and management capacity?		
X	A	Intervention is embedded in institutional structures and has contributed to improve the institutional and management capacity (even if this is not an explicit goal).
	B	Intervention management is well embedded in institutional structures and has somewhat contributed to capacity building. Additional expertise might be required. Improvements in order to guarantee sustainability are possible.
	C	Intervention relies too much on ad-hoc structures instead of institutions; capacity building has not been sufficient to fully ensure sustainability. Corrective measures are needed.
	D	Intervention is relying on ad hoc and capacity transfer to existing institutions, which could guarantee sustainability, is unlikely unless fundamental changes are undertaken.

4.2 Decisions taken by the steering committee and follow-up

Decision		Action			Follow-up				
N ^o	Decision	Identification period (mmm.yy)	Source*	Actor	Action(s)	Resp.	Deadline	Progress	Status
1	Decision No. 01/QĐ-KHGDTNMT	Jul-14	Operational documents	SC	Approval of the Action Plan and Budget 2014	PMU		Done	CLOSED
				SC	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
2	Decision No. 01/QĐ-BQLDA	Sep-14	Operational documents	PMU	Establishment of the Tender Evaluation Panel for evaluation of the package "Local Tender Specialist" Members: Ms. Nguyen Thai Phuong; Mr. Truong Anh Son; Ms. Vu Thi Hoang Thanh; Mr. Phung Van Quan	PMU		Done	CLOSED
				PMU	The Tender Evaluation Panel has duties and responsibilities: - Evaluating Tender documents - Reporting to PMU - Implementing the Decision in line with the Article 76 of the Procurement Law	The Panel		Done	
3	Decision No. 02-1/QĐ-KHGDTNMT	Sep-14	Operational documents	DSENRE	Approval of the TORs and Consultant Lists for the Package No. 9 "Local Tender Specialist"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
4	Decision No. 02-2/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of selection results of the Package No. 09 "Local Tender Specialist"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Ngo Ngoc Quy. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

5	Decision No. 03/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for 08 packages of the Procurement Plan 2014	PMU	31 Dec.2014	Done	CLOSED
				DSENRE	Implementing the Decision accordingly				
6	Decision No. 02/QĐ-BQLDA	Oct-14	Operational documents	SC	Establishment of the Tender Evaluation Panel for evaluation of consultants. Members: Ms. Nguyen Thai Phuong; Mr. Truong Anh Son; Ms. Vu Thi Hoang Thanh; Mr. Phung Van Quan	PMU		Done	CLOSED
				SC	The Tender Evaluation Panel has duties and responsibilities: - Evaluating Tender documents - Reporting to PMU - Implementing the Decision in line with the Article 76 of the Procurement Law				
7	Decision No. 04/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 05 "International Consultant for FOM"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly				
8	Decision No. 05/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 06 "Local Consultant for FOM"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly				
9	Decision No. 06/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 03 "International Consultant for Development of the different tools for the call for proposals"	PMU		Done	CLOSED

				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
10	Decision No. 07/QĐ- KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No 04 "Local Consultant for development of the different tools for the call for proposals"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
11	Decision No. 08/QĐ- KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 01 "International Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
12	Decision No. 09/QĐ- KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 02 "Local Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
13	Decision No. 10/QĐ- KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 07 "Local Consultant for training needs assessment and capacity building for MPI"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
14	Decision No. 11/QĐ- KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the revised TORs and advertisement information on close time for Packages No. 01, 02, 03, 04, 05 and 06 of the Procurement Plan 2014	PMU		Done	CLOSED

					DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
15	Decision No. 12/QĐ- KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the revised TORs and advertisement information on close time for Packages No. 07 and 08 of the Procurement Plan 2014	PMU			Done	CLOSED
16	Decision No. 13/QĐ- KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the Consultant Short Lists for Packages No 01, 02, 04, 05, 06, 07 and 08 of the Procurement Plan 2014	PMU			Done	CLOSED
17	Decision No. 14/QĐ- KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 1 "International Consultant for identifying sectors and conducting a baseline report"	PMU			Done	CLOSED
18	Decision No. 15/QĐ- KHGDTNMT	Dec-14	Operational documents	DSENRE	Successful Bidder: Mr. Mark Fogarty. Implementing the Decision accordingly	PMU			Done	CLOSED
19	Decision No. 16/QĐ- KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 02 "Local Consultant for identifying sectors and conducting a baseline report"	PMU			Done	CLOSED
				DSENRE	Successful Bidder: Mr. Le Thanh Tung. Implementing the Decision accordingly	PMU			Done	CLOSED
				DSENRE	Approval of selection results of the Package No. 04 "Local Consultant for identifying sectors and conducting a baseline report"	PMU			Done	CLOSED
				DSENRE	Successful Bidder: Mrs. Hoang Thi Thuy Nguyet. Implementing the Decision accordingly	PMU			Done	CLOSED

20	Decision No. 17/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 07 "Local Consultant for training needs assessment and capacity building for MPI"	PMU	31 Dec.2014	Done	CLOSED
				DSENRE	Successful Bidder: Mr. Do Huan. Implementing the Decision accordingly	PMU			
21	Decision No. 18/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 08 "Local Consultant for communication strategy for the Facility"	PMU	31 Dec.2014	Done	CLOSED
				DSENRE	Successful Bidder: Mrs. Bui Thi Thanh Thuy. Implementing the Decision accordingly	PMU			
22	Decision No. 19/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 05 "International Consultant for FOM"	PMU	31 Dec.2014	Done	CLOSED
				DSENRE	Successful Bidder: Carola Menzel. Implementing the Decision accordingly	PMU			
23	Decision No. 20/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 06 "Local Consultant for FOM" of the Procurement Plan 2014	PMU	31 Dec.2014	Done	CLOSED
				DSENRE	Successful Bidder: Mr. Vu Cuong. Implementing the Decision accordingly	PMU			
24	Decision No. 21/QĐ-KHGDTNMT	Jan-15	Operational documents	SC	Approval of the Action Plan and Budget 2015	PMU	31 Dec.2015	Done	CLOSED
				SC	Implementing the Decision accordingly	PMU			
25	Decision No. 61/QĐ-BKHĐT	Jan-15	Operational documents	MPI	Approval of the Regulation on organization and operation of the SC	SC	(project life)	Done	ONGOING
				MPI	Implementing the Decision accordingly	SC			

26	Decision No. 22/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Extension of Contracts for Package No. 05 (international consultant for FOM) and Package No. 06 (local consultant for FOM) of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
27	Decision No. 23/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 05 "Benchmark and analyze local GGAP in 3 provinces: Ha Tinh, Ninh Thuan and Binh Thuan" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
28	Decision No. 24/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 06 "Development of Facility Website" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
29	Decision No. 25/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 01 "Elaborate a Green procurement plan for GoV" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	
30	Decision No. 26/QĐ-KHGDTNMT	Jun-15	Operational documents	DSENRE	Approval of the Consultant Short Lists for Packages No. 01, 05 and 06 of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE				Implementing the Decision accordingly	

31	Decision No. 27/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of selection results of the Package No. 1 "National consultants for elaborating a Green procurement plan for GOV" of the Procurement Plan 2015	PMU	31 Dec.2015	Done	CLOSED
				DSENRE	Successful Bidder: Mr. Le Duc Chung; Mr. Nguyen Van Hai. Implementing the Decision accordingly				
32	Decision No. 28/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of selection results of the Package No. 05 "National consultants (03) to benchmark and analyze local GGAP in 3 provinces: Ha Tinh, Ninh Thuan and Binh Thuan" of the Procurement Plan 2015	PMU	31 Dec.2015	Done	CLOSED
				DSENRE	Successful Bidder: Mr. Ha Dang Son; Ms. Nguyen Thi Kim Dung; Mr. Nguyen Thanh Ha. Implementing the Decision accordingly				
33	Decision No. 29/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of selection results of the Package No. 06 "Development of Facility Website" of the Procurement Plan 2015	PMU	31 Dec.2015	Done	CLOSED
				DSENRE	Successful Bidder: Ms. Nguyen Thi Thuan. Implementing the Decision accordingly				
34	Decision No. 30/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of TORs and the Consultant Short Lists for Packages No. 03 "National Consultant for studying on the remaining gap analysis between GGSF and international expectations" of the Procurement Plan 2015	PMU	31 Dec.2015	Done	CLOSED
				DSENRE	Implementing the Decision accordingly				

35	Decision No. 31/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 04 "National Consultant for elaborating the Project operation manual (POM)" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			
36	Decision No. 32/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 07 "Local communication specialist" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			
37	Decision No. 33/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of selection results of the Package No. 03 "National Consultant for studying on the remaining gap analysis between GGSF and international expectations" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Successful Bidder: Mr. Ho Quang Minh. Implementing the Decision accordingly			
38	Decision No. 34/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of the National Consultant Short Lists for Packages No. 04 and 07 of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			
39	Decision No. 35/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of selection results of the Package No. 04 "National Consultant for elaborating the Project operation manual (POM)" of the Procurement Plan 2015	PMU	Done	CLOSED
				DSENRE	Implementing the Decision accordingly			

				DSENRE	Successful Bidder: Ms. Hoang Thi Thuy Nguyet. Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
40	Decision No. 36/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of selection results of the Package No. 07 "Local communication specialist" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Ms. Do Thu Nga. Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
41	Decision No. 37/QĐ-KHGDTNMT	Nov-15	Operational documents	DSENRE	Extension of Contracts for Package No. 01 (Green procurement plan for GOV) and Package No. 05 (GGAP in 3 provinces) of the Procurement Plan 2015	PMU		Done	ONGOING
				DSENRE	Implementing the Decision accordingly	PMU	31 Jan.2016	Implementing process	
42	Decision No. 1698/QĐ-BKHĐT	Nov-15	Operational documents	MPI	Establishment of the Technical Working Group to evaluate calls of proposal	PMU		Done	ONGOING
				MPI	Implementing the Decision accordingly	PMU	(project life)	Implementing process	
43	Decision No. 03/QĐ-BQLDA	Nov-15	Operational documents	MPI	Operational budget for the Technical Working Group to evaluate calls of proposal	PMU		Done	ONGOING
				MPI	Implementing the Decision accordingly	PMU	(project life)	Implementing process	

4.3 Updated Logical framework

No change in the Logical Framework of GGSF.

4.4 MoRe Results at a glance

Logical framework's results or indicators modified in last 12 months?	A set of indicators was developed with the Baseline Report.
Baseline Report registered on PIT?	The Baseline Report was approved by BTC and MPI through the Exchange Letter dated 7 th December, 2015.
Planning MTR (registration of report)	mm/yyyy (not yet estimated)
Planning ETR (registration of report)	mm/yyyy (not yet estimated)
Backstopping missions since 01/01/2015	A backstopping mission was conducted in March, 2015.

4.5 “Budget versus current (y – m)” Report

Budget vs Actuals (Year to Month) of VIE1104111

Project Title

Green Growth Strategy Facility (GGSF)

Budget Version

C01

Year to month

31/12/2015

Currency

EUR

Report includes all closed transactions until the end date of the chosen closing

	Status	Fin Mode	Amount	Start to 2014	Expenses 2015	Total	Balance	Exc
A SPECIFIC OBJECTIVE : A FACILITY TO SUPPORT THE			3,165,000.00	509,55	187,089,48	187,329,71	2,877,100,96	6%
01 R1: A support Facility is created and developed			430,000.00	449,47	140,880,24	141,329,71	288,670,29	33%
01 Studies to develop the facility	COGES		230,000.00	149,82	70,988,28	71,138,10	158,861,90	31%
02 Development of the different tools for the call for proposals	COGES		70,000.00	149,82	14,402,45	14,552,27	55,447,73	21%
03 Set-up of the monitoring and evaluation strategy	COGES		50,000.00	0,00	0,00	0,00	50,000,00	0%
04 Facility operational manual (FOM) and Project operation	COGES		50,000.00	149,83	47,791,09	47,940,92	2,059,08	96%
05 Publicity and workshops to explain the application process	COGES		30,000.00	0,00	7,698,42	7,698,42	22,301,58	26%
02 R 2: Green growth capacities & MPI leadership			200,000.00	210,79	8,365,84	8,576,63	191,423,37	4%
01 Training needs assessment for MPI and capacity building	COGES		110,000.00	149,30	5,838,90	5,988,20	104,011,80	5%
02 Coordination activities	COGES		10,000.00	0,00	1,355,17	1,355,17	8,644,83	14%
03 Capacity building activities for the other actors	COGES		80,000.00	61,49	1,171,77	1,233,26	78,766,74	2%
03 R 3: Piloting activities in 3 provinces are supported			920,000.00	0,00	23,058,69	23,058,69	896,941,31	3%
01 Pilot support to provinces green strategy action plans	COGES		320,000.00	0,00	23,058,69	23,058,69	296,941,31	7%
02 Seed Fund for Short Term Actions	COGES		600,000.00	0,00	0,00	0,00	600,000,00	0%
04 R4: 4. The Facility supports, through a call for proposals,			1,440,000.00	0,00	208,92	208,92	1,439,791,08	0%
01 Launch of 3 calls for proposals	COGES		18,000.00	0,00	0,00	0,00	18,000,00	0%
02 Screening against eligibility criteria and selection criteria	COGES		24,000.00	0,00	208,92	208,92	23,791,08	1%
03 Contracting & Implementation	COGES		1,350,000.00	0,00	0,00	0,00	1,350,000,00	0%
04 Vn contribution	COGES		0,00	0,00	0,00	0,00	0,00	7%
05 Support and advice to activities on the field	COGES		48,000.00	0,00	0,00	0,00	48,000,00	0%
05 R 5: Good practices disseminated and replicated			175,000.00	149,30	14,575,79	14,725,09	160,274,91	8%
01 Launch of 3 calls for proposals	REGIE		1,483,000.00	198,868,33	214,869,72	413,738,05	1,069,261,95	28%
02 Screening against eligibility criteria and selection criteria	COGEST		3,517,000.00	37,915,11	266,663,29	304,578,40	3,212,421,60	9%
03 Contracting & Implementation	TOTAL		5,000,000.00	236,783,44	481,533,01	718,316,45	4,281,683,55	14%

Budget vs Actuals (Year to Month) of VIE1104111

Project Title **Green Growth Strategy Facility (GGSF)**

Budget Version: **C01**
 Currency: **EUR**
 Y/M : **31/12/2015**

Year to month: **31/12/2015**

Report includes all closed transactions until the end date of the chosen closing

	Status	Fir. Mode	Amount	Start to 2014	Expenses 2015	Total	Balance	% Exec
01 Elaboration and updating of a communication strategy for		COGES	35,000.00	149.30	10,739.84	10,889.14	24,110.86	31%
02 Awareness campaign towards provinces, actors of		COGES	110,000.00	0.00	3,835.95	3,835.95	106,164.05	3%
03 Capitalization		COGES	30,000.00	0.00	0.00	0.00	30,000.00	0%
X BUDGETARY RESERVE (MAX 5% * TOTAL ACTIVITIES)			67,800.00	0.00	0.00	0.00	67,800.00	0%
01 Budgetary reserve			67,800.00	0.00	0.00	0.00	67,800.00	0%
01 Budgetary reserve Co-management		COGES	34,000.00	0.00	0.00	0.00	34,000.00	0%
02 Budgetary reserve Own-management		REGIE	33,800.00	0.00	0.00	0.00	33,800.00	0%
Z GENERAL MEANS			1,267,200.00	235,973.88	294,443.53	530,417.41	1,236,782.59	30%
01 Staff expenses			1,267,200.00	201,982.13	277,730.69	479,712.82	777,287.18	38%
01 International Environmental & Development Economist		REGIE	540,000.00	123,965.86	141,573.24	265,539.10	274,460.90	49%
02 International expert financial match making		REGIE	75,000.00	0.00	0.00	0.00	75,000.00	0%
03 Local controller		REGIE	144,000.00	10,528.18	19,178.27	29,706.45	114,293.55	21%
04 Local Environmental economist (project coordinator)		REGIE	180,000.00	30,382.54	37,405.37	67,787.91	112,212.09	38%
05 Local Tender specialist		COGES	60,000.00	13,650.03	42,130.89	55,780.92	4,219.08	93%
06 Local communication specialist		COGES	96,000.00	0.00	2,887.74	2,887.74	93,112.26	3%
07 Accountant		COGES	50,400.00	7,045.13	13,044.44	20,089.57	30,310.43	40%
08 Administration staff		COGES	111,600.00	16,410.39	21,510.74	37,921.13	73,678.87	34%
02 Investments			33,000.00	12,556.72	1,540.61	14,097.33	18,902.67	43%
01 Office equipment & furniture		REGIE	8,000.00	7,020.95	455.48	7,476.43	523.57	93%
02 IT equipment		REGIE	20,000.00	5,535.77	1,085.13	6,620.90	13,379.10	33%
03 Office improvement works		REGIE	5,000.00	0.00	0.00	0.00	5,000.00	0%
TOTAL			1,483,000.00	198,868.33	214,869.72	413,738.05	1,069,261.95	28%
COGEST			3,517,000.00	37,915.11	266,663.29	304,578.40	3,212,421.60	9%
TOTAL			5,000,000.00	236,783.44	481,533.01	718,316.45	4,281,683.55	14%



Budget vs Actuals (Year to Month) of VIE1104111

Project Title **Green Growth Strategy Facility (GGSF)**

Project Title
Budget Version
Currency
Y/M :

C01
EUR
Year to month: **31/12/2015**

Report includes all closed transactions until the end date of the chosen closing

	Status	Fin Mode	Amount	Start to 2014	Expenses 2015	Total	Balance	% Exec
03 Operational expenses			275,200.00	18,882.79	14,093.44	32,976.23	242,223.77	12%
01 Office rent		REGIE	108,000.00	8,066.00	9,430.31	17,496.31	90,503.69	16%
02 Services and maintenance costs (incl. utilities)		REGIE	36,000.00	135.83	409.44	545.27	35,454.73	2%
03 Transportation costs		REGIE	28,800.00	218.91	1,129.60	1,348.51	27,451.49	5%
04 Telecommunications		REGIE	21,600.00	1,021.12	1,281.58	2,302.70	19,297.30	11%
05 Operation costs		REGIE	46,800.00	9,328.12	1,822.99	11,151.11	35,648.89	24%
06 Representation and external communication costs		REGIE	24,000.00	0.00	19.52	19.52	23,980.48	0%
07 Legal advice		REGIE	10,000.00	0.00	0.00	0.00	10,000.00	0%
08 Other operational costs (on VN budget)		REGIE	0.00	112.81	0.00	112.81	-112.81	7%
04 Audit and Monitoring and Evaluation			202,000.00	2,552.24	1,078.79	3,631.03	198,368.97	2%
01 Monitoring and Evaluation costs		REGIE	80,000.00	2,552.24	0.00	2,552.24	77,447.76	3%
02 Consultancy for quality control of calls outputs (studies,		REGIE	30,000.00	0.00	0.00	0.00	30,000.00	0%
03 Audit and organisational assessment		REGIE	68,000.00	0.00	0.00	0.00	68,000.00	0%
04 Monitoring and Backstopping (BTC)		REGIE	24,000.00	0.00	1,078.79	1,078.79	22,921.21	4%
		REGIE	1,483,000.00	198,868.33	214,869.72	413,738.05	1,069,261.95	28%
		COGEST	3,517,000.00	37,915.11	266,663.29	304,578.40	3,212,421.60	9%
		TOTAL	5,000,000.00	236,783.44	481,533.01	718,316.45	4,281,683.55	14%



4.6 Communication resources

- The Prime Minister. (September, 2012) Decision No 1393/QĐ-TTg on the Approval of the Vietnam's National Green Growth.
- BTC. (2012) Technical and Financial File - Green Growth Strategy Facility (GGSF).
- Green Growth Strategy Facility (GGSF). (2015) Annual Work Plan 2015.
- Green Growth Strategy Facility (GGSF). (July, 2015) Progress Report for 3rd Steering Committee Meeting.
- Green Growth Strategy Facility (GGSF). (December, 2015) Baseline Workplan.
- Green Growth Strategy Facility (GGSF). (2015) M&E Quarterly Reports.