



RESULTS REPORT 2018

GREEN GROWTH STRATEGY FACILITY

INTERVENTION CODE: VIE 11 041 11

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Acronyms

Enabel	Belgian Technical Cooperation, the Belgian Development Agency
COP21	UNFCCC Conference of Parties #21 – UN International climate summit held in Paris in November 2015
COP24	UNFCCC Conference of Parties #24 in Katowice, Poland from 2-14 December 2018
FOM	Facility Operation Manual
GCF	Green Climate Fund
GGSF	Green Growth Strategy Facility
INDC	Intended Nationally Determined Contribution (to global GHG mitigation effort, submitted prior to the COP21)
M&E	Monitoring and Evaluation
MPI	Ministry of Planning and Investment
MIE	Multi Implementing Entity (of the Green Climate Fund)
NIE	National Implementing Entity (of the Green Climate Fund)
PDP	Power Development Plan
POM	Project Operation Manual
VNGGAP	Viet Nam Green Growth Action Plan
VNGGS	Viet Nam Green Growth Strategy

1 Intervention at a glance

1.1 Intervention form

Intervention title	Green Growth Strategy Facility (GGSF)
Intervention code	VIE 11 041 11
Location	Hanoi Provinces: Ha Tinh, Binh Thuan, Ninh Thuan, Phu Yen, Khanh Hoa and Binh Dinh
Total budget	5,500,000 Euro, which includes: + Non-refundable aid of Belgian Government: 5,000,000 Euro; + Contribution of the Partner country: 500,000 Euro.
Partner Institution	Ministry of Planning and Investment (MPI), Department of Science, Education, Natural Resources and Environment (DSENRE)
Start date Specific Agreement	14th August 2013
Date intervention start /Opening Steering Committee	14th August 2013/ 14 th October, 2013
Planned end date of execution period	30th June 2019
End date Specific Agreement	14th August 2019
Target groups	+ MPI, and in particular DSENRE; + Members of the GGS Steering Board; + Related Government agencies and selected provinces + NGOs, including Vietnamese business associations and sector associations; + Management of business associations, chambers of commerce, industrial parks, industrial clusters, and crafts villages, for instance those concerned by actions funded through the project and/or Facility.
Impact ¹	The Vietnamese Green Growth Strategy is implemented
Outcome	A Facility to support the implementation of the Vietnamese Green Growth Strategy enables Green initiatives
Outputs	1. A support facility is created and developed
	2. Green growth capacity & MPI leadership increased
	3. Piloting activities in three provinces are supported
	4. The Facility supports, through a call for proposals, green growth interventions throughout the country
	5. Good practices disseminated and replicated
Year covered by the report	2018

¹ Impact refers to global objective, Outcome refers to specific objective, output refers to expected result

1.2 Budget execution

Currency: EUR

	Budget	Expenditure		Balance	Disbursement rate at the end of 2018
		Previous years	2018		
Total	5,000,000	2017: 855,597.68 2016: 442,372.46 2015: 481,533.01 2014: 234,370.18 2013: 1,996.05	1,970,163.68	1,014,016.96	80%
A support facility is created and developed	430,451	2017: 19,418.02 2016: 13,581.85 2015: 140,880.24 2014: 449.47 2013: 0.00	158,013.23	98,108.20	77%
Green growth capacity & MPI leadership increased	263,007	2017: 25,692.18 2016: 17,649.44 2015: 8,365.84 2014: 210.79 2013: 0.00	107,085.78	104,002.97	60%
Piloting activities in three provinces are supported	840,160	2017: 91,467.71 2016: 75,715.92 2015: 23,058.69 2014: 0.00 2013: 0.00	519,258.98	130,658.70	84%
The Facility supports, through a call for proposals, green growth interventions throughout the country	1,431,693	2017: 395,488.23 2016: 11,415.54 2015: 208.92 2014: 0.00 2013: 0.00	913,560.02	111,020.29	92%
Good practices disseminated and replicated	72,376	2017: 13,058.95 2016: 2,561.76 2015: 14,575.79 2014: 149.30 2013: 0.00	17,075.41	24,954.79	66%
Other expenditure (Budgetary reserve, general means)	1,962,313	2017: 310,472.59 2016: 321,447.94 2015: 294,443.53 2014: 233,560.62 2013: 1,996.05	255,170.26	511,472.01	73%

1.3 Self-assessment performance

1.3.1 Relevance

	Performance
Relevance	A

For the MPI, the VNGGS and its implementation are still of primary importance and the target facility is still considered a key element enabling financing the necessary investments.

The relevance of the GGSF and the project has been reconfirmed by the Mid-term review (MTR) which clearly emphasized its capability to bridge an institutional void to unlock financing the VN Green Growth Action Plan (VNGGAP). The MTR also confirmed the high relevance and added value of the provincial GG action plans supported by the project as well as the pilot investments initiated.

However, growing attention for climate finance and other important climate policies (such as the NDC to the Paris agreement) could increase the likelihood of a few identified risks in the future such as having overlapping or competing climate finance initiatives in the country.

1.3.2 Efficiency

	Performance
Efficiency	B

The GGSF Project has made a good progress and achievements. The total of cumulative disbursement (from the beginning of the project to the end of 2018) has reached 80% out of the total of project budget (EUR 3,985,983 vs EUR 5,000,000).

1.3.3 Effectiveness

	Performance
Effectiveness	A

Most of the activities of the approved annual work-plan 2018 has been implemented and achieved good progresses and achievements. The direction and guidance of the GGSF PMU and Enabel professional team have been clear and timely. The PMU and Enabel professional team have spent their significant time and efforts for the Project when needed. The operation of the PMU Office has remained effective and responsive.

The implementation of 6 green pilot projects under the Grant Agreement with Ha tinh, Ninh thuan and Binh thuan were completed by 31 December 2018 and the related paperwork, procedures relating to the closure of pilot projects are being finalized in accordance with the Grand Agreements and legal regulations of Vietnam. The implementation of four pilot projects under the new Grant Agreements with Khanh Hoa, Binh Dinh and Phu Yen are being carried out and expected to be completed by the end of January, 2019.

1.3.4 Potential sustainability

	Performance
Potential sustainability	A

The long-term financial sustainability of the GGSF is not yet confirmed and remains a key point of attention. Several actions have been identified to address this and will be planned for 2019 such as MPI will approach potential donors such as the EU and GCF in mobilizing resources for the GGSF. In addition, MPI has taken initiative of legalization of the Facility which would be time consuming.

One risk is that other potentially competing facilities or initiatives under other line ministries prevent the GGSF from being fully sustainable (e.g. by attracting international donors' contributions or having monopoly on specific funding instruments or activities). Another risk is the lack of focus of the GGSF in terms of instruments to facilitate climate finance which could end in blurring the GGSF strengths for potential donors.

1.4 Conclusions

- The Mid-term review (January, 2017) confirmed the relevance and political expectations about the facility as well as its impact potential.
- The implementation and closing phase of 10 pilot projects was a point of attention.
- Important decisions must be taken at Steering Committee level to ensure long-term sustainability of the GGSF.
- Risks that were initially identified are still relevant even though their likelihood is not increased.

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2 Results Monitoring²

2.1 Evolution of the context

2.1.1 General context

- The COP24 momentum in December, 2018 continued to raise worldwide awareness about climate change risks and GHG mitigation necessity.
- In Viet Nam, the Prime Minister signed the National Action Plan to implement the Sustainable Development Goals (SDGs) on 5th May, 2017. This Plan serves as the first legal foundation showing Vietnam's commitment to the common international effort for sustainable development.
- Priorities on green growth are likely to change over time. Thus, a flexible demand driven approach is called for, in which the Vietnamese partner is in the driver's seat. Capacities enforcement of the MPI and related stakeholders in the field of management and decision-making will be key to the success of GGSF project.

2.1.2 Institutional context

- MPI continued leading both the coordination of the national GGAP and the way towards accessing the GCF support in 2018.
- A key achievement of the VNGGAP in 2018 was the elaboration of several provincial Green Growth Action Plans which helps identifying priorities at local level though in line with national priorities.
- Other line ministries played an important role in GHG mitigation policy in particular MONRE (coordinating the response and implementation to the Vietnam INDC at the Paris conference) and MOIT (leading the on-going revision of the Power Development Plan which defines the future national electricity production mix).

2.1.3 Management context: execution modalities

- The Provincial People Committees of Ha Tinh, Ninh Thuan, Binh Thuan, Phu Yen, Binh Dinh and Khanh Hoa are responsible for implementing the pilot projects contracted through the Grant Agreements.
- Given the GGSF Project will be coming to the end of 30 June 2019, earlier than original project design document, this has led to the significant changes of the design of activities in terms of time and budget modifications in the 2018-2019 Work plan.

² Impact refers to global objective, Outcome refers to specific objective, output refers to expected result

2.1.4 Harmo context

- With the growing interest of donors for supporting the implementation of the Paris Climate Agreement and Climate Finance, there is a risk to be seen ever more donors involved in numerous parallel and potentially overlapping or competing activities under various line ministries.

2.2 Performance Outcome



2.2.1 Progress of indicators

The GGSF Baseline report was approved by BTC and MPI through the exchange letter dated 7 December, 2015. Since then, the set of M&E indicators in the Baseline has been officially applied by the Project.

OUTCOME: A Facility to support the implementation of the Vietnamese Green Growth Strategy enables Green initiatives					
Indicators	Baseline Value	Value at the end of 2017	Value 2018	Target 2019	Final Target
1 (Cumulated) Number of green growth investment projects to be implemented or under implementation with the Facility support (incl. BTC pilot projects).	0	6	10	4	4
2 Number of funding sources other than BTC contributing or due (having pledged) to contribute to the Green Growth Strategy Facility.	0	0	0	1	1
3 The facility has a financial plan spanning beyond the BTC project termination	No	No	No	Yes	Yes

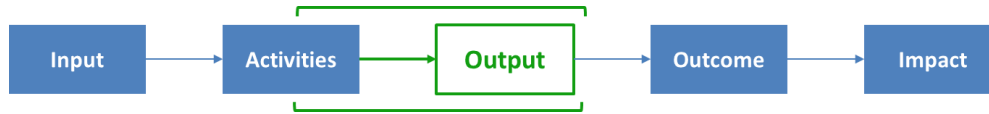
2.2.2 Analysis of progress made

- In order to achieve the outcome, the project managed to recruit qualified consultants to contribute to necessary outputs of the project. In that view, the project is in line with the schedule.
- The Facility is still considered as a key element to enable the VNGGS implementation, in particular to channel funding towards relevant green initiatives and unlock existing barriers.
- The raising partner's expectation about the Facility is a key (positively) influencing factor of the project during the reporting period.
- In general, the project management has been good and effective. The direction and guidance of the PMU and Enabel professional team have been clear and timely.
- The implementation of the work plan for 2019 require great effort of all stakeholders, especially all pilot projects are in their closing phase. Given the GGSF project would be closed earlier than original project design document, this has made pressure on implementation and management of project.

2.2.3 Potential Impact

- In case the VGGSF is ever accredited as NIE for the GCF, it will then become (one of) the central tools of the implementation of the green growth national strategy. This would mean a very high impact, potentially overpassing the one identified in the formulation.
- This however raises new risks (collaboration/competition with other development agencies, competition with other financial institutions etc.).

2.3 Performance Output 1



2.3.1 Progress of indicators

OUTPUT 1: A support Facility is created and developed					
Indicators	Baseline Value	Value at the end of 2017	Value 2018	Target 2019	Final Target
1.1 Publication of the Facility Operational Manual (FOM)	0	1	1	1	1
1.2 Monitoring and Evaluation Tools (M&E tools) of the Facility's operation launched and followed-up.	0	1	1	1	1

2.3.2 Progress of main activities

Progress of <u>main</u> activities ³	Progress:			
	A	B	C	D
A.1.1 Studies to develop the Facility		x		
A.1.2 Development of the different tools for the call for proposals		x		
A.1.3 Set-up of the monitoring and evaluation strategy		x		
A.1.4 Facility operational manual (FOM) and Project operator manual (POM)		x		
A.1.5 Publicity and workshops to explain the application process and documentation requirements			x	

2.3.3 Analysis of progress made

A.1.1 Studies to develop the Facility

A0101.a&b: Identifying sectors at national level for action and conducting a Baseline report

The consultant team has been mobilised in December, 2014 to conduct the Study on “identifying sectors at national level for actions” with the aim at presenting the state of the art in relation with green growth in Vietnam; suggesting the priority sectors for actions (according to environmental, economic and social criteria and the willingness of the sector to participate to the call for proposals) and developing relevant Monitoring and Evaluation indicators in the concerned sectors. The final draft report was submitted to the PMU in June, 2015.

After the support of international and local consultants, GGSF PMU has been conducted the Baseline report. It was then approved by BTC and the Steering Committee in the Exchange Letter dated 7th December, 2015. The findings and recommendation of the report have been used by the PMU for making the planning of the GGSF Project.

Status: Completed in June, 2015 (*for identifying sectors*) and December, 2015 (*for the Baseline*)

A0101.c. Elaboration of Green Procurement Plan of the Government of Vietnam:

The consultant team was mobilised to elaborate the “green procurement policy for Government of Vietnam”. The objective of the assignment was: (i) to develop a Green Procurement Policy (GPP) of the Viet Nam, including both GPP guidelines, recommendations; (ii) a GPP roadmap that will form the basis for a future regulatory document. The final report was submitted to PMU for approval in December, 2015.

The findings and recommendations of the report have been reviewed by the PMU and circulated to relevant departments of MPI that in charge of policy-making and legal framework relating to green procurement for their information. To ensure the findings of the report was widely disseminated to relevant stakeholders the workshop was conducted in July, 2017 in Quang Ninh province.

Status: Completed in July, 2017.

³ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

A0101.d. Mission to inform stakeholders about selected sectors (Identifying sectors at national level for action and conducting a Baseline)

The field mission for the consultant team was arranged in April, 2015 in Ha Tinh province to work and discuss on the selected sectors.

Status: Completed in April, 2015.

A0101.e. Studying on the remaining gap analysis between GGSF and international expectation

The local consultant for this activity was mobilised in August, 2015 to do the work and the final report was prepared and submitted to PMU management in September, 2015 for review and approval. The objective of the exercise was to propose options for finalisation of the FOM.

Status: Completed in September, 2015.

A0101.f. Elaboration of Project Operational Manual

The local consultant was selected in August 2015 to elaborate the POM. The POM was designed and developed for submission to the PMU management in December, 2015 for review and approval.

The POM is now in place for use of the GGSF Project. At the time of writing this report the MTR report has given highly appreciation to the POM.

Status: Completed in December, 2015.

A0101.g. Development of 5-year business plan for Facility (fund mobilization, action plan etc...)

The local consultant team were mobilised in October, 2016 to implement the analytical work and develop the 5-year business plan for the Facility. The objective of the work was to study, analyse the existing facilities operations and propose options on 5-year business plan relating to fund mobilization, action plan etc... after 2019. The final report was prepared and submitted to PMU for review and approval.

Status: Completed in January, 2017.

A0101.h. Research the best practices from Regional and International Conferences

GGSF Director attended the ASEAN-EU Dialogue on sustainable development in Thailand in November, 2017. A high-level study mission of GGSF to Brussel was conducted in May 2018.

Status: Completed in May, 2018.

A0101.i. Research potential development of environmental services businesses (ESB) in Vietnam, identify and propose mechanisms to assist them in accessing appropriate financing sources for development

The national consultant team has been mobilised in November 2017 to conduct a study on “Research potential development of environmental services businesses (ESB) in Vietnam, identify and propose mechanisms to assist them in accessing appropriate financing sources for development”.

Specific objectives of the study are (i) to study international experience on development of environmental service enterprises and mobilize financial resources for the operation of environmental service enterprises; (ii) to review the legal basis related to environmental services and assess the current situation, identify difficulties and obstacles in accessing financial sources for environmental service enterprises in Vietnam; (iii) to study the potential for development of environmental service enterprises and propose a mechanism for supporting environmental service enterprises to access

appropriate financial resources in Vietnam.

The final report was prepared and submitted to PMU for review and approval in March, 2018.

Status: Completed in March, 2018.

A0101.j: Researching and identifying the barriers of elaboration and formulation of the policies on environmental protection for establishing, appraising, providing licenses and supervising the investment projects at central and provincial level

The national consultant team has been mobilised in March 2018 to conduct a study on “Researching the potential development of enterprises on environmental services in Vietnam, aiming to identify and propose the measures to assist the enterprises to access appropriate financial resources”. The final report was prepared and submitted to PMU for review and approval in August, 2018.

Status: Completed in August, 2018.

A0101.k: Study on Private Sector engagement and business opportunity for green growth

The contractor has been awarded in August 2018 to conduct a study on “Private Sector engagement and business opportunity for green growth”. Currently the contractor has made about 60% of the work. The final report was expected to submit to PMU for review and approval in February, 2019.

Status: On-going.

A.1.2 Development of the different tools for the call for proposals:

A0102.a&b: Development of Call for Proposal

In the 2014 procurement plan, the international and local consultants were mobilised to do the work. The GGSF Project launched the call for Expression of Interest of the above-mentioned package but there was no EOI for the international candidates submitted but only national candidates. Given the nature of work, the GGSF Project considered the national consultant could do the work and the offer was given to the local consultant in December 2014 for elaborating the call for proposals. The complete set of call for proposals was submitted to the PMU for review and approval.

The completed set of the call for proposal instruments were finalised and integrated in the FOM.

Status: Completed in June, 2015.

A0102.c. 02 workshops with donors and stakeholders to disseminate the tools

The 1st workshop presenting the call for proposal tools was conducted in April, 2015 in Binh Thuan province. The following workshop was conducted later in July, 2015 in Khanh Hoa province to relevant stakeholders.

Status: Completed in July, 2015.

A0102.d. Local Consultant to revise FOM

Following the recommendations by the Mid Term Review Consultant of GGSF, the local consultant was hired to update and revise FOM in March, 2018. The final FOM was submitted to GGSF PMU for review and approval in May, 2018.

Status: Completed in May, 2018.

A.1.3. Set-up of the monitoring and evaluation strategy

The M&E Officer has been mobilized in the 2nd quarter of 2014 to support the implementation of the Project, especially development of an efficient M&E system required by the Project and BTC M&E policy.

The Monitoring and Evaluation Strategy has been completed shortly after the Baseline Report. A set of M&E tools was developed accordingly and has been applied from quarter IV, 2015 in line with regulations of GoV and Enabel.

The Monitoring and Evaluation Strategy has been updated in December 2016 in line with changes in ODA regulations of GoV (the Decree 16/2016/ND-CP of GoV and the Circular 12/2016/TT-BKHDT of MPI). The M&E tools were updated accordingly for GGSF and pilot projects.

Status: Completed in December 2016.

A.1.4. Development of the Project Operation Manual (POM) and Facility Operational Manual (FOM)

A0104.a&b: Design and Development of Facility Operation Manual

The consultant team has been mobilised in late 2014 to elaborate the Facility Operation Manual (FOM). The objective of the task is (i) to clearly establish all the governance, administration, financial and operational framework of the facility and (ii) to mitigate some of the risks compromising the facility sustainability.

The final Facility Operation Manual has broadly been consulted with different stakeholders including representatives from other key ministries, related stakeholders and directors, technical staff of three PCUs of Ha Tinh, Ninh Thuan and Binh Thuan through a series of consultation workshops, seminars and meetings.

The final draft FOM was finalised and submitted to the PMU in December 2015 for review and approval. The FOM has been designed in a flexible manner for easy update to meet the emerging requirements.

The recommendations by the Mid Term Review Consultant of GGSF required further revisions of the FOM to reflect the actual situation. FOM update and modification was conducted under Activity A01-02d.

Status: Completed in December 2015.

A0104.c. Workshops to disseminate FOM:

The consultation workshop was conducted in July, 2015 in Khanh Hoa. The revised FOM was presented at the workshop in December, 2018 in Hoa Binh province under Activity A0201.

Status: Completed in July 2015.

A.1.5. Publicity and workshops to explain the application process and documentation requirements

A consultation workshops conducted in 2015 in Binh Thuan province to introduce the operation, procedures and processes of the GGSF with the aim to make the GGSF visible to different stakeholders and awareness raising.

Also, under this activity, GGSF PMU has issued an Official Letter to People's Committees of Ha Tinh, Ninh Thuan, Binh Thuan, Khanh Hoa, Binh Dinh, Phu Yen requesting to prepare the project proposals. GGSF has received 22 project proposals, of which 01 project proposal submitted by Ha Tinh PPC, 05 project proposals submitted by Khanh Hoa PPC, 06 project proposals submitted by

Binh Dinh PPC, 05 project proposals submitted by Phu Yen PPC and 05 project proposals submitted by Binh Thuan PPC.

Status: Completed in June, 2017.

2.4 Performance Output 2

2.4.1 Progress of indicators

OUTPUT 2: Green growth capacities & MPI leadership increased					
Indicators	Baseline Value	Value at the end of 2017	Value 2018	Target 2019	Final Target
2.1 Share of MPI members of the facility which have followed the GGSF training workshops or activities	0	86%	76%	60%	60%
2.2 Number of participants to training activities	0	12	13	10	10
2.3 Project Planned Studies and consultancies have been completed	0%	92%	100%	80%	80%

2.4.2 Progress of main activities

Progress of <u>main</u> activities ⁴	Progress:			
	A	B	C	D
A.2.1 Training needs assessment for MPI and capacity building		x		
A.2.2 Coordination activities with other actors	x			
A.2.3 Capacity building activities for the other actors		x		

2.4.3 Analysis of progress made

A.2.1. Training needs assessment for MPI and capacity building

A0201.a: Training Needs Assessment and Capacity Building

The consultant has been mobilised in December 2014 to carry out the study on training needs assessment and capacity building for Ministry of Planning and Investment's staff. The objective of the report was to assess the training needs and capacity building which is to incorporate into the training program of the Facility.

The final report on training needs assessment especially focusing on identifying skills needed and appropriate training strategy and activities. The consultant has finalised the report and submitted to the PMU for review and approval at the end of June, 2015. The findings of the report would help PMU to prepare a number of capacity building activities for MPI staff as well as other relevant stakeholders.

Status: Completed in June, 2015.

A0201.b: Consultation Workshop, Seminar, Meetings

A number of training and consultation workshops were conducted from 2015 to 2018. The objective of the workshops was on capacity building for MPI and other stakeholders' staff. These activities are expected to be implemented throughout January and February of 2019.

Status: On-going.

A.2.2. Coordination activities with other actors

A0202.a: Providing support to local provinces and relevant agencies

The launching of the National Green Growth Strategy by the Prime Minister of Vietnam requires huge coordination efforts. The GGSF Project has played an important role in coordinating the work among stakeholders. In the last few years, the Project intervention team has been working hard to meet and exchange views on the green growth topic with different development partners, donors and other related stakeholders through meetings, workshops, roundtables etc. The effort will continue in the upcoming time.

Status: On-going

⁴ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

A.2.3. Capacity building activities for the other actors

A0203.a: Research for activities aiming to green growth

The national consultant has been mobilised to conduct a study on how to integrate green growth into public investment on environment protection & strategic environment assessment (SEA) policy framework. The final report was completed and submitted to GGSF PMU in June 2017.

Status: Completed in June 2017.

A0203.b: Training, Workshop

These capacity building activities are connected to other activities under the framework of the GGSF Project and therefore these activities will continue be implemented in January and February 2019.

Status: On-going.

A0203.c: Research and study the legal framework for regulating the level of investment on the environment in various types of investment projects

The national consultants have been mobilised in November 2017 to conduct a study on “Research and study the legal framework for regulating the level of investment on the environment in various types of investment projects”.

Specific objectives are: (i) To conduct survey on the current status of investment in environmental pollution treatment for some types of investment projects in the field of industrial production in Vietnam; (ii) To review, assess and compare the international experience on investment for environmental pollution treatment for various types of investment projects; (iii) To review and propose policies specifying the percentage of investment capital for environmental pollution treatment for various types of investment projects in the field of industrial production; to develop proposal for MPI to submit to the Government for decision.

The final report was prepared and submitted to PMU for review and approval in March, 2018.

Status: Completed in March, 2018.

A0203.d: Support MPI to study and develop relevant policies on implementation of commitments under Paris COP22 of Vietnam

The contractor has been awarded in August 2018 to conduct a study on “Private Sector engagement and business opportunity for green growth”. Currently the contractor has made about 60% of the work. The final report was expected to submitted to PMU for review and approval in February, 2019.

Status: On-going.

2.5 Performance Output 3

2.5.1 Progress of indicators

OUTPUT 3: Piloting activities in three provinces are supported					
Indicators	Baseline Value	Value at the end of 2017	Value 2018	Target 2019	Final Target
3.1 Supported piloting activities for 03 provinces are implemented	0	6	10	3	3
3.2 GG Benefits of pilot projects are assessed and aligned with VNGGS objectives	0	6	6	3	3
3.3 Disbursement and implementation plan of pilot projects is followed by provinces	0	2	10	3	3

2.5.2 Progress of main activities

Progress of <u>main</u> activities ⁵	Progress:			
	A	B	C	D
A.3.1 Pilot Province green strategy action plan	x			
A.3.2 Short Term Actions (STA)		x		

2.5.3 Analysis of progress made

A.3.1 Pilot Province green strategy action plan:

A0301.a: Benchmark and analyse local GGAP in other provinces

A0301.a1. The consultant team has been mobilised and commenced their work from 15 July 2015. The objective of the consultancy is to elaborate the GGAP of the 3 provinces of Ha Tinh, Binh Thuan and Ninh Thuan, based on the marginal cost abatement curve (MACC) approach and templates provided by the Ministry of Planning and Investment. The consultant team has been worked closely with PMU and three provinces to support them in elaborating the draft GGAP of the said provinces and has completed their assignment in June 2016. The final draft GGAPs have been appraised and approved by people's committees of Ha Tinh, Ninh Thuan and Binh Thuan in July and August 2016.

Status: Completed in June, 2016

A0301.a2. Following the success of technical provision to Ha Tinh, Binh Thuan and Ninh Thuan provinces in development of their GGAPs, the GGSF Project decided to provide technical support to three new provinces including: (a) Khanh Hoa, (b) Binh Dinh; and (c) Phu Yen for development of their GGAPs. The consultant firm was hired in November 2016 to elaborate the GGAPs of the 3 provinces. The final draft GGAPs have been appraised and approved by people's committees of Khanh Hoa, Binh Dinh and Phu Yen in August and September 2017.

Status: Completed in March, 2017

A0301.a3. The national consultants have been mobilised in November 2017 to conduct a study on "Support MARD to develop measures and list of investment projects for emission reduction in forestry business in Vietnam".

General objective of the consulting assignment is to identify mitigation options of greenhouse gases in development and business operation of forestry activities in Vietnam. The specific objectives are: (i) Analysis of existing strategies, action plans and policies relating to forest development and business for a period 2016-2020; (ii) Evaluate potentials for emission reduction in forestry sectors for 2016-2020; (iii) Propose a list of investment projects for emission reduction in forestry sector for 2018-2025.

The final report was prepared and submitted to PMU for review and approval in March, 2018.

Status: Completed in March, 2018.

A0301.b: Pilot support to provinces green strategy action plans

⁵ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

A number of workshops, meetings had been conducted from 2015 to 2017 in beneficial provinces to introduce the GGSF Project objectives and possible technical support to provinces in terms of development of provincial GGAPs.

Training courses on GGAPs had been conducted for 06 provinces in October (Phu Yen, Khanh Hoa, Binh Dinh), November (Binh Thuan, Ha Tinh) and December (Ninh Thuan) of 2017.

Training courses on “Guidelines for Classification of Public Expenditures for Climate Change and Green Growth” had been conducted for 7 provinces of the North in October 2018 in Quang Ninh province, 7 provinces of the Centre in November 2018 in Dak Lak province, and 7 provinces of the South in December 2018 in Kien Giang province.

Status: Completed in December, 2018.

A.3.2 Short Term Actions (STA):

A0302.a: Three Short Term Actions to fund

The project has a small actions budget line (seed fund) that can be used for a variety of quick actions (relatively small amounts, short-term activities) on green growth, increasing the capacity of the counterparts to act quickly when needed or supporting pilot projects that may be used to show case greening actions at the province level. Use of the funds will be decided by the project within restrictions set during the pilot phase, and with post-hoc reporting by BTC.

The planning meetings have been conducted between PMU management and project intervention team to discuss on ways to deliver these activities in an efficient manner. It was agreed to put the seed fund to be carried out in 2017 and 2018 and ways of delivery will be determined by PMU management and BTC and approved by the SC by exchange letter.

GGSF has received 22 project proposals, of which 01 project proposals submitted by Ha Tinh PPC, 05 project proposals submitted by Khanh Hoa PPC, 06 project proposals submitted by Binh Dinh PPC, 05 project proposals submitted by Phu Yen PPC and 05 project proposals submitted by Binh Thuan PPC. At the technical committee meeting on 15 September 2017, members of the technical committee have appraised, assessed, reviewed and proposed the selection of 03 possible project proposals of Binh Dinh, Khanh Hoa and Phu Yen, as well as recommended to further improve the aforesaid project proposals to meet the requirements and criteria of the GGSF project. The revised proposals had been submitted to GGSF in the late of November 2017. Members of the technical committee reviewed and recommended 03 proposals of Phu Yen, Binh Dinh and Khanh Hoa in the meeting on 12th December 2017.

Grant Agreements have been signed between representatives of Enabel, GGSF and the three provinces of Phu Yen, Binh Dinh and with effective duration from 1st April 2017 to 31st January 2019.

Pilot projects are conducting at the end stage and are expected to complete within the terms of the Grand Agreements. Details on pilot projects are available at Annex 4 – Summary of GGSF pilot projects.

Status: On-going.

2.6 Performance Output 4

2.6.1 Progress of indicators

OUTPUT 4: The Facility supports, through a call for proposals, green growth investments throughout the country					
Indicators	Baseline Value	Value at the end of 2017	Value 2018	Target 2019	Final Target
4.1 The FOM includes the call for proposal mechanism (procedures, templates, responsibilities...)	No	Yes	Yes	Yes	Yes
4.2 The facility has the necessary qualified staff to operate the calls for proposals	No	Yes	Yes	Yes	Yes
4.3 The Facility has a technical committee with required GG expertise to assess project proposals	No	Yes	Yes	Yes	Yes

2.6.2 Progress of main activities

Progress of <u>main</u> activities ⁶	Progress:			
	A	B	C	D
A.4.1 Launch of 3 calls for proposals		x		
A.4.2 Screening against eligibility criteria and selection criteria		x		
A.4.3 Contracting & Implementation		x		
A.4.4 Vn contribution		x		
A.4.5 Support and advice to activities on the field	x			

2.6.3 Analysis of progress made

A.4.1 Launch of 3 calls for proposals

A0401.a: Workshop to present the target call for pilot projects

Reference to the TFF, the call for proposals can be launched after the tools and related materials are available. Given the activity of “Development of Calls for proposals mechanism” was completed in June, 2015 and the “Development of Facility Operation Manual” was finalised in December, 2015, the GGSF Project expects to organise calls for proposals in 2017 and 2018. Given the limited resources under the GGSF project, any calls for proposals in terms of scope, substance etc. should be proposed by the PMU together with BTC and approved by the SC respectively.

Under this, in 2015, based on the selection criteria, requirements set out in the FOM, GGSF Project initiated a first call for proposals during summer 2015 by requesting 3 provinces to prepare a few project proposals meeting the selection criteria and requirements relating to green growth for submission to GGSF Project for review and consideration for funding. The project proposals have been reviewed, appraised and selected by the Technical Committee under the GGSF Project and approved by the Steering Committee for funding.

GGSF PMU has issued an Official Letter to People’s Committees of Hà Tĩnh, Ninh Thuan, Binh Thuan, Khanh Hoa, Binh Định, Phu Yen requesting to prepare the project proposals using the Seed fund. GGSF has received 22 project proposals, of which 01 project proposals submitted by Ha Tinh PPC, 05 project proposals submitted by Khanh Hoa PPC, 06 project proposals submitted by Binh Dinh PPC, 05 project proposals submitted by Phu Yen PPC and 05 project proposals submitted by Binh Thuan PPC.

GGSF PMU has issued an Official Letter to People’s Committees of Phu Yen requesting to prepare the project proposal using the OM fund (fund managed by Enabel only) in July 2018. Phu Yen PPC submitted the project proposal in August 2018 for consideration and approval of Enabel. The Grant Agreement has been signed between representatives of Enabel, GGSF and the Phu Yen province with effective duration from 15th September 2018 to 31st January 2019.

Status: Completed in September, 2018.

A.4.2 Screening against eligibility criteria and selection criteria

⁶ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

A0402.a: Working team to screen against eligibility criteria and section criteria

Based on the demand and requirements of the TFF, the Technical Committee of GGSF Project has been formed under the Decision 1698/QĐ-BKHDT of the Ministry of Planning and Investment dated 16th November 2015. The mandate of the Technical Committee is to technically assess and appraise the project proposals submitted by local provinces based on the selection criteria, eligibility and requirements set out in the FOM. A number of meetings were conducted to appraise the submitted project proposals and the technical assessment conclusions prepared by the Technical Committee was submitted to the Steering Committee for review and approval.

Status: Completed in March, 2018.

A.4.3 Contracting and implementation

A0403.a: 02 Proposals for each selected province

With the agreement and approval by the 4th Steering Committee meeting, the new delivery was applied for pilot projects in the form of the Grant Agreement signed between BTC, GGSF Project and beneficiary People's Committees. Consequently, the Grant Agreement on 2 pilot projects for Ninh Thuan has been signed on 12 May, 2016 with the effective date of 1 June 2016. The Grant Agreement on 2 pilot projects for Ha Tinh and the Grant Agreement on 2 pilot projects for Ninh Thuan have been officially signed on 01 July, 2016 with the effective date of the signing date. The implementation timeline of two pilot projects of Ninh Thuan and Ha Tinh will be for 18 months, while other two pilot projects of Binh Thuan will be for 15 months, including three months for project start-up and three months for closure.

Representatives of BTC, GGSF project and PPCs of beneficiary provinces have signed the extension agreements for 06 pilot projects to 31st December 2018.

06 pilot projects have completed the construction volume in 2018 and are carrying out the project completion procedures in accordance with the Grand Agreements. Details on pilot projects are available at Annex 4 – Summary of GGSF pilot projects.

Status: Completed in December, 2018

A.4.4 Vietnam contribution

This activity has been determined in the GGSF Project TFF that the Government will provide its counterpart fund in terms of human resource for management and implementation during the whole life of project.

Status: On-going

A.4.5 Support and advice to activities on the field

A0405.a: Local Consultant to support implementation of pilot projects

Local Consultant was hired in June, 2018 to support implementation of pilot projects.

Status: On-going

A0405.b: Support and advice to activities on the field

As determined in the Result 4 of the project, the GGSF project has continuously provided technical support in terms of organising of consultation workshops, field missions for PMU management, MPI

related staff, project consultants, project team and other involved partners based on the demands of the GGSF project.

Status: Completed in December, 2018

2.7 Performance Output 5

2.7.1 Progress of indicators

OUTPUT 5: Good practices disseminated and replicated					
Indicators	Baseline Value	Value at the end of 2017	Value 2018	Target 2019	Final Target
5.1 Workshops nationwide, introducing green results of typical pilot projects supported by the Facility, promotion material, disseminate information and/or encourage replication of the green growth project activities	0	0	0	6	6
5.2 Facility website is online and provides information about the GGSF context and activities	Yes	Yes	Yes	Yes	Yes

2.7.2 Progress of main activities

Progress of <u>main</u> activities ⁷	Progress:			
	A	B	C	D
A.5.1 Elaboration and updating of a communication strategy for the Facility		x		
A.5.2 Awareness campaign towards provinces and actors of selected sector(s)		x		
A.5.3 Capitalization			x	

2.7.3 Analysis of progress made

A.5.1 Elaboration and updating of a communication strategy for the Facility:

A0501.a: Development of Communication Strategy for GGSF

The local consultant was hired in December, 2014 for doing this assignment. The aim of this work was; (a) to ensure the implementation of communication operational strategies on GGSF Project; (b) to ensure public awareness raising on the GGSF Project; (c) to support communication and partnership with media and stakeholders on GGSF Project; to support communication management of VGGSF related issues. The final draft of the communication strategy was submitted to the PMU for approval in July, 2015.

The communication strategy has been used by the GGSF Project for communication purpose to raise awareness on the GGSF Project.

Status: Completed in July, 2015.

A0501.b: Development of Website for GGSF

As scheduled in the Annual plan 2015, the national consultant has been mobilised for development of the Facility Website. The Website has been designed and uploaded in October, 2015. All related information on GGSF Project has now uploaded on the website.

Status: On-going.

A.5.2 Awareness campaign towards provinces and actors of selected sector(s):

A0502.a: National Consultant for assessment on the implementation of project proposals in 3 pilot provinces

The national consultant was mobilised in May, 2017 for assessment of the implementation of the pilot projects in the three provinces Ha Tinh, Ninh Thuan and Binh Thuan. The final report was completed and submitted in November, 2017.

Status: Completed in November, 2017.

A0502.b: Workshops, Training Courses

⁷ A The activities are ahead of schedule
 B The activities are on schedule
 C The activities are delayed, corrective measures are required.
 D The activities are seriously delayed (more than 6 months). Substantial corrective measures are required.

Under the GGSF project, there have been some activities so far such as 01 international exhibitions on environment conducted in September 2015, 01 “Belgian Day” event relating to energy saving organised in November 2016, 01 “EU Climate Diplomacy Fair” in October, 2017, the workshop in Lao Cai on updating the assessment of the implementation of the national green growth strategy in November, 2017, and the event “A touch of Belgium” in May, 2018 in Hanoi. The GGSF Project will actively participate any events relating to climate changes and green growth in the first quarter of 2019.

Status: On-going

A0502.c: Communication for GGSF

The contractor has been awarded in March 2018 to conduct a communication movie for GGSF. The final movie “Greening Vietnam” and related products were submitted to PMU for review and approval in May, 2018.

Status: Completed in May, 2018.

A.5.3. Capitalization:

As designed, this activity will be carried out after the implementation review of first pilot proposals.

Status: To be discussed.

2.8 Transversal Themes

2.8.1 Gender

So far, the project took gender stakes into account during the recruitment of its own staff and consultants by making sure candidate has been evaluated on a fair and transparent way.

A specific section on gender stakes was also included in the target table-of-content of the Facility operation manual, so as to make sure this is taken into account in both its management framework and green project selection mechanism.

2.8.2 Environment

Environment is at the heart of the VNGGSF. Consequently, the Facility is by mission aiming at preserving natural resources and mitigating the environmental impact of the Vietnam economy.

A specific section on environmental impact was also included in the target table-of-content of the FOM.

2.8.3 Other

Beyond gender and environment, the table-of-content of the FOM also includes sections about “socio-environmental safeguards” that the Facility must consider in the future for both its management procedures and project selection criteria.

The list of safeguards will most probably be inspired by the current international requirements of the Green Climate Fund.

2.9 Risk management

Identification of risk or issue			Analysis of risk or issue			Deal with risk or issue			Follow-up of risk or issue	
Risk description	Period of identification	Category	Likelihood	Potential impact	Total	Action(s)	Resp.	Deadline	Progress	Status
Lack of timeliness risk	TFF preparation (2013)	OPS	Low	Medium	Low Risk	Timely recruitment of lead ITA and supporting ITA and local TA	PMU	30/06/2014	Done	Terminated
						Frame work for core elements for POM in draft in the TFF	PMU	30/06/2014	Done	
Bypassing rules risk	TFF preparation (2013)	OPS	Low	Low	Low Risk	Active monitoring by MPI, ITA and Enabel	MPI, Enabel, PMU	(project life)	In progress	In progress
						Clear definition of the call for proposal and other financial mechanisms	PMU	(project life)	In progress	
Lack of project management capacities risk	TFF preparation (2013)	OPS	Low	Low	Low Risk	Ample project management experience and strong buy in of MPI leadership	PMU	(project life)	In progress	In progress
						Have ITA/NTA identify possible knowledge, experience and exposure gaps and suggest capacity building activities	PMU	(project life)	In progress	
Lack of call participation risk	TFF preparation (2013)	OPS	Medium	Low	Low Risk	Dedicated communication officer	PMU	(project life)	In progress	In progress
						Use of a focused communication plan identifying the target group and means to communicate with this group	PMU	After 2019	Planned	
Third party contractor risk	TFF preparation (2013)	OPS	Medium	Medium	Medium Risk	Defining selective eligibility criteria to reduce risk of picking inappropriate organizations as third-party contractors	PMU	31/1/2019	In progress	In progress

						Regular contact with facility staff in action development process gives insight in capacity of contractors	PMU	31/1/2019	In progress	
						Application of a stringent M&E framework	PMU	31/1/2019	In progress	
Risk of incompatibility between Vietnamese and Belgian regulations	TFF preparation (2013)	JUR	Low	Low	Low Risk	Explore and use room provided in ODA law to define co-management modality in agreement with MPI	Enabel, PMU	(project life)	In progress	In progress
Risk of un-transparent Short Term Action/seed fund activities disbursements	TFF preparation (2013)	OPS	Medium	Low	Low Risk	Define clear procedure for use of the budget in the POM	Enabel, PMU	31/1/2019	In progress	In progress
Lack of facility transparency risk	TFF preparation (2013)	DEV	Low	Low	Low Risk	All regulations, guidelines, criteria are published on website web site which allow downloading of key documents	PMU	(project life)	To be clearly disclosed on the VGGSF website	In progress
						All key information and news on the facility and the calls is published	PMU	(project life)	To be clearly disclosed on the VGGSF website	
Lack of inter-departmental collaboration risk	TFF preparation (2013)	REP	Low	Low	Low Risk	Use integrative mandate of MPI to disseminate experience and method developed through the project to other ministries and other sectors	PMU	Project life + Facility life	To be addressed in Facility Technical committee meeting framework	In progress
Lack of interest in green actions	TFF preparation (2013)	DEV	Low	Low	Low Risk	Focused communication and promotion about and on the Facility to target group	PMU	(project life)	Awareness workshop under preparation	In progress
Lack of (projects) relevancy risk	TFF preparation (2013)	DEV	Low	Low	Low Risk	Use call mechanism allowing respondent to shape intervention relevant to deal with barriers they face	PMU	31/1/2019	Done	In progress

						Ensure Seed Fund pilots are aligned with recommendation from ITA/NTA to demonstrate the added value of the facility to VNGGS and VNGGAP	PMU	31/1/2019	In progress	
Macro issues beyond the project control in relation to limited capacity and miss allocation of resources	TFF preparation (2013)	DEV	Low	Low	Low Risk	Hard to control issue but best dealt with by using a realistic approach of ability of people and organizations.	PMU	(project life)	Done	Terminated
						Putting the needed controls in place to prevent corruption	PMU	(project life)	Done	
Lack of sustainability of Facility funded activities risk	TFF preparation (2013)	DEV	Medium	Medium	Medium Risk	Demonstration of win-win of green initiatives and barrier removal make follow up/replication investments easier	PMU	6/30/2017	Done with FOM update	In progress
						Ensure seed fund pilots are aligned with ITA/NTA recommendations	PMU	31/1/2019	In progress	
Lack of sustainability of experiences risk	TFF preparation (2013)	DEV	Low	Low	Low Risk	Experience and methodologies gained and developed on facilitating investments feed into the core mandate of MPI related to investment planning and improvement	PMU	31/1/2019	In progress	In progress
Lack of sustainability of the Facility • Due to low interest by donors • Due to an unfavourable environment that the GGsf and Facility would not be able to sufficiently change.	TFF preparation (2013) Updated during Baseline Elaboration	DEV	High	High	Very High Risk	Proof performance of facility as a well-managed mechanism towards donor and users	PMU	30/06/2015	Done	In progress
						Focused support to facility to remove barriers will improve possible impact of facility overtime in terms of facilitating investment in green growth	PMU	30/06/2015	Done	
						Ensure coordination of the donors and communication between them and coherence between their interventions	PMU	(project life)	In progress	

						Legalize facility officially Ensure seed fund pilots are aligned with ITA/NTA recommendations Communicate about VGGSF results Elaborate a strategy to access funding sources	PMU	31/1/2019	In progress	
Risk of an ineffective GGSF and Facility governance framework	TFF preparation (2013)	DEV	Low	Medium	Low Risk	Mutual agreement of governing frame work and good communication and mutual understanding of controlling requirement of Belgium and Vietnam	PMU	30/06/2015	Done	Terminated
						Emphasis on process control and efficient administrative procedures	PMU	30/06/2015	Done	
Risk of inconsistent use of agreed governing processes	TFF preparation (2013)	OPS	Medium	Medium	Medium Risk	Use of controller mandated to monitor used selection and administrative processes	Enabel & PMU	(project life)	In progress	In progress
						Controller tasked to advise on effective use of POM and FOM to ensure timely project delivery and good oversight by Enabel at the same time	Enabel & PMU	(project life)	In progress	
						Controller asked to identify short coming in facility governing framework and advise on improvements	Enabel & PMU	(project life)	In progress	
Risk of inconsistent use of contracting and administrative standard on all project levels	TFF preparation (2013)	OPS	Low	Medium	Low Risk	Ensure clear guidelines for subcontracting as part of the Facility rules	PMU	(project life)	In progress	In progress

3 Steering and Learning

3.1 Strategic re-orientations

The Grant Agreements for implementing the pilot projects with six provinces have been signed and close monitoring of the implementation by the PMU and Enabel should be taken into consideration to accelerate the progress.

3.2 Recommendations

Recommendations	Actor	Deadline
Timely strategic guidance and assistance to implementation.	MPI, Enabel.	Regular
Extension of finalisation of related procedures and payment to pilot projects given required paperwork by relevant agencies.	PMU, Enabel, provinces.	As occurred when preparing GAs.
To joint ad-hoc meetings between PMU and Enabel to address the emerging issues through written procedures.	PMU, Enabel.	Regular

3.3 Lessons Learned

Lessons learned	Target audience
<p>It is noted that lessons learnt during the implementation of the project is close collaboration between the intervention/project team, PMU and Enabel; more interactions and timely information sharing is also extremely essential.</p> <p>If there have been problems and issues faced during the implementation phase, meetings would be conducted between the PMU Members, Enabel program officers, staff and PMU Office Intervention Team to timely address the problems, and more importantly the follow-up actions with clear responsibilities, division of labour etc. should be set up.</p> <p>The lessons learnt, success stories, showcases, challenges and experience on GGSF project and pilot projects should be widely disseminated. The good practices of the pilot projects should be replicated nationwide.</p>	Interventions, Representation, Enabel HQ department, partner department...

4 Annexes

4.1 Quality criteria

1. RELEVANCE: The degree to which the intervention is in line with local and national policies and priorities as well as with the expectations of the beneficiaries				
<i>In order to calculate the total score for this quality criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D</i>				
Assessment RELEVANCE: total score	A	B	C	D
	X			
1.1 What is the present level of relevance of the intervention?				
X	A	Clearly still embedded in national policies and Belgian strategy, responds to aid effectiveness commitments, highly relevant to needs of target group.		
	B	Still fits well in national policies and Belgian strategy (without always being explicit), reasonably compatible with aid effectiveness commitments, relevant to target group's needs.		
	C	Some issues regarding consistency with national policies and Belgian strategy, aid effectiveness or relevance.		
	D	Contradictions with national policies and Belgian strategy, aid efficiency commitments; relevance to needs is questionable. Major adaptations needed.		
1.2 As presently designed, is the intervention logic still holding true?				
X	A	Clear and well-structured intervention logic; feasible and consistent vertical logic of objectives; adequate indicators; Risks and Assumptions clearly identified and managed; exit strategy in place (if applicable).		
	B	Adequate intervention logic although it might need some improvements regarding hierarchy of objectives, indicators, Risk and Assumptions.		
	C	Problems with intervention logic may affect performance of intervention and capacity to monitor and evaluate progress; improvements necessary.		
	D	Intervention logic is faulty and requires major revision for the intervention to have a chance of success.		
2. EFFICIENCY OF IMPLEMENTATION TO DATE: Degree to which the resources of the intervention (funds, expertise, time, etc.) have been converted into results in an economical way				
<i>In order to calculate the total score for this quality criterion, proceed as follows: 'At least two 'A', no 'C' or 'D' = A; Two times 'B', no 'C' or 'D' = B; at least one 'C', no 'D' = C; at least one 'D' = D</i>				
Assessment EFFICIENCY: total score	A	B	C	D
		X		
2.1 How well are inputs (financial, HR, goods & equipment) managed?				
	A	All inputs are available on time and within budget.		
X	B	Most inputs are available in reasonable time and do not require substantial budget adjustments. However there is room for improvement.		
	C	Availability and usage of inputs face problems, which need to be addressed; otherwise results may be at risk.		
	D	Availability and management of inputs have serious deficiencies, which threaten the achievement of results. Substantial change is needed.		
2.2 How well is the implementation of activities managed?				
	A	Activities implemented on schedule		

X	B	Most activities are on schedule. Delays exist, but do not harm the delivery of outputs
	C	Activities are delayed. Corrections are necessary to deliver without too much delay.
	D	Serious delay. Outputs will not be delivered unless major changes in planning.
2.3 How well are outputs achieved?		
	A	All outputs have been and most likely will be delivered as scheduled with good quality contributing to outcomes as planned.
X	B	Output delivery is and will most likely be according to plan, but there is room for improvement in terms of quality, coverage and timing.
	C	Some outputs are/will be not delivered on time or with good quality. Adjustments are necessary.
	D	Quality and delivery of outputs has and most likely will have serious deficiencies. Major adjustments are needed to ensure that at least the key outputs are delivered on time.

3. EFFECTIVENESS TO DATE: Degree to which the outcome (Specific Objective) is achieved as planned at the end of year N

In order to calculate the total score for this quality criterion, proceed as follows: 'At least one 'A', no 'C' or 'D' = A; Two times 'B' = B; At least one 'C', no 'D' = C; at least one 'D' = D

Assessment EFFECTIVENESS: total score	A	B	C	D
	X			

3.1 As presently implemented what is the likelihood of the outcome to be achieved?

	A	Full achievement of the outcome is likely in terms of quality and coverage. Negative effects (if any) have been mitigated.
X	B	Outcome will be achieved with minor limitations; negative effects (if any) have not caused much harm.
	C	Outcome will be achieved only partially among others because of negative effects to which management was not able to fully adapt. Corrective measures have to be taken to improve ability to achieve outcome.
	D	The intervention will not achieve its outcome unless major, fundamental measures are taken.

3.2 Are activities and outputs adapted (when needed), in order to achieve the outcome?

X	A	The intervention is successful in adapting its strategies / activities and outputs to changing external conditions in order to achieve the outcome. Risks and assumptions are managed in a proactive manner.
	B	The intervention is relatively successful in adapting its strategies to changing external conditions in order to achieve its outcome. Risks management is rather passive.
	C	The intervention has not entirely succeeded in adapting its strategies to changing external conditions in a timely or adequate manner. Risk management has been rather static. An important change in strategies is necessary in order to ensure the intervention can achieve its outcome.
	D	The intervention has failed to respond to changing external conditions, risks were insufficiently managed. Major changes are needed to attain the outcome.

4. POTENTIAL SUSTAINABILITY: The degree of likelihood to maintain and reproduce the benefits of an intervention in the long run (beyond the implementation period of the intervention).

In order to calculate the total score for this quality criterion, proceed as follows: At least 3 'A's, no 'C' or 'D' = A; Maximum two 'C's, no 'D' = B; At least three 'C's, no 'D' = C; At least one 'D' = D

Assessment POTENTIAL SUSTAINABILITY : total score	A	B	C	D
	X			

4.1 Financial/economic viability?

	A	Financial/economic sustainability is potentially very good: costs for services and maintenance are covered or affordable; external factors will not change that.
X	B	Financial/economic sustainability is likely to be good, but problems might arise namely from changing external economic factors.
	C	Problems need to be addressed regarding financial sustainability either in terms of institutional or target groups costs or changing economic context.
	D	Financial/economic sustainability is very questionable unless major changes are made.
4.2 What is the level of ownership of the intervention by target groups and will it continue after the end of external support?		
X	A	The steering committee and other relevant local structures are strongly involved in all stages of implementation and are committed to continue producing and using results.
	B	Implementation is based in a good part on the steering committee and other relevant local structures, which are also somewhat involved in decision-making. Likelihood of sustainability is good, but there is room for improvement.
	C	The intervention uses mainly ad-hoc arrangements and the steering committee and other relevant local structures to ensure sustainability. Continued results are not guaranteed. Corrective measures are needed.
	D	The intervention depends completely on ad-hoc structures with no prospect of sustainability. Fundamental changes are needed to enable sustainability.
4.3 What is the level of policy support provided and the degree of interaction between intervention and policy level?		
X	A	Policy and institutions have been highly supportive of intervention and will continue to be so.
	B	Policy and policy enforcing institutions have been generally supportive, or at least have not hindered the intervention, and are likely to continue to be so.
	C	Intervention sustainability is limited due to lack of policy support. Corrective measures are needed.
	D	Policies have been and likely will be in contradiction with the intervention. Fundamental changes needed to make intervention sustainable.
4.4 How well is the intervention contributing to institutional and management capacity?		
X	A	Intervention is embedded in institutional structures and has contributed to improve the institutional and management capacity (even if this is not an explicit goal).
	B	Intervention management is well embedded in institutional structures and has somewhat contributed to capacity building. Additional expertise might be required. Improvements in order to guarantee sustainability are possible.
	C	Intervention relies too much on ad-hoc structures instead of institutions; capacity building has not been sufficient to fully ensure sustainability. Corrective measures are needed.
	D	Intervention is relying on ad hoc and capacity transfer to existing institutions, which could guarantee sustainability, is unlikely unless fundamental changes are undertaken.

4.2 Decisions taken by the steering committee and follow-up

		Decision			Action			Follow-up	
N°	Decision	Identification period (mmm.yy)	Source*	Actor	Action(s)	Resp.	Deadline	Progress	Status
1	Decision No. 01/QĐ-KHGDTNMT	Jul-14	Operational documents	SC	Approval of the Action Plan and Budget 2014	PMU		Done	CLOSED
				SC	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
2	Decision No. 01a/QĐ-BQLDA	Sep-14	Operational documents	PMU	Organizational and operational regulations of GGSF PMU	PMU		Implementing process	ONGOING
				PMU	Implementing the Decision accordingly	PMU	30 Jun. 2019	Implementing process	
3	Decision No. 01/QĐ-BQLDA	Sep-14	Operational documents	PMU	Establishment of the Tender Evaluation Panel for evaluation of the package "Local Tender Specialist" Members: Ms. Nguyen Thai Phuong; Mr. Truong Anh Son; Ms. Vu Thi Hoang Thanh; Mr. Phung Van Quan	PMU		Done	CLOSED
				PMU	The Tender Evaluation Panel has duties and responsibilities: - Evaluating Tender documents - Reporting to PMU - Implementing the Decision in line with the Article 76 of the Procurement Law	The Panel		Done	
4	Decision No. 02-1/QĐ-KHGDTNMT	Sep-14	Operational documents	DSENRE	Approval of the TORs and Consultant Lists for the Package No. 9 "Local Tender Specialist"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

5	Decision No. 02-2/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of selection results of the Package No. 09 "Local Tender Specialist"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Ngo Ngoc Quy. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
6	Decision No. 03/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for 08 packages of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
7	Decision No. 02/QĐ-BQLDA	Oct-14	Operational documents	SC	Establishment of the Tender Evaluation Panel for evaluation of consultants. Members: Ms. Nguyen Thai Phuong; Mr. Truong Anh Son; Ms. Vu Thi Hoang Thanh; Mr. Phung Van Quan	PMU		Done	CLOSED
				SC	The Tender Evaluation Panel has duties and responsibilities: - Evaluating Tender documents - Reporting to PMU - Implementing the Decision in line with the Article 76 of the Procurement Law	The Panel		Done	
8	Decision No. 04/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 05 "International Consultant for FOM"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
9	Decision No. 05/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 06 "Local Consultant for FOM"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

10	Decision No. 06/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 03 "International Consultant for Development of the different tools for the call for proposals"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
11	Decision No. 07/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No 04 "Local Consultant for development of the different tools for the call for proposals"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
12	Decision No. 08/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 01 "International Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
13	Decision No. 09/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 02 "Local Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
14	Decision No. 10/QĐ-KHGDTNMT	Oct-14	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 07 "Local Consultant for training needs assessment and capacity building for MPI"	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

15	Decision No. 11/QĐ-KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the revised TORs and advertisement information on close time for Packages No. 01, 02, 03, 04, 05 and 06 of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
16	Decision No. 12/QĐ-KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the revised TORs and advertisement information on close time for Packages No. 07 and 08 of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
17	Decision No. 13/QĐ-KHGDTNMT	Nov-14	Operational documents	DSENRE	Approval of the Consultant Short Lists for Packages No 01, 02, 04, 05, 06, 07 and 08 of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
18	Decision No. 14/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 1 "International Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Mark Fogarty.Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
19	Decision No. 15/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 02 "Local Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Le Thanh Tung. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	

20	Decision No. 16/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 04 "Local Consultant for identifying sectors and conducting a baseline report"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mrs. Hoang Thi Thuy Nguyet. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
21	Decision No. 17/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 07 "Local Consultant for training needs assessment and capacity building for MPI"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Do Huan. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
22	Decision No. 18/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 08 "Local Consultant for communication strategy for the Facility"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mrs. Bui Thi Thanh Thuy. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
23	Decision No. 19/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 05 "International Consultant for FOM"	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Carola Menzel. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
24	Decision No. 20/QĐ-KHGDTNMT	Dec-14	Operational documents	DSENRE	Approval of selection results of the Package No. 06 "Local Consultant for FOM" of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Vu Cuong. Implementing the Decision accordingly	PMU	31 Dec.2014	Done	
25	Decision No. 21/QĐ-KHGDTNMT	Jan-15	Operational documents	SC	Approval of the Action Plan and Budget 2015	PMU		Done	CLOSED

				SC	Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
26	Decision No. 61/QĐ-BKHĐT	Jan-15	Operational documents	MPI	Approval of the Regulation on organization and operation of the SC	SC		Implementing process	ONGOING
				MPI	Implementing the Decision accordingly	SC	30 Jun. 2019	Implementing process	
27	Decision No. 22/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Extension of Contracts for Package No. 05 (international consultant for FOM) and Package No. 06 (local consultant for FOM) of the Procurement Plan 2014	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	30 Aug.2015	Done	
28	Decision No. 23/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 05 "Benchmark and analyse local GGAP in 3 provinces: Ha Tinh, Ninh Thuan and Binh Thuan" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
29	Decision No. 24/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 06 "Development of Facility Website" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
30	Decision No. 25/QĐ-KHGDTNMT	Apr-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 01 "Elaborate a Green procurement plan for GoV" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
31	Decision No. 26/QĐ-KHGDTNMT	Jun-15	Operational documents	DSENRE	Approval of the Consultant Short Lists for Packages No. 01, 05 and 06 of the Procurement Plan 2015	PMU		Done	CLOSED

				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
32	Decision No. 27/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of selection results of the Package No. 1 "National consultants for elaborating a Green procurement plan for GOV" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Le Duc Chung; Mr. Nguyen Van Hai. Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
33	Decision No. 28/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of selection results of the Package No. 05 "National consultants (03) to benchmark and analyse local GGAP in 3 provinces: Ha Tinh, Ninh Thuan and Binh Thuan" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Ha Dang Son; Ms. Nguyen Thi Kim Dung; Mr. Nguyen Thanh Ha. Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
34	Decision No. 29/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of selection results of the Package No. 06 "Development of Facility Website" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Ms. Nguyen Thi Thuan. Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
35	Decision No. 30/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of TORs and the Consultant Short Lists for Packages No. 03 "National Consultant for studying on the remaining gap analysis between GGSF and international expectations" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2015	Done	

36	Decision No. 31/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 04 "National Consultant for elaborating the Project operation manual (POM)" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
37	Decision No. 32/QĐ-KHGDTNMT	Jul-15	Operational documents	DSENRE	Approval of TORs and advertisement information for Package No. 07 "Local communication specialist" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
38	Decision No. 33/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of selection results of the Package No. 03 "National Consultant for studying on the remaining gap analysis between GGSF and international expectations" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Mr. Ho Quang Minh. Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
39	Decision No. 34/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of the National Consultant Short Lists for Packages No. 04 and 07 of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
40	Decision No. 35/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of selection results of the Package No. 04 "National Consultant for elaborating the Project operation manual (POM)" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Ms. Hoang Thi Thuy Nguyet. Implementing the Decision accordingly	PMU	31 Dec.2015	Done	

41	Decision No. 36/QĐ-KHGDTNMT	Aug-15	Operational documents	DSENRE	Approval of selection results of the Package No. 07 "Local communication specialist" of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Successful Bidder: Ms. Do Thu Nga. Implementing the Decision accordingly	PMU	31 Dec.2015	Done	
42	Decision No. 37/QĐ-KHGDTNMT	Nov-15	Operational documents	DSENRE	Extension of Contracts for Package No. 01 (Green procurement plan for GOV) and Package No. 05 (GGAP in 3 provinces) of the Procurement Plan 2015	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Mar.2016	Done	
43	Decision No. 1698/QĐ-BKHĐT	Nov-15	Operational documents	MPI	Establishment of the Technical Working Group to evaluate calls of proposal	PMU		Done	CLOSED
				MPI	Implementing the Decision accordingly	PMU	30 Jun. 2019	Done	
44	Decision No. 03/QĐ-BQLDA	Nov-15	Operational documents	MPI	Operational budget for the Technical Working Group to evaluate calls of proposal	PMU		Done	CLOSED
				MPI	Implementing the Decision accordingly	PMU	30 Jun. 2019	Done	
45	Decision No. 368/QĐ-BKHĐT	Mar-16	Operational documents	MPI	Personnel change of the Technical Working Group (Mr. Nguyen Hoang Lam to replace Ms. Nguyen Lan Huong)	PMU		Done	ONGOING
				MPI	Implementing the Decision accordingly	PMU	30 Jun. 2019	Implementing process	
46	Decision No. 38/QĐ-KHGDTNMT	Jul-16	Operational documents	DSENRE	Approval of the Action Plan and Budget 2016	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	
47	Decision No. 1091/QĐ-BKHĐT	Jul-16	Operational documents	MPI	Approval of the Procurement Plan 2016	PMU		Done	CLOSED
				MPI	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	

48	Decision No. 04/QĐ-BQLDA	Aug-16	Operational documents	PMU	Establishment of the Tender Group for the Package No.3 of the Procurement Plan 2016.	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	
49	Decision No. 39/QĐ-KHGDTNMT	Aug-16	Operational documents	DSENRE	Establishment of the Tender Evaluation Panel for the Package No.3 of the Procurement Plan 2016.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	
50	Decision No. 40/QĐ-KHGDTNMT	Aug-16	Operational documents	DSENRE	Approval of the Bidding Documents of the Package No.3 of the Procurement Plan 2016.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	
51	Decision No. 41/QĐ-KHGDTNMT	Sep-16	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 01 of the Procurement Plan 2016.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	
52	Decision No. 42/QĐ-KHGDTNMT	Oct-16	Operational documents	DSENRE	Approval of the List of contractors of the Package No.3 of the Procurement Plan 2016.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	
53	Decision No. 43/QĐ-KHGDTNMT	Oct-16	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No.1 of the Procurement Plan 2016.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	
54	Decision No. 44/QĐ-KHGDTNMT	Oct-16	Operational documents	DSENRE	Approval of selection results of the Package No. 01 of the Procurement Plan 2016.	PMU		Done	CLOSED

				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	
55	Decision No. 45/QĐ-KHGDTNMT	Nov-16	Operational documents	DSENRE	Approval of selection results of the Package No. 03 of the Procurement Plan 2016.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	
56	Decision No. 46/QĐ-KHGDTNMT	Dec-16	Operational documents	PMU	Approval of the TORs and advertisement information for the Package No. 02 of the Procurement Plan 2016.	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PMU	31 Dec.2016	Done	
57	Decision No. 47/QĐ-KHGDTNMT	Dec-16	Operational documents	PMU	Extension of implementing period for the Package No. 01 of the Procurement Plan 2016.	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PMU	31 Jan.2017	Done	
58	Decision No. 48/QĐ-KHGDTNMT	Jan-17	Operational documents	PMU	Approval of the List of individual contractors of the Package No. 02 of the Procurement Plan 2016.	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
59	Decision No. 49/QĐ-KHGDTNMT	Jan-17	Operational documents	PMU	Approval of selection results of the Package No. 02 of the Procurement Plan 2016.	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
60	Decision No. 50/QĐ-KHGDTNMT	Mar-17	Operational documents	PMU	Approval of the implementing period extension for the Contract No.16	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PMU	31 Mar.2017	Done	
61	Decision No. 05/QĐ-BQLDA	Mar-17	Operational documents	PMU	Funding allocation in 2017 for pilot projects in Ha Tinh.	PMU		Done	CLOSED

				PMU	Implementing the Decision accordingly	PCU	31 Dec.2017	Done	
62	Decision No. 06/QĐ-BQLDA	Mar-17	Operational documents	PMU	Funding allocation in 2017 for pilot projects in Ninh Thuan	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PCU	31 Dec.2017	Done	
63	Decision No. 07/QĐ-BQLDA	Mar-17	Operational documents	PMU	Funding allocation in 2017 for pilot projects in Binh Thuan	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PCU	31 Dec.2017	Done	
64	Decision No. 51/QĐ-KHGDTNMT	Mar-17	Operational documents	PMU	Approval of the Action Plan and Budget 2017	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
65	Decision No. 52/QĐ-KHGDTNMT	Apr-17	Operational documents	PMU	Approval of the TORs and advertisement information for the Package No. 04 of the Procurement Plan 2016.	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
66	Decision No. 51/QĐ-KHGDTNMT	Mar-17	Operational documents	PMU	Approval of the List of individual contractors of the Package No. 04 of the Procurement Plan 2016.	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
67	Decision No. 54/QĐ-KHGDTNMT	May-17	Operational documents	DSENRE	Approval of selection results of the Package No. 04 of the Procurement Plan 2016.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
68	Decision No. 812/QĐ-BKHĐT	Jun-17	Operational documents	MPI	Approval of the Procurement Plan 2017	PMU		Done	CLOSED
				MPI	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	

69	Decision No. 55/QĐ-KHGDTNMT	Jun-17	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 05 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
70	Decision No. 56/QĐ-KHGDTNMT	Jul-17	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 05 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
71	Decision No. 57/QĐ-KHGDTNMT	Jul-17	Operational documents	DSENRE	Approval of selection results of the Package No. 05 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
72	Decision No. 58/QĐ-KHGDTNMT	Sep-17	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 01 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
73	Decision No. 59/QĐ-KHGDTNMT	Sep-17	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 03 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
74	Decision No. 60/QĐ-KHGDTNMT	Sep-17	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 04 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	

75	Decision No. 61/QĐ-KHGDTNMT	Oct-17	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 01 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
76	Decision No. 62/QĐ-KHGDTNMT	Oct-17	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 03 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
77	Decision No. 63/QĐ-KHGDTNMT	Oct-17	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 04 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
78	Decision No. 64/QĐ-KHGDTNMT	Nov-17	Operational documents	DSENRE	Approval of selection results of the Package No. 01 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
79	Decision No. 65/QĐ-KHGDTNMT	Nov-17	Operational documents	DSENRE	Approval of selection results of the Package No. 03 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
80	Decision No. 66/QĐ-KHGDTNMT	Nov-17	Operational documents	DSENRE	Approval of selection results of the Package No. 04 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	
81	Decision No. 1841/QĐ-BKHĐT	Dec-17	Operational documents	MPI	Approval of the supplement of the Procurement Plan 2017	PMU		Done	CLOSED
				MPI	Implementing the Decision accordingly	PMU	31 Dec.2017	Done	

82	Decision No. 67/QĐ-KHGDTNMT	Jan-18	Operational documents	PMU	Approval of the Action Plan and Budget 2018-2019	PMU		Implementing process	ONGOING
				PMU	Implementing the Decision accordingly	PMU	30 Jun.2019	Implementing process	
83	Decision No. 68/QĐ-KHGDTNMT	Jan-18	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 02 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
84	Decision No. 69/QĐ-KHGDTNMT	Jan-18	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 06 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
85	Decision No. 70/QĐ-KHGDTNMT	Jan-18	Operational documents	DSENRE	Approval of the TORs and advertisement information for the Package No. 07 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
86	Decision No. 71/QĐ-KHGDTNMT	Feb-18	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 02 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
87	Decision No. 72/QĐ-KHGDTNMT	Feb-18	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 06 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
88	Decision No. 73/QĐ-KHGDTNMT	Feb-18	Operational documents	DSENRE	Approval of the List of individual contractors of the Package No. 07 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	

89	Decision No. 07a/QĐ-KHGDTNMT	Feb-18	Operational documents	DSENRE	Establishment of the Tender Panel of the Package No. 07 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
90	Decision No. 74/QĐ-KHGDTNMT	Mar-18	Operational documents	DSENRE	Approval of contract extension of the Package No. 07 of the Procurement Plan 2015.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	30 Sep.2018	Done	
91	Decision No. 75/QĐ-KHGDTNMT	Mar-18	Operational documents	DSENRE	Establishment of the Tender Evaluation Panel of the Package No. 07 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
92	Decision No. 269/QĐ-BKHĐT	Mar-18	Operational documents	MPI	Approval of the Procurement Plan 2018	PMU		Done	CLOSED
				MPI	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
93	Decision No. 08/QĐ-BQLDA	Mar-18	Operational documents	PMU	Funding allocation in 2018 for pilot projects in Ha Tinh	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PCU	31 Dec.2018	Done	
94	Decision No. 09/QĐ-BQLDA	Mar-18	Operational documents	PMU	Funding allocation in 2018 for pilot projects in Ninh Thuan	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PCU	31 Dec.2018	Done	
95	Decision No. 10/QĐ-BQLDA	Mar-18	Operational documents	PMU	Funding allocation in 2018 for pilot projects in Binh Thuan	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PCU	31 Dec.2018	Done	
96	Decision No. 76/QĐ-KHGDTNMT	Mar-18	Operational documents	DSENRE	Approval of selection results of the Package No. 06 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	

97	Decision No. 77/QĐ-KHGDTNMT	Mar-18	Operational documents	DSENRE	Approval of selection results of the Package No. 02 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
98	Decision No. 78/QĐ-KHGDTNMT	Mar-18	Operational documents	DSENRE	Approval of selection results of the Package No. 07 of the Procurement Plan 2017.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
99	Decision No. 78a/QĐ-KHGDTNMT	May-18	Operational documents	DSENRE	Approval of TORs of the Package No. 03 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
100	Decision No. 78b/QĐ-KHGDTNMT	May-18	Operational documents	DSENRE	Approval of TORs of the Package No. 04 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
101	Decision No. 11/QĐ-BQLDA	May-18	Operational documents	PMU	Assigning staff (Mr. Phung Van Quan) to the Study Tour trip in EU	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PCU	30 Jun.2018	Done	
102	Decision No. 12/QĐ-BQLDA	May-18	Operational documents	PMU	Establishment of the Tender Group for the Package No.1 and the Package No.2 of the Procurement Plan 2018.	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PCU	31 Dec.2018	Done	
103	Decision No. 79/QĐ-KHGDTNMT	May-18	Operational documents	DSENRE	Establishment of the Tender Evaluation Panel for the the Package No.1 and the Package No.2 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	

104	Decision No. 80/QĐ-KHGDTNMT	May-18	Operational documents	DSENRE	Approval of the bidding dossier of the Package No. 01 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
105	Decision No. 81/QĐ-KHGDTNMT	May-18	Operational documents	DSENRE	Approval of the bidding dossier of the Package No. 02 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
106	Decision No. 82/QĐ-KHGDTNMT	Jun-18	Operational documents	DSENRE	Approval of the list of invited bidders of the Package No. 03 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
107	Decision No. 83/QĐ-KHGDTNMT	Jun-18	Operational documents	DSENRE	Approval of the list of invited bidders of the Package No. 04 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
108	Decision No. 84/QĐ-KHGDTNMT	Jun-18	Operational documents	DSENRE	Approval of TORs of the Package No. 05 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
109	Decision No. 13/QĐ-BQLDA	Jun-18	Operational documents	PMU	Establishment of the Tender Group for the Package No.5 of the Procurement Plan 2018.	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PCU	31 Dec.2018	Done	
110	Decision No. 85/QĐ-KHGDTNMT	Jun-18	Operational documents	DSENRE	Approval of selection results of the Package No. 03 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	

111	Decision No. 86/QĐ-KHGDTNMT	Jun-18	Operational documents	DSENRE	Approval of selection results of the Package No. 04 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
112	Decision No. 87/QĐ-KHGDTNMT	Jun-18	Operational documents	DSENRE	Approval of advertisement information on closing time for the Package No. 05 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
113	Decision No. 88/QĐ-KHGDTNMT	Jun-18	Operational documents	DSENRE	Adjustment of the members of Tender Evaluation Panel for the the Package No.1 and the Package No.2 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
114	Decision No. 89/QĐ-KHGDTNMT	Jul-18	Operational documents	DSENRE	Approval of the list of bidders meeting technical requirements of the Package No. 01 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
115	Decision No. 90/QĐ-KHGDTNMT	Jul-18	Operational documents	DSENRE	Approval of the list of bidders meeting technical requirements of the Package No. 02 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
116	Decision No. 91/QĐ-KHGDTNMT	Jul-18	Operational documents	DSENRE	Approval of the list of participating individual bidders of the Package No. 05 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
117	Decision No. 92/QĐ-KHGDTNMT	Jul-18	Operational documents	DSENRE	Approval of selection results of the Package No. 01 of the Procurement Plan 2018.	PMU		Done	CLOSED

				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
118	Decision No. 93/QĐ-KHGDTNMT	Jul-18	Operational documents	DSENRE	Approval of selection results of the Package No. 02 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
119	Decision No. 94/QĐ-KHGDTNMT	Jul-18	Operational documents	DSENRE	Approval of selection results of the Package No. 05 of the Procurement Plan 2018.	PMU		Done	CLOSED
				DSENRE	Implementing the Decision accordingly	PMU	31 Dec.2018	Done	
120	Decision No. 95/QĐ-KHGDTNMT	Aug-18	Operational documents	DSENRE	Personnel change of the Chief Accountant (Ms. Nguyen Thi Dieu Trinh)	PMU		Implementing process	ONGOING
				PMU	Implementing the Decision accordingly	PCU	30 Jun.2019	Implementing process	
121	Decision No. 14/QĐ-BQLDA	Oct-18	Operational documents	PMU	Funding allocation in 2018 for pilot projects in Binh Dinh	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PCU	31 Dec.2018	Done	
122	Decision No. 15/QĐ-BQLDA	Dec-18	Operational documents	PMU	Funding allocation in 2018 for pilot projects in Ninh Thuan	PMU		Done	CLOSED
				PMU	Implementing the Decision accordingly	PCU	31 Dec.2018	Done	

4.3 Updated Logical framework

No change in the Logical Framework of GGSF.

4.4 MoRe Results at a glance

Logical framework's results or indicators modified in last 12 months?	A set of indicators was developed under the Baseline Report in 2015. No change in 2018.
Baseline Report registered on PIT?	The Baseline Report was approved by BTC and MPI through the Exchange Letter dated 7 th December, 2015.
Planning MTR (registration of report)	The MTR mission was conducted in January, 2017.
Planning ETR (registration of report)	The ETR mission is planned to conduct in March, 2019.
Backstopping missions	A backstopping mission was conducted in June, 2016. No backstopping mission in 2017 and 2018.

4.5 “Budget versus current (y – m)” Report

Budget vs Actuals (Year to Month, by Quarter) of VIE1104111

Project Title : **Green Growth Strategy Facility (GGSF)**
 Budget Version : **C05**
 Currency : **EUR**
 YTM : **Report includes all closed transactions until the end date of the closed closing**
 Year to month : 31/12/2018

Status	Fin Mode	Amount	2017	2018				Total	Total Exp.	Balance	% Exec	
				Q1	Q2	Q3	Q4					
A SPECIFIC OBJECTIVE : A		3.037.687,00	853.948,63	28.126,99	378.759,64	425.890,07	882.216,85	1.714.993,55	2.568.942,17	468.744,83	85%	
01 R1: A support Facility is		430.451,00	174.329,57	8.654,99	35.557,64	52.569,42	61.231,18	158.013,23	332.342,80	98.108,20	77%	
01	Studies to develop the	COGEST	350.640,00	103.518,78	8.654,99	27.457,64	51.659,75	61.231,18	149.003,56	252.522,34	98.117,66	72%
02	Development of the different	COGEST	23.552,00	14.552,27	0,00	8.100,00	909,67	0,00	9.009,67	23.561,94	-9,94	100%
03	Set-up of the monitoring	COGEST	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	??
04	Facility operational manual	COGEST	48.343,00	48.342,08	0,00	0,00	0,00	0,00	0,00	48.342,08	0,92	100%
05	Publicity and workshops to	COGEST	7.916,00	7.916,44	0,00	0,00	0,00	0,00	0,00	7.916,44	-0,44	100%
02 R 2: Green growth		263.007,00	51.918,25	7.801,29	10.435,09	852,63	87.996,78	107.085,78	159.004,03	104.002,97	60%	
01	Training needs assessment	COGEST	50.181,00	20.180,95	0,00	2.584,66	0,00	1.863,75	4.448,40	24.629,35	25.551,65	49%
02	Coordination activities	COGEST	7.236,00	1.998,48	0,00	0,00	0,00	0,00	0,00	1.998,48	5.237,52	28%
03	Capacity building activities	COGEST	205.590,00	29.738,82	7.801,29	7.850,43	852,63	86.133,03	102.637,38	132.376,20	73.213,80	64%
03 R 3: Piloting activities in 3		840.160,00	190.242,32	8.879,41	98.567,50	180.883,83	230.928,25	519.258,98	709.501,30	130.658,70	84%	
01	Pilot support to provinces	COGEST	240.160,00	190.242,32	8.879,41	8.567,50	883,83	30.928,25	49.258,98	239.501,30	658,70	100%
02	Seed Fund for Short Term	COGEST	600.000,00	0,00	0,00	90.000,00	180.000,00	200.000,00	470.000,00	470.000,00	130.000,00	78%
04 R4: 4. The Facility supports,		1.431.693,00	407.112,69	2.715,61	217.146,06	191.637,81	502.060,65	913.560,14	1.320.672,83	111.020,17	92%	
01	Launch of 3 calls for	COGEST	2.096,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	2.096,00	0%
02	Screening against eligibility	COGEST	22.659,00	2.819,06	0,00	201,98	0,00	0,00	201,98	3.021,04	19.637,96	13%
REGIE		1.483.000,00	894.592,62	27.946,26	18.157,56	19.179,81	93.637,15	158.920,77	1.053.513,39	429.486,61	71,00	
COGEST		3.517.000,00	1.121.226,74	50.696,79	395.032,70	449.882,60	917.360,65	1.812.972,75	2.934.199,48	582.800,52	83,00	
TOTAL		5.000.000,00	2.015.819,36	78.643,05	413.190,26	469.062,41	1.010.997,80	1.971.893,52	3.987.712,87	1.012.287,13	80,00	



Budget vs Actuals (Year to Month, by Quarter) of VIE1104111

Project Title : **Green Growth Strategy Facility (GGSF)**

Budget Version : **C05**

Year to month : 31/12/2018

Currency : **EUR**

YtM : **Report includes all closed transactions until the end date of the closed closing**

	Status	Fin Mode	Amount	2017	2018				Total	Total Exp.	Balance	% Exec
					Q1	Q2	Q3	Q4				
05 Local Tender specialist		COGEST	71.282,00	62.722,37	5.006,31	1.075,47	62,39	0,00	6.144,17	68.866,54	2.415,46	97%
06 Local communication		COGEST	32.946,00	20.945,60	1.800,00	2.907,94	3.004,68	1.197,62	8.910,24	29.855,84	3.090,16	91%
07 Accountant		COGEST	74.592,00	46.933,40	4.351,42	3.821,73	3.628,45	3.695,71	15.497,31	62.430,71	12.161,29	84%
08 Administration staff		COGEST	119.395,00	70.963,31	7.176,39	3.651,97	2.954,11	2.887,41	16.669,88	87.633,19	31.761,81	73%
09 M&E Officer		COGEST	101.898,00	65.713,43	4.235,69	4.712,64	4.622,91	4.690,91	18.262,14	83.975,57	17.922,43	82%
10 Local Technical Consultant		COGEST	36.000,00	0,00	0,00	0,00	5.760,00	11.256,60	17.016,60	17.016,60	18.983,40	47%
11 Local Policy Adviser		COGEST	43.200,00	0,00	0,00	103,31	3.960,00	11.415,55	15.478,86	15.478,86	27.721,14	36%
02 Investments			33.000,00	16.490,90	50,85	0,00	2.636,20	0,00	2.687,05	19.177,95	13.822,05	58%
01 Office equipment & furniture		REGIE	8.000,00	7.975,24	0,00	0,00	0,00	0,00	0,00	7.975,24	24,76	100%
02 IT equipment		REGIE	20.000,00	8.496,12	50,85	0,00	2.636,20	0,00	2.687,05	11.183,17	8.816,83	56%
03 Office improvement works		REGIE	5.000,00	19,54	0,00	0,00	0,00	0,00	0,00	19,54	4.980,46	0%
03 Operational expenses			220.200,00	77.420,84	6.669,23	5.296,32	4.228,51	3.204,48	19.398,54	96.819,38	123.380,62	44%
01 Office rent		REGIE	58.000,00	34.160,71	2.010,13	2.022,04	2.112,17	2.101,74	8.246,08	42.406,79	15.593,21	73%
02 Services and maintenance		REGIE	36.000,00	12.176,26	0,00	414,03	601,13	75,12	1.090,28	13.266,54	22.733,46	37%
03 Transportation costs		REGIE	23.800,00	5.351,19	376,94	490,70	336,84	370,03	1.574,50	6.925,69	16.874,31	29%
04 Telecommunications		REGIE	21.600,00	4.870,91	315,20	289,52	283,26	282,73	1.170,70	6.041,61	15.558,39	28%
05 Operation costs		REGIE	46.800,00	18.992,77	868,89	1.387,50	895,12	374,87	3.526,37	22.519,14	24.280,86	48%
		REGIE	1.483.000,00	894.592,62	27.946,26	18.157,56	19.179,81	93.637,15	158.920,77	1.053.513,39	429.486,61	71,00
		COGEST	3.517.000,00	1.121.226,74	50.696,79	395.032,70	449.882,60	917.360,65	1.812.972,75	2.934.199,48	582.800,52	83,00
		TOTAL	5.000.000,00	2.015.819,36	78.643,05	413.190,26	469.062,41	1.010.997,80	1.971.893,52	3.987.712,87	1.012.287,13	80,00



Budget vs Actuals (Year to Month, by Quarter) of VIE1104111

Project Title : **Green Growth Strategy Facility (GGSF)**
 Budget Version : **C05**
 Currency : **EUR**
 YtM : **Report includes all closed transactions until the end date of the closed closing**

Year to month : 31/12/2018

	Status	Fin Mode	Amount	2018					Total	Total Exp.	Balance	% Exec
				2017	Q1	Q2	Q3	Q4				
06 Representation and external		REGIE	24.000,00	19,52	0,00	0,00	0,00	0,00	0,00	19,52	23.980,48	0%
07 Legal advice		REGIE	10.000,00	1.855,24	3.098,07	692,54	0,00	0,00	3.790,61	5.645,85	4.354,15	56%
08 Other operational costs (on		REGIE	0,00	-5,76	0,00	0,00	0,00	0,00	0,00	-5,76	5,76	??%
04 Audit and Monitoring and			172.000,00	50.610,68	0,00	1.175,31	0,00	83,44	1.258,75	51.869,43	120.130,57	30%
01 Monitoring and Evaluation		REGIE	80.000,00	38.279,96	0,00	1.175,31	0,00	0,00	1.175,31	39.455,27	40.544,73	49%
02 Consultancy for quality		REGIE	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	??%
03 Audit and organisational		REGIE	68.000,00	10.610,30	0,00	0,00	0,00	83,44	83,44	10.693,74	57.306,26	16%
04 Monitoring and		REGIE	24.000,00	1.720,42	0,00	0,00	0,00	0,00	0,00	1.720,42	22.279,58	7%

REGIE	1.483.000,00	894.592,62	27.946,26	18.157,56	19.179,81	93.637,15	158.920,77	1.053.513,39	429.486,61	71,00
COGEST	3.517.000,00	1.121.226,74	50.696,79	395.032,70	449.882,60	917.360,65	1.812.972,75	2.934.199,48	582.800,52	83,00
TOTAL	5.000.000,00	2.015.819,36	78.643,05	413.190,26	469.062,41	1.010.997,80	1.971.893,52	3.987.712,87	1.012.287,13	80,00



4.6 Communication resources

- The Prime Minister. (September, 2012) Decision No 1393/QĐ-TTg on the Approval of the Vietnam's National Green Growth.
- Enabel. (2012) Technical and Financial File - Green Growth Strategy Facility (GGSF).
- Green Growth Strategy Facility (GGSF). (December, 2015) Baseline Report.
- Green Growth Strategy Facility (GGSF). (2017) Work Plan 2018-2019.
- Green Growth Strategy Facility (GGSF). (January, 2019) Progress Report for 7th Steering Committee Meeting.
- Green Growth Strategy Facility (GGSF). (2018) M&E Quarterly Reports.
- Green Growth Strategy Facility (GGSF). (2018) M&E Quarterly Reports of pilot projects.

4.7 Summary of GGSF pilot projects

No.	Name of pilot project	Code	Location	GGSF grant	Implementing Agency	Start date	End date	Target groups			Impact	Outcome	Total budget
1	<i>Drip irrigation for development of urban trees in Phan Rang - Thap Cham City, Ninh Thuan Province.</i>	VIE 11 041 11/CSUB/ 001	Ninh Thuan province	235,000 EUR	Ninh Thuan PPC/PCU Ninh Thuan	1-Jun-16	31-Dec-18	Local residents in Phan Rang - Thap Cham city and tourists visiting pilot project	Urban construction joint-stock company and relevant state units in locality where the pilot project occurs		To contribute to implementation of the GGAP of Ninh Thuan province through green growth pilot projects	Drip irrigation for development of urban trees in Phan Rang-Thap Cham City	280,130 EUR of which: GGSF Grant: 235,000 EUR Counterpart fund: 45,130 EUR
2	<i>Drip irrigation for agriculture in response to drought in Ninh Thuan Province.</i>	VIE 11 041 11/CSUB/ 001	Ninh Thuan province	235,000 EUR	Ninh Thuan PPC/PCU Ninh Thuan	1-Jun-16	31-Dec-18	Grape growers in the project area.	Local community, people and businesses in the project area	Administration agencies, local authorities in the project area	To contribute to implementation of the GGAP of Ninh Thuan province through GG pilot projects.	Drip irrigation for agriculture in response to drought in Ninh Thuan Province.	273,500 EUR. of which: GGSF Grant: 235,000 EUR Counterpart fund: 21,109 EUR Contribution by residents: 17,391 EUR
3	<i>Installation of public solar and LED lighting systems in the communes of Hong Son, Ham Duc, Ham Chinh and Ham Phu, Ham Thuan Bac district, Binh Thuan province</i>	VIE 11 041 11/CSUB/ 002	Binh Thuan province	200,000 EUR	Binh Thuan PPC/PCU Binh Thuan	1-Jul-16	31-Dec-18	Binh Thuan PCU	Local community, people and businesses in the project area	Administration agencies, local authorities in the project area	Contribution to the Local GGAP of Binh Thuan province by conducting green growth pilot projects	To install a public solar and LED lighting system in the communes of Hong Son, Ham Duc, Ham Chinh and Ham Phu	248,000 EUR of which: GGSF Grant: 200,000 EUR Counterpart fund: 48,000 EUR
4	<i>Construction of a water channel and water-efficient irrigation system for improvement of cultivation performance in the area of Trinh Spring, An Trung Hamlet, Binh An Commune, Bac Binh District, Binh Thuan Province</i>	VIE 11 041 11/CSUB/ 002	Binh Thuan province	248,000 EUR	Binh Thuan PPC/PCU Binh Thuan	1-Jul-16	31-Dec-18	Binh Thuan PCU	Local community, people and businesses in the project area	Administration agencies and local authorities in the project area	Contribution to the Local GGAP of Binh Thuan province by conducting green growth pilot projects	To build a water channel and efficient irrigation system for improvement of cultivation performance in the area of Trinh Spring	340,000 EUR of which: GGSF Grant: 248,000 EUR Counterpart fund: 44,000 EUR Local population: 48,000 EUR
5	<i>Application of science and technology to develop mushroom and micro-bio organic fertilizer/compost production pilot models from agricultural by products in Ha Tinh province.</i>	VIE 11 041 11/CSUB/ 003	Ha Tinh province	67,193 EUR	Ha Tinh PPC/PCU of SRDP-IWMC Ha Tinh	1-Jul-16	31-Dec-18	Ha Tinh PCU	Rural people in 12 districts, town and city		Contribution to the provincial GGAP of Ha Tinh province through implementation of GG pilot models.	Application of science and technology to develop mushroom and micro-bio organic fertilizer/compost production pilot models from agricultural by-products in Ha Tinh province.	82,735 EUR Of which: GGSF Grant: 67,193 EUR Counterpart fund: 7,955 EUR
6	<i>Analysis, evaluation and recommendation of solutions to mitigate the pollution of water environment in Nghan river towards Green Growth</i>	VIE 11 041 11/CSUB/ 003	Ha Tinh province	346,816 EUR	Ha Tinh PPC/Ha Tinh PCU	1-Jul-16	31-Dec-18	Ha Tinh PCU	Local entrepreneurs and communities	The state authorities, local governments receive the works.	Contribution to implementation of the Provincial GGAP through realizing GG pilot projects.	Analysis, evaluation and recommendation of solutions to mitigate the pollution of water environment in Nghan river towards Green Growth	381,498 EUR Of which: GGSF Grant: 346,816 EUR Counterpart fund: 34,682 EUR

No.	Name of pilot project	Code	Location	GGSF grant	Implementing Agency	Start date	End date	Target groups			Impact	Outcome	Total budget
7	<i>The association of the application of solar power system with water saving irrigation system to serve hi-tech agricultural production</i>	VIE 11 041 11/CSUB/004	Phu Yen province	200,000 EUR	The Management Board of Hi-Tech Applied Agricultural Area Zone	1-Apr-18	31-Jan-19	Phu Yen High-tech Applied Agricultural Area	Local entrepreneurs and communities	The state authorities, local governments receive the works.	To contribute to the Local Green Growth Action Plan of the Phu Yen Province by conducting green growth pilot project	To combine the application of solar power system with water-saving irrigation system for serving hi-tech applied agriculture in order to solve the problem of efficient use of water resources, to form and develop a “green economy” mechanism. It is necessary to promote the development of economic sectors using advanced technology, creating greater added value, using more effectively natural resources and more effectively combating climate change; to contribute to the implementation of the Provincial Green Growth Plan through the Green Growth Pilot Project	220,000 EUR. Of which: GGSF Grant: 200,000 EUR Counterpart fund: 20,000 EUR
8	<i>Replacing public high voltage street bulbs system in Van Gia town area, Van Ninh district, Khanh Hoa province by led technology</i>	VIE 11 041 11/CSUB/005	Khanh Hoa province	200,000 EUR	The People's Committee of Van Ninh district	1-Apr-18	31-Jan-19	Local entrepreneurs and communities in Van Ninh district	The state authorities, local governments		Contributing to the implementation the provincial Green Growth Plan of Khanh Hoa Province	Energy saving in investment, management and operation of public lighting system in Van Gia Town, Van Ninh District, Khanh Hoa Province	230,000 EUR. Of which: GGSF Grant: 200,000 EUR Counterpart fund: 30,000 EUR
9	<i>Construction of Centralized Wastewater Treatment System for the wet-rice-noodle Trade Village of Ngai Chanh hamlet, in Nhon Hau commune, An Nhon Town, Binh Dinh province</i>	VIE 11 041 11/CSUB/006	Binh Dinh province	200,000 EUR	The Centre for Monitoring Natural Resources and Environment of Binh Dinh	1-Apr-18	31-Jan-19	The Centre for Monitoring Natural Resources and Environment of Binh Dinh	Local entrepreneurs and communities	The state authorities, local governments	To contribute to the implementation of the Green Growth Action Plan at provincial level of Binh Dinh Province through the implementation of green growth pilot projects	- To treat 100% of the amount of wastewater generated from noodle production by the target trade village, with the result that the treated and discharged effluent meets the quality of National Standard QCVN 40:2011/BTNMT; - To mitigate environmental pollution resulting from industrial and domestic wastewater; - To improve community health due to reduced incidence of diseases caused by environmental contamination and pollution; - To disseminate information about a clean image of the target trade village to increase the production and add value to finished products upon the project completion.	288,000 EUR of which: GGSF Grant: 200,000 EUR Counterpart fund: 88,000 EUR
10	<i>Construction of Greenhouses to display models of High-tech applied agricultural production</i>	VIE 11 041 11/CSUB/007	Phu Yen province	170,000 EUR	The Management Board of Hi-Tech Applied Agricultural Area Zone	15-Sep-18	31-Jan-19	Phu Yen High-tech Applied Agricultural Area	Local entrepreneurs and communities	The state authorities, local governments receive the works.	To contribute to the Local Green Growth Action Plan of the Phu Yen Province by conducting green growth pilot project	Constructing of greenhouses to display models of applied high-tech agricultural production, applying the application of technical advances, experimenting with new varieties, demonstrating agricultural production models in various fields cultivating crops ... more effective against climate change, reducing harmful effects of weather of sun, rain and wind, helping plants develop, bring efficiency and high productivity; to contribute to the implementation of the Provincial Green Growth Action Plan through the Green Growth Pilot Project.	200,000 EUR of which: GGSF Grant: 170,000 EUR Counterpart fund: 30,000 EUR